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0100 LEGISLATURE

SUMMARY OF PROGRAM REQUIREMENTS

	1998-99*	1999-00*	2000-01*
10 Senate	\$67,254	\$71,518	\$77,239
20 Assembly	91,303	97,076	104,842
TOTALS, PROGRAMS (General Fund)	\$158,557	\$168,594	\$182,081

SUMMARY BY OBJECT

Senate	1998-99*	1999-00*	2000-01*
General Fund Expenses:			
Salaries of Senators	\$4,169	\$4,694	\$4,756
Mileage of Lt. Governor, Senators, Officers	—	10	10
Session Per Diem of Senators	1,032	1,150	1,196
Totals, General Fund Expenditures	\$5,201	\$5,854	\$5,962
Operating Fund Expenses:			
Salaries and employee benefits	52,856	56,181	60,903
Travel and per diem	635	649	690
Automotive expenses	602	541	550
Automotive repairs	91	70	95
Telephone	951	987	1,005
Telegraph	—	1	1
Postage	919	930	998
Freight	75	80	90
Office supplies	116	125	138
Printing	655	680	732
Publications	122	125	147
Building utilities, maintenance and rent	1,174	1,320	1,452
Office alterations	394	300	475
Furniture and equipment expense	439	490	561
Study contracts	275	250	280
Meals	74	78	84
Ceremonies and events	22	25	30
All other expenses	469	509	583
Totals, Operating Fund Expenses	\$59,869	\$63,341	\$68,814
Operating Fund Transfers For:			
Office of the Legislative Analyst (0130)	2,184	2,323	2,463
Totals, Operating Fund Transfers	\$2,184	2,323	\$2,463
Totals, Senate Expenditures	\$67,254	\$71,518	\$77,239
Assembly			
General Fund Expenses:			
Salaries of Assemblymembers	\$8,137	\$9,102	\$9,651
Mileage of Assemblymembers	—	8	8
Session Per Diem of Assemblymembers	2,082	2,190	2,355
Totals, General Fund Expenditures	\$10,219	\$11,300	\$12,014
Operating Fund Expenses:			
Salaries and employee benefits	59,631	64,781	72,149
Travel and per diem	933	952	966
Automotive expenses	399	408	417
Automotive repairs	102	107	115
Equipment and furniture	2,950	2,965	2,995
Building utilities, maintenance, and rent	3,325	3,358	3,391
Office alterations	1,319	1,349	810
Telephone	1,528	1,538	1,623
Telegraph	—	1	1
Postage	398	597	478
Freight	110	115	119
Communications	1,763	2,645	2,601
Office supplies	891	944	1,024
Printing	1,875	1,932	1,989
Publications	370	392	423
Study contracts	74	78	85
Meals	93	97	101
Ceremonies and events	31	33	36
All other expenses	991	1,011	1,042
Totals, Operating Fund Expenses	\$76,783	\$83,303	\$90,365

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0100 LEGISLATURE—Continued

Operating Fund Transfers For:	1998-99*	1999-00*	2000-01*
Legislative Counsel Bureau (0160)	\$2,117	\$150	—
Office of the Legislative Analyst (0130)	2,184	2,323	\$2,463
Totals, Operating Fund Transfers	\$4,301	\$2,473	\$2,463
Totals, Assembly Expenditures	\$91,303	\$97,076	\$104,842
TOTALS, EXPENDITURES	\$158,557	\$168,594	\$182,081

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

Senate

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$67,254	\$71,518	\$77,239
Salaries of Senators	(4,169)	(4,694)	(4,756)
Mileage of Lt. Governor, Senators and Officers	—	(10)	(10)
Expenses of Senators	(1,032)	(1,150)	(1,196)
Operating expenses	(61,360)	(65,053)	(70,632)
Automotive expenses	(693)	(611)	(645)
TOTALS, EXPENDITURES (0110)	\$67,254	\$71,518	\$77,239

0348 Senate Operating Fund *

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
Government Code Section 9129	\$67,254	\$71,518	\$77,239
Less funding provided by the General Fund	-67,254	-71,518	-77,239
TOTALS, EXPENDITURES (0110)	—	—	—
TOTALS, EXPENDITURES, SENATE (ALL FUNDS)	\$67,254	\$71,518	\$77,239

Assembly

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
011 Budget Act appropriation	\$91,362	\$97,143	\$104,842
Salaries of Assemblymembers	(8,328)	(9,055)	(9,651)
Mileage of Assemblymembers and Officers	(8)	(8)	(8)
Expenses of Assemblymembers	(2,190)	(2,318)	(2,355)
Operating expenses	(80,223)	(85,216)	(92,296)
Automotive expenses	(613)	(546)	(532)
Totals Available	\$91,362	\$97,143	\$104,842
Unexpended balance, estimated savings	-59	-67	—
TOTALS, EXPENDITURES (0120)	\$91,303	\$97,076	\$104,842

0125 Assembly Operating Fund *

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
Government Code Section 9129	\$91,303	\$97,076	\$104,842
Less funding provided by the General Fund	-91,303	-97,076	-104,842
TOTALS, EXPENDITURES (0120)	—	—	—
TOTALS, EXPENDITURES, ASSEMBLY (ALL FUNDS)	\$91,303	\$97,076	\$104,842

Assembly and Senate

0160 Operating Funds of Assembly and Senate *

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
Prior year balances available:			
Item 10.1, Budget Act of 1967	\$21	\$21	\$21
Balance available in subsequent years	-21	-21	-21
TOTALS, EXPENDITURES	—	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$158,557	\$168,594	\$182,081

* Dollars in thousands, except in Salary Range.

0100 LEGISLATURE—Continued

FUND CONDITION STATEMENT

0125 Assembly Operating Fund ^s

1998-99*

1999-00*

2000-01*

BEGINNING BALANCE.....	—	—	—
EXPENDITURES			
Disbursements:			
0120 Legislature (State Operations)	\$91,303	\$97,076	\$104,842
Expenditure Reductions:			
0120 Legislature:			
Less funding provided by the General Fund (State Operations)	—91,303	—97,076	—104,842
Totals, Expenditures.....	—	—	—
FUND BALANCE.....	—	—	—

0160 Operating Funds of the Assembly and Senate ^s

BEGINNING BALANCE.....	\$21	\$21	\$21
FUND BALANCE.....	\$21	\$21	\$21

0348 Senate Operating Fund ^s

BEGINNING BALANCE.....	\$308	\$308	\$308
EXPENDITURES			
Disbursements:			
State Operations:			
0110 Legislature.....	67,254	71,518	77,239
Expenditure Reductions:			
0110 Legislature:			
Less funding provided by the General Fund (State Operations)	—67,254	—71,518	—77,239
Totals, Expenditures.....	—	—	—
FUND BALANCE.....	\$308	\$308	\$308
Reserve for economic uncertainties	308	308	308

0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM

Established in 1947, the Legislators' Retirement System (LRS) provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers. Chapter 937, Statutes of 1977, established the State's contribution rate at 18.81 percent of payroll. The LRS also is financed through member contributions of 4 percent for members enrolled prior to March 4, 1972, and 8 percent for members enrolled thereafter, and through investment earnings. Administration of the LRS is provided by the Public Employees' Retirement System (CalPERS). Retirement benefits paid are based upon age, years of service, and compensation. For members of the Legislature and constitutional officers, benefits are modified according to the actual calendar years that the service was performed. Survivors, death, and disability benefits also are provided under specific conditions.

Pursuant to Proposition 140, approved by the electorate on November 6, 1990, legislators taking office for the first time after November 6, 1990 are not eligible for participation in the LRS.

Chapter 897, Statutes of 1999, eliminates the State's statutorily specified contribution rate to the LRS and instead requires the State's contribution to be actuarially determined. Since the LRS is currently super-funded, this chapter will result in a \$320,000 reduction in the State's General Fund contribution for members in 1999-00, and the proposed General Fund contribution will be reduced to zero in 2000-01. The LRS will pay approximately \$6.9 million in benefits to annuitants in 2000-01. The CalPERS is requesting \$216,000 for administrative costs.

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
Government Code Section 9358 (expenditures).....	\$661	\$320	—
4 UNCLASSIFIED			
0820 Legislators' Retirement Fund ⁿ			
APPROPRIATIONS			
Benefits Paid:			
Government Code Section 9359.1 (expenditures).....	\$6,857	\$6,650	\$6,866
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) ...	\$7,518	\$6,970	\$6,866

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM—Continued

FUND CONDITION STATEMENT			
0820 Legislators' Retirement Fund ^a			
	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....	\$134,445	\$142,303	\$152,661
Prior year adjustments	-2	-	-
Balance, Adjusted.....	\$134,443	\$142,303	\$152,661
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments:			
Net income	12	5	5
Net profit	14,130	17,000	9,995
221000 Contributions from fiduciary funds:			
Contributions from members	290	136	-
Contributions from state (employer)	661	320	-
Prior year adjustment	1	-	-
Refund of contributions	-175	-247	-250
Totals, Operating Revenues	\$14,919	\$17,214	\$9,750
Totals, Resources	\$149,362	\$159,517	\$162,411
EXPENDITURES			
Disbursements:			
0150 Contributions to the Legislators' Retirement System (Benefits Paid)			
(Unclassified).....	6,857	6,650	6,866
1900 Public Employees' Retirement System (State Operations).....	202	206	216
Totals, Disbursements	\$7,059	\$6,856	\$7,082
FUND BALANCE.....	\$142,303	\$152,661	\$155,329

0160 LEGISLATIVE COUNSEL BUREAU

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature and their members and committees in resolving a large volume of complex legal problems arising in connection with the legislative process, all of which must be resolved within a critical time span. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained, and all work is confidential.

In addition, the bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures, and compiles and indexes statutes and codes.

The bureau operates a data center which is used for the legislative information system and the processing of legislative measures.

SUMMARY OF PROGRAM

REQUIREMENTS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
TOTALS, PROGRAMS.....	538.7	593.0	617.0	\$60,296	\$62,828	\$68,427
0001 General Fund.....				59,691	62,697	68,296
0995 Reimbursements				605	131	131

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	538.7	604.5	604.5	\$28,528	\$32,304	\$32,885
Total Adjustments	-	-	25.0	-	2,376	5,234
Estimated Salary Savings	-	-11.5	-12.5	-	-599	-599
Net Totals, Salaries and Wages	538.7	593.0	617.0	\$28,528	\$34,081	\$37,520
Staff Benefits	-	-	-	6,566	5,215	5,379
Totals, Personal Services	538.7	593.0	617.0	\$35,094	\$39,296	\$42,899
OPERATING EXPENSES AND EQUIPMENT				\$25,202	\$23,532	\$25,528
TOTALS, EXPENDITURES				\$60,296	\$62,828	\$68,427

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0160 LEGISLATIVE COUNSEL BUREAU—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$58,757	\$62,320	\$68,296
Allocation for employee compensation	1,825	2,256	—
Allocation for employer's share of health benefits	79	120	—
Adjustment per Section 3.60	-970	-2,016	—
Adjustment per Section 16.00	—	17	—
TOTALS, EXPENDITURES	\$59,691	\$62,697	\$68,296
0995 Reimbursements			
Reimbursements	\$605	\$131	\$131
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$60,296	\$62,828	\$68,427

CHANGES IN

AUTHORIZED POSITIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	538.7	604.5	604.5	\$28,528	\$32,304	\$32,885
Salary adjustments	—	—	—	—	2,376	3,573
Totals, Adjusted Authorized Positions	538.7	604.5	604.5	\$28,528	\$34,680	\$36,458
Proposed New Positions:						
Legislative Data Center:				Salary Range		
Info System Manager	—	—	1.0	5,800-6,395	—	90
Info Tech Spec II	—	—	4.0	5,232-6,360	—	294
Info Tech Spec I, Range E	—	—	13.0	4,336-5,271	—	870
Info Tech Spec I, Range D	—	—	5.0	3,955-4,808	—	305
Info Tech Spec I, Range C	—	—	2.0	3,290-3,999	—	102
Totals, Proposed New Positions	—	—	25.0	—	—	\$1,661
Total Adjustments	—	—	25.0	—	\$2,376	\$5,234
TOTALS, SALARIES AND WAGES	538.7	604.5	629.5	\$28,528	\$34,680	\$38,119

0250 JUDICIAL

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(1), the budget for the Commission on Judicial Performance is separate from the budget of any other state agency or court. Therefore, the expenditures for that budget are under the Commission on Judicial Performance (0280). Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

SUMMARY OF PROGRAM

REQUIREMENTS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Supreme Court	124.1	135.2	141.1	\$25,585	\$32,259	\$34,127
20 Courts of Appeal	698.2	781.3	844.9	129,852	146,972	163,786
30 Judicial Council	285.3	360.1	391.5	94,735	118,019	124,999
50 Habeas Corpus Resource Center	10.1	57.0	62.7	3,165	10,396	11,002
TOTALS, PROGRAMS	1,117.7	1,333.6	1,440.2	\$253,337	\$307,646	\$333,914
0001 General Fund				207,677	254,070	279,893
0044 Motor Vehicle Account, State Transportation Fund				88	126	137
0327 Court Interpreters' Fund				252	249	100
0587 Family Law Trust Fund				475	900	900
0890 Federal Trust Fund				1,555	5,167	5,373
0995 Reimbursements				43,290	47,134	47,511

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued**10 SUPREME COURT****Program Objectives Statement**

The Supreme Court is the highest court in the California judicial system. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the state constitution, all death penalty judgments are appealed directly to the Supreme Court.

Major Budget Adjustment Proposed for 2000–01

- Increased support for ongoing operations and workload increases, including six (6) clerical and administrative staff and \$625,000.

20 COURTS OF APPEAL**Program Objectives Statement**

The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the State of California. Cases before the Courts of Appeal involve every area of civil and criminal law. There is an average of 195 notices of appeal per justice and each justice issues an average of 142 written opinions per year.

Major Budget Adjustment Proposed for 2000–01

- \$4.2 million for increased staffing to manage workload, support ongoing operations, and new programs such as electronic filing.

30 JUDICIAL COUNCIL**Program Objectives Statement**

The Judicial Council of California is the constitutional policy making body for the state judiciary. The Council consists of 21 voting members and six advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, coordination of the assignment of retired judges, technology, education and research.

Major Budget Adjustment Proposed for 2000–01

- \$4.0 million to address workload issues and assistance to the trial courts in such areas as auditing, asset management, labor relations support and legal services.

50 HABEAS CORPUS RESOURCE CENTER**Program Objectives Statement**

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

Major Budget Adjustment Proposed for 2000–01

- \$1 million for improved operations, paralegal staff and the expansion of information technology in the Habeas Corpus Resource Center.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 SUPREME COURT**

State Operations:	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
0001 General Fund	\$25,585	\$32,259	\$34,127

PROGRAM REQUIREMENTS**20 COURTS OF APPEAL**

State Operations:			
0001 General Fund	\$129,852	\$146,972	\$163,786

PROGRAM REQUIREMENTS**30 JUDICIAL COUNCIL**

State Operations:			
0001 General Fund	\$47,726	\$55,668	\$61,203
0044 Motor Vehicle Account, State Transportation Fund	88	126	137

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

	1998-99*	1999-00*	2000-01*
0327 Court Interpreters' Fund.....	\$252	\$249	\$100
0587 Family Law Trust Fund.....	—	900	900
0890 Federal Trust Fund.....	639	1,142	1,348
0995 Reimbursements.....	1,328	2,439	2,599
Totals, State Operations	\$50,033	\$60,524	\$66,287
Local Assistance:			
0001 General Fund.....	1,349	11,275	12,275
0587 Family Law Trust Fund.....	475	—	—
0890 Federal Trust Fund.....	916	1,525	1,525
0995 Reimbursements.....	41,962	44,695	44,912
Totals, Local Assistance	\$44,702	\$57,495	\$58,712
Totals, Program 30	\$94,735	\$118,019	\$124,999
PROGRAM REQUIREMENTS			
50 HABEAS CORPUS RESOURCE CENTER			
State Operations:			
0001 General Fund.....	\$3,165	\$7,896	\$8,502
0890 Federal Trust Fund.....	—	2,500	2,500
Totals, State Operations	\$3,165	\$10,396	\$11,002
TOTALS, EXPENDITURES (State Operations).....	\$208,635	\$250,151	\$275,202
TOTALS, EXPENDITURES (Local Assistance)	\$44,702	\$57,495	\$58,712
TOTALS, PROGRAMS	\$253,337	\$307,646	\$333,914

SUMMARY BY OBJECT**10 Supreme Court****1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	124.1	138.0	138.0	\$9,856	\$10,868	\$10,951
Total Adjustments	—	—	6.0	—	575	1,289
Estimated Salary Savings	—	-2.8	-2.9	—	-217	-219
Net Totals, Salaries and Wages	124.1	135.2	141.1	\$9,856	\$11,226	\$12,021
Staff Benefits	—	—	—	1,943	1,561	1,670
Totals, Personal Services	124.1	135.2	141.1	\$11,799	\$12,787	\$13,691
OPERATING EXPENSES AND EQUIPMENT				\$5,148	\$6,501	\$6,889
SPECIAL ITEMS OF EXPENSE				8,638	12,971	13,547
TOTALS, EXPENDITURES, SUPREME COURT (General Fund)				\$25,585	\$32,259	\$34,127

SUMMARY BY OBJECT**20 Courts of Appeal****1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	698.2	805.5	841.5	\$53,358	\$62,153	\$65,740
Total Adjustments	—	—	29.5	—	3,064	7,260
Estimated Salary Savings	—	-24.2	-26.1	—	-1,865	-1,315
Net Totals, Salaries and Wages	698.2	781.3	844.9	\$53,358	\$63,352	\$71,685
Staff Benefits	—	—	—	9,749	7,551	8,639
Totals, Personal Services	698.2	781.3	844.9	\$63,107	\$70,903	\$80,324
OPERATING EXPENSES AND EQUIPMENT				\$18,529	\$23,254	\$29,887
SPECIAL ITEMS OF EXPENSE				48,216	52,815	53,575
TOTALS, EXPENDITURES, COURTS OF APPEAL (General Fund)				\$129,852	\$146,972	\$163,786

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

SUMMARY BY OBJECT

30 Judicial Council

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	285.3	379.1	382.1	\$17,623	\$22,982	\$23,832
Total Adjustments	—	—	30.0	—	1,251	4,225
Estimated Salary Savings	—	-19.0	-20.6	—	-1,148	-1,192
Net Totals, Salaries and Wages	285.3	360.1	391.5	\$17,623	\$23,085	\$26,865
Staff Benefits	—	—	—	4,302	3,624	4,304
Totals, Personal Services	285.3	360.1	391.5	\$21,925	\$26,709	\$31,169
OPERATING EXPENSES AND EQUIPMENT				\$27,708	\$33,415	\$34,718
SPECIAL ITEMS OF EXPENSE				400	400	400
TOTALS, EXPENDITURES, JUDICIAL COUNCIL				\$50,033	\$60,524	\$66,287

SUMMARY BY OBJECT

50 Habeas Corpus Resource Center

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	10.1	60.0	60.0	\$756	\$3,456	\$3,612
Total Adjustments	—	—	6.0	—	143	574
Estimated Salary Savings	—	-3.0	-3.3	—	-173	-181
Net Totals, Salaries and Wages	10.1	57.0	62.7	\$756	\$3,426	\$4,005
Staff Benefits	—	—	—	161	518	628
Totals, Personal Services	10.1	57.0	62.7	\$917	\$3,944	\$4,633
OPERATING EXPENSES AND EQUIPMENT				\$2,248	\$6,452	\$6,369
TOTALS, EXPENDITURES, HABEAS CORPUS RESOURCE CENTER				\$3,165	\$10,396	\$11,002
NET TOTALS, EXPENDITURES				\$208,635	\$250,151	\$275,202

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation	\$210,178	\$239,104	\$266,594
003 Budget Act appropriation	—	—	1,024
Allocation for employee compensation	2,832	7,741	—
Allocation for employer's share of health benefits	136	96	—
Allocation for contingencies or emergencies	1,313	788	—
Adjustment per Section 3.60	-2,721	-5,485	—
Adjustment per Section 16.00	—	51	—
Transfer from Item 0250-101-0001 per Provision 2	—	500	—
Totals Available	\$211,738	\$242,795	\$267,618
Unexpended balance, estimated savings	-5,410	—	—
TOTALS, EXPENDITURES	\$206,328	\$242,795	\$267,618

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$127	\$127	\$137
Allocation for employee compensation	—	6	—
Adjustment per Section 3.60	—	-7	—
Totals Available	\$127	\$126	\$137
Unexpended balance, estimated savings	-39	—	—
TOTALS, EXPENDITURES	\$88	\$126	\$137

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

0327 Court Interpreters' Fund ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$256	\$256	\$100
Unexpended balance, estimated savings	-4	-7	-
TOTALS, EXPENDITURES	\$252	\$249	\$100

0587 Family Law Trust Fund ^s

APPROPRIATIONS			
Family Code Section 1852 (expenditures).....	-	\$900	\$900

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,118	\$3,650	\$3,848
Allocation for employee compensation	24	14	-
Allocation for employer's share of health benefits	5	-	-
Adjustment per Section 3.60	-	-22	-
Budget adjustment.....	-508	-	-
TOTALS, EXPENDITURES	\$639	\$3,642	\$3,848

0995 Reimbursements

Reimbursements	\$1,328	\$2,439	\$2,599
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$208,635	\$250,151	\$275,202

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
101 Budget Act appropriation.....	\$1,475	\$11,775	\$12,275
Transfer to Item 0250-001-0001 per Provision 2	-	-500	-
Totals Available	\$1,475	\$11,275	\$12,275
Unexpended balance, estimated savings	-126	-	-
TOTALS, EXPENDITURES	\$1,349	\$11,275	\$12,275

0587 Family Law Trust Fund ^s

APPROPRIATIONS			
Family Code Section 1852 (expenditures).....	\$475	-	-

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation.....	\$850	\$1,525	\$1,525
Budget adjustment.....	66	-	-
TOTALS, EXPENDITURES	\$916	\$1,525	\$1,525

0995 Reimbursements

Reimbursements	\$41,962	\$44,695	\$44,912
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$44,702	\$57,495	\$58,712

TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$253,337	\$307,646	\$333,914
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FUND CONDITION STATEMENT

0327 Court Interpreters' Fund ^s

BEGINNING BALANCE.....	1998-99*	1999-00*	2000-01*
	\$290	\$149	-
REVENUES AND TRANSFERS			
125700 Other Regulatory Licenses and Permits	111	100	\$100
Totals, Resources	\$401	\$249	\$100
EXPENDITURES			
Disbursements:			
0250 Judicial (State Operations)	252	249	100
FUND BALANCE.....	\$149	-	-
Reserve for economic uncertainties	149	-	-

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

0587 Family Law Trust Fund ^s		1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....		—	\$696	\$796
REVENUES AND TRANSFERS				
Revenues:				
161400 Miscellaneous Revenue: (Dissolution Copy Fee (Government Code Section 26832))		\$1,171	1,000	1,000
Totals, Resources.....		\$1,171	\$1,696	\$1,796
EXPENDITURES				
Disbursements:				
0250 Judicial:				
State Operations.....		—	900	900
Local Assistance		475	—	—
Totals, Disbursements.....		\$475	\$900	\$900
FUND BALANCE.....		\$696	\$796	\$896
Reserve for economic uncertainties		696	796	896

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	1,117.7	1,382.6	1,421.6	\$81,593	\$99,459	\$104,135
Salary adjustments	—	—	—	—	5,033	9,038
Totals, Adjusted Authorized Positions	1,117.7	1,382.6	1,421.6	\$81,593	\$104,492	\$113,173
Proposed New Positions:						
Supreme Court:				Salary Range		
Human Resources Adm Spec II.....	—	—	1.0	4,557-5,538	—	61
Asst Law Librarian.....	—	—	1.0	4,557-5,538	—	61
Adm Spec	—	—	1.0	4,557-5,538	—	61
Judicial Secty II	—	—	2.0	3,225-3,920	—	86
Law Librarian Techn.....	—	—	1.0	2,772-3,369	—	37
Totals, Supreme Court	—	—	6.0	—	—	\$306
Courts of Appeal:						
Sr Atty IV	—	—	9.0	7,004-8,516	—	838
Human Resources Adm Spec II.....	—	—	5.0	4,557-5,538	—	303
Settlement Conference Coord.....	—	—	1.0	3,724-4,527	—	50
Dep Clk	—	—	4.0	3,694-4,489	—	196
Assoc Court Sys Administrator	—	—	0.5	3,431-4,171	—	23
Judicial Secty II	—	—	5.0	3,225-3,920	—	214
Asst Dep Clk II.....	—	—	2.0	3,078-3,742	—	82
Law Library Techn.....	—	—	1.0	2,772-3,369	—	37
Appellate Court Records Asst.....	—	—	1.0	2,484-3,020	—	33
Custodian.....	—	—	1.0	2,137-2,599	—	28
Totals, Courts of Appeal	—	—	29.5	—	—	\$1,804
Administrative Office of the Courts:						
Education Division:						
Sr Educ Spec	—	—	2.0	5,173-6,287	—	138
Totals, Education Division.....	—	—	2.0	—	—	\$138
Finance Bureau:						
Mgr	—	—	1.0	6,690-8,132	—	89
Sr Bus Sys Analyst.....	—	—	1.0	5,676-6,899	—	75
Facilities Plnr	—	—	2.0	4,709-5,723	—	125
Accountant II	—	—	2.0	4,709-5,723	—	125
Staff Analyst II	—	—	1.0	3,901-4,741	—	52
Admin Coord I	—	—	1.0	3,545-4,310	—	47
Acctng Techn	—	—	2.0	2,957-3,597	—	79
Totals, Finance Bureau.....	—	—	10.0	—	—	\$592
Counsel and Legal Services:						
Atty	—	—	4.0	6,394-7,774	—	340
Sr Human Resources Analyst	—	—	1.0	5,173-6,287	—	69
Sr Research Analyst.....	—	—	1.0	5,173-6,287	—	69
Assoc Atty I.....	—	—	1.0	5,053-6,140	—	67
Admin Coord II	—	—	1.0	3,901-4,741	—	52
Research Atty B	—	—	1.0	4,191-4,620	—	53
Admin Coord I	—	—	2.0	3,545-4,310	—	94
Totals, Counsel and Legal Services.....	—	—	11.0	—	—	\$744

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Human Resources Bureau:				Salary Range		
Sr Human Resources Analyst	—	—	1.0	\$5,173-6,287	—	\$69
Staff Analyst II	—	—	1.0	3,901-4,741	—	52
Ofc Asst II	—	—	1.0	2,242-2,725	—	30
Totals, Human Resources Bureau	—	—	3.0	—	—	\$151
Trial Court Services:						
Mgr	—	—	1.0	6,690-8,132	—	89
Sr Court Svcs Analyst	—	—	1.0	5,173-6,287	—	69
Sr Educ Spec	—	—	1.0	5,173-6,287	—	69
Admin Coord II	—	—	1.0	3,901-4,741	—	52
Totals, Trial Court Services.....	—	—	4.0	—	—	\$279
Total Judicial Counsel	—	—	30.0	—	—	\$1,904
Habeas Corpus Resource Center:						
Sr Bus Sys Analyst.....	—	—	1.0	5,658-6,877	—	75
Research & Info Mgmt Spec.....	—	—	1.0	5,658-6,877	—	75
Paralegal II.....	—	—	4.0	3,654-4,442	—	146
Totals, Habeas Corpus Resource Center.....	—	—	6.0	—	—	\$296
Totals, Proposed New Positions	—	—	71.5	—	—	\$4,310
Total Adjustments	—	—	71.5	—	\$5,033	\$13,348
TOTALS, SALARIES AND WAGES	1,117.7	1,382.6	1,493.1	\$81,593	\$104,492	\$117,483

STATE BUILDING PROGRAM
EXPENDITURESActual
1998-99*Estimated
1999-00*Proposed
2000-01*80 CAPITAL OUTLAY
PROGRAM ELEMENTS

Major Project

90.20.301 Third Appellate District Renovation-Sacramento	—	—	\$283 ^{PWCg}
This project renovates office and chamber space of the Library and Courts Building.			
90.20.401 Fourth Appellate District New Courthouse-Santa Ana	—	—	3,215 ^{APg}
This project constructs a 42,000 gsf Court of Appeal building to be located in Santa Ana.			
90.20.501 Fifth Appellate District New Courthouse-Fresno	—	—	1,506 ^{APg}
This project constructs a 40,000 gsf Court of Appeal building to be located in Fresno.			
TOTALS, EXPENDITURES, CAPITAL OUTLAY	—	—	\$5,004
0001 General Fund.....	—	—	5,004

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0001 General Fund

APPROPRIATIONS

301 Budget Act appropriation (expenditures).....	—	—	\$5,004
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....	—	—	\$5,004

0280 COMMISSION ON JUDICIAL PERFORMANCE

Article VI of the State Constitution creates the Commission on Judicial Performance to consider complaints against judges.
Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(I), the budget of the Commission on Judicial Performance shall be separate from the budget of any other state agency or court.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0280 COMMISSION ON JUDICIAL PERFORMANCE—Continued**SUMMARY OF****PROGRAM REQUIREMENTS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Commission on Judicial Performance	23.7	27.0	27.0	\$2,987	\$3,627	\$3,704
TOTALS, PROGRAMS (General Fund)	23.7	27.0	27.0	\$2,987	\$3,627	\$3,704

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	23.7	27.0	27.0	\$1,657	\$1,944	\$1,985
Total Adjustments	—	—	—	—	127	211
Net Totals, Salaries and Wages	23.7	27.0	27.0	\$1,657	\$2,071	\$2,196
Staff Benefits	—	—	—	382	421	433
Totals, Personal Services	23.7	27.0	27.0	\$2,039	\$2,492	\$2,629
OPERATING EXPENSES AND EQUIPMENT				\$948	\$1,135	\$1,075
TOTALS, EXPENDITURES				\$2,987	\$3,627	\$3,704

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation	\$3,101	\$3,626	\$3,704
Allocation for employee compensation	60	133	—
Allocation for employer's share of health benefits	2	2	—
Adjustment per Section 3.60	-71	-134	—
Totals Available	\$3,092	\$3,627	\$3,704
Unexpended balance, estimated savings	-105	—	—
TOTALS, EXPENDITURES	\$2,987	\$3,627	\$3,704

CHANGES IN**AUTHORIZED POSITIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	23.7	27.0	27.0	\$1,657	\$1,944	\$1,985
Salary adjustments	—	—	—	—	127	211
Totals, Adjusted Authorized Positions	23.7	27.0	27.0	\$1,657	\$2,071	\$2,196
Total Adjustments	—	—	—	—	\$127	\$211
TOTALS, SALARIES AND WAGES	23.7	27.0	27.0	\$1,657	\$2,071	\$2,196

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM

The Judges' Retirement System (JRS) provides retirement benefit funding for California's Supreme and Appellate Court Judges and Superior and Municipal Court Judges. The JRS retirement benefits are based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. Survivors, death, and disability benefits also are provided under specific conditions. The JRS receives contributions equal to eight percent of salary from both active judges and the State. Additional contributions come from filing fees from specific civil cases, investment income, and State General Fund. These contributions, however, are insufficient to fully fund benefit payments. Consequently, current law requires the State to fund the deficiency between existing contribution resources and the required benefit payments to annuitants (Government Code Section 75107).

Chapter 879, Statutes of 1994, established the Judges' Retirement System II (JRS II). All new judges elected or appointed on or after November 9, 1994, become members of JRS II. It is anticipated that JRS II will be fully funded from employer and member contributions on a prospective basis, thereby eliminating the "pay-as-you-go" feature characteristic of the original JRS program (JRS I). JRS II members also have the option of choosing a monetary credit plan (includes a lump-sum return of contributions and interest earned) or the defined benefit plan.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued

For 2000–01, the budget proposes a total of \$102.0 million General Fund; \$23.1 million for the State's contribution for sitting judges, and \$78.9 million to pay benefits to retired judges.

The budget provides contributions for the following number of judges by type of court:

Type of Court	Number of Judges		
	1998–99	1999–00	2000–01
Supreme	7	7	7
Appellate	93	93	93
Local Assistance:			
Superior.....	1,273	1,273	1,258
Municipal.....	207	207	222
Totals	1,580	1,580	1,580

Authority

Government Code Sections 75000, et seq.

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS**

1 STATE OPERATIONS**Supreme and Appellate Court Judges****0001 General Fund**

APPROPRIATIONS	1998–99*	1999–00*	2000–01*
001 Budget Act appropriation (for transfer to Judges' Retirement Fund-0815)...	\$1,250	\$1,100	\$1,150
Government Code Section 75101	1,002	975	1,002
Government Code Section 75600.5 (JRS II)	43	55	43
Totals Available	\$2,295	\$2,130	\$2,195
Unexpended balance, estimated savings	-100	-	-
TOTALS, EXPENDITURES (State Operations).....	\$2,195	\$2,130	\$2,195

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS**

2 LOCAL ASSISTANCE**Superior and Municipal Court Judges****0001 General Fund**

APPROPRIATIONS	1998–99*	1999–00*	2000–01*
101 Budget Act appropriation (for transfer to Judges' Retirement Fund)	\$67,119	\$61,250	\$77,750
Government Code Section 75101 (JRS I)	10,173	9,382	10,900
Government Code Section 75600.5 (JRS II)	7,353	9,940	11,187
TOTALS, EXPENDITURES (Local Assistance)	\$84,645	\$80,572	\$99,837
TOTALS, EXPENDITURES (State Operations and Local Assistance)	\$86,840	\$82,702	\$102,032

4 UNCLASSIFIED**0815 Judges' Retirement Fund ⁿ****Benefits Paid:**

Government Code Section 75025.....	\$82,386	\$92,337	\$105,000
Number of Annuitants (JRS I)	(1,439)	(1,511)	(1,601)
0884 Judges' Retirement System II Fund ⁿ			
Government Code Section 75522.....	\$210	\$212	\$236
Number of Annuitants (JRS II)	(2)	(2)	(1)
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$82,596	\$92,549	\$105,236

FUND CONDITION STATEMENT**0815 Judges' Retirement Fund ⁿ**

	1998–99*	1999–00*	2000–01*
BEGINNING BALANCE.....	\$6,405	\$19,865	\$14,600
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments.....	1,777	1,025	1,000
216000 Fees and licenses (filing fees).....	2,971	3,174	3,000

* Dollars in thousands, except in Salary Range.

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued

		<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
221000	Contributions from judges.....	\$11,099	\$10,342	\$10,339
221000	Refunds of contributions.....	-50	-265	-50
221000	Contributions from State.....	11,175	10,357	11,902
221000	Contributions for assignments.....	959	450	500
299000	Budget Act appropriation (administration) (transfer from General Fund)	354	361	383
299000	Budget Act appropriations (transfer from General Fund)	67,915	61,989	78,517
	Totals, Operating Revenues	\$96,200	\$87,433	\$105,591
	Totals, Resources	\$102,605	\$107,298	\$120,191
EXPENDITURES				
Disbursements:				
0390	Contributions to the Judges' Retirement System (Unclassified):			
	Benefits paid from Judges' Retirement Fund.....	82,386	92,337	105,000
1900	Public Employees' Retirement System—Administrative costs (State Operations).....	354	361	383
	Totals, Disbursements	\$82,740	\$92,698	\$105,383
FUND BALANCE	\$19,865	\$14,600	\$14,808
0884 Judges' Retirement System II Fund ⁿ				
BEGINNING BALANCE	\$16,256	\$28,382	\$44,811
Prior year adjustments	-17	-	-
	Balance, Adjusted.....	\$16,239	\$28,382	\$44,811
REVENUES AND TRANSFERS				
Operating Revenues:				
221000	Contributions from judges.....	2,735	3,712	4,839
221000	Contributions from State.....	7,396	9,995	11,230
	Interest income.....	2,394	3,109	2,648
	Totals, Operating Revenue	\$12,525	\$16,816	\$18,717
	Totals, Resources	\$28,764	\$45,198	\$63,528
EXPENDITURES				
Disbursements:				
0390	Contributions to the Judges' Retirement System (Unclassified):			
	Benefits paid to Judges' Retirement II	210	212	236
1900	Public Employees' Retirement System (State Operations).....	172	175	184
	Totals, Disbursements	\$382	\$387	\$420
FUND BALANCE	\$28,382	\$44,811	\$63,108

0450 STATE TRIAL COURT FUNDING

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997-98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained, and each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the State General Fund in 1994-95.

TRIAL COURT FUNDING**SUMMARY OF PROGRAM REQUIREMENTS**

		<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
10	Support for the Operation of Trial Courts.....	\$1,456,842	\$1,628,839	\$1,743,583
25	Salaries of Superior Court Judges.....	138,295	148,910	169,763
35	Assigned Judges	18,295	16,288	16,288
45	Court Interpreters	43,687	50,647	54,447
	TOTALS, PROGRAMS	\$1,657,119	\$1,844,684	\$1,984,081
0001	General Fund.....	699,225	949,093	1,050,026
0159	Trial Court Improvement Fund	42,579	68,214	64,467
0556	Judicial Administration Efficiency & Modernization Fund	-	35,211	37,322
	Less funding provided by the General Fund.....	-	-35,211	-37,322
0932	Trial Court Trust Fund	1,614,540	1,741,259	1,882,292
	Less funding provided by the General Fund.....	-699,225	-913,882	-1,012,704

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued**10 SUPPORT FOR THE OPERATION OF THE TRIAL COURTS****Program Objective Statement**

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of superior court judges, compensation for assigned judges, and support for language interpreters. This program is based on an earlier program, "Block Grants for Trial Courts," and was introduced as a replacement for block grant funding. Implementation of Chapter 850 and Trial Court Reform continues the transition from functional budgeting toward programmatic budgeting for the state trial courts.

Major Budget Adjustments Included in 1999–00

- An increase of \$12.4 million to partially address negotiated salary increases for court employees.
- An increase of \$4.4 million to increase the compensation of municipal and subordinate judicial officers, consistent with the salary increases generally provided to superior court judicial officers and State employees in 1999–00.

Major Budget Adjustments Proposed for 2000–01

- An increase of \$10 million for programs which address the needs of families and children in the courts.
- An increase of \$20 million for negotiated salary increases for court employees.
- An increase of \$1.2 million to cover the additional costs associated with elder protective order workload.
- An increase of \$2.4 million to increase the compensation of municipal and subordinate judicial officers, consistent with the salary increases generally provided to superior court judicial officers and State employees in 2000–01.
- An increase of \$16.75 million for transition to a one day/one trial policy on jury service statewide and an increase in juror compensation rates.
- One-time funding of \$22 million to support case processing in the trial courts.

25 SALARIES FOR SUPERIOR COURT JUDGES**Program Objective Statement**

This program provides funding for the salaries and state benefits for Superior Court judges. Proposition 220, which was approved by the voters in 1998, provides for the unification of municipal and superior courts into a single superior court in each county. In doing so, court unification will result in higher salary costs as former municipal judgeships now become unified superior court judgeships with the commensurate superior court judges' salary and benefits.

Major Budget Adjustment Proposed for 2000–01

- An increase of \$13.2 million to provide a 5 percent increase in court judicial officer compensation.

35 ASSIGNED JUDGES**Program Objective Statement**

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

45 COURT INTERPRETERS**Program Objective Statement**

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

Major Budget Adjustment Proposed for 2000–01

- An increase of \$4.8 million for growth in court interpreter workload and for a rate increase in interpreter compensation.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 SUPPORT FOR OPERATION OF TRIAL COURTS**

Local Assistance:	1998–99*	1999–00*	2000–01*
0001 General Fund	\$699,225	\$913,882	\$1,012,704
0159 Trial Court Improvement Fund	42,579	68,214	64,467
0556 Judicial Admin. Efficiency and Modernization Fund	—	35,211	37,322
0932 Trial Court Trust Fund	715,038	611,532	629,090
Totals, Local Assistance	\$1,456,842	\$1,628,839	\$1,743,583

PROGRAM REQUIREMENTS**25 SALARIES OF SUPERIOR COURT JUDGES**

Local Assistance:	1998–99*	1999–00*	2000–01*
0932 Trial Court Trust Fund	\$138,295	\$148,910	\$169,763
Totals, Local Assistance	\$138,295	\$148,910	\$169,763

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

PROGRAM REQUIREMENTS

35 ASSIGNED JUDGES

Local Assistance:	1998-99*	1999-00*	2000-01*
0932 Trial Court Trust Fund.....	\$18,295	\$16,288	\$16,288
Totals, Local Assistance	\$18,295	\$16,288	\$16,288

PROGRAM REQUIREMENTS

45 COURT INTERPRETERS

Local Assistance:	1998-99*	1999-00*	2000-01*
0932 Trial Court Trust Fund.....	\$43,687	\$50,647	\$54,447
Totals, Local Assistance	\$43,687	\$50,647	\$54,447
TOTALS, EXPENDITURES (Local Assistance)	\$1,657,119	\$1,844,684	\$1,984,081

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
111 Budget Act appropriation (transfer to Trial Court Trust Fund-0932).....	\$622,861	\$885,870	\$1,012,704
112 Budget Act appropriation (transfer to Modernization Fund-0556)	—	35,211	37,322
Allocation for employee compensation (transfer to Trial Court Trust Fund-0932)	5,513	11,089	—
Allocation for employer's share of health benefits (transfer to Trial Court Trust Fund-0932)	640	90	—
Allocation for contingencies or emergencies (transfer to Trial Court Trust Fund-0932)	53,652	16,833	—
Chapter 1017, Statutes of 1998	16,559	—	—
TOTALS, EXPENDITURES	\$699,225	\$949,093	\$1,050,026

0159 Trial Court Improvement Fund ^s

APPROPRIATIONS			
Government Code Section 77209 (expenditures)	\$42,579	\$68,214	\$64,467

0556 Judicial Administration Efficiency and Modernization Fund ^s

APPROPRIATIONS			
112 Budget Act appropriation	—	\$35,211	\$37,322
Less funding provided by the General Fund	—	—35,211	—37,322
EXPENDITURES	—	—	—

0932 Trial Court Trust Fund ⁿ

APPROPRIATIONS			
101 Budget Act appropriation.....	\$1,666,286	\$1,771,678	\$1,900,292
Revised expenditure authority per Government Code Section 77209 (for transfer to Trial Court Improvement Fund)	—17,000	—17,717	—18,000
Non-receipt of revenue	—43,000	—41,714	—
Allocation for employee compensation	5,513	11,089	—
Allocation for employer's share of health benefits	640	90	—
Allocation for contingencies or emergencies	10,025	16,833	—
Prior year balances available:			
Item 0450-101-0932, Budget Act of 1998 as reappropriated by Item 0450-490, Budget Act of 1999.....	—	1,000	—
Totals Available	\$1,622,464	\$1,741,259	\$1,882,292
Unexpended balance, estimated savings	—6,924	—	—
Balance available in subsequent years	—1,000	—	—
TOTALS, EXPENDITURES	\$1,614,540	\$1,741,259	\$1,882,292
Less funding provided by the General Fund	—699,225	—913,882	—1,012,704
NET TOTALS, EXPENDITURES	\$915,315	\$827,377	\$869,588
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,657,119	\$1,844,684	\$1,984,081

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued**FUND CONDITION STATEMENT****0159 Trial Court Improvement Fund ^s****1998-99*****1999-00*****2000-01***

BEGINNING BALANCE.....	—	\$4,030	—
REVENUES AND TRANSFERS			
Revenues:			
131700 Miscellaneous revenues from local agencies, Fines and Forfeitures—2% Automation (Government Code Section 68090.8).....	\$12,868	11,700	\$11,700
131700 Miscellaneous revenues from local agencies, Other Fines and Forfeitures (Government Code Section 77205).....	16,741	34,767	34,767
Totals, Revenues	\$29,609	\$46,467	\$46,467
Transfers from Other Funds:			
F00932 Trial Court Trust Fund—1% Reserve (Government Code Section 77209).....	17,000	17,717	18,000
Totals, Revenues and Transfers.....	\$46,609	\$64,184	\$64,467
Totals, Resources	\$46,609	\$68,214	\$64,467

EXPENDITURES

Disbursements:

0450 State Trial Court Funding (Local Assistance)..... 42,579 68,214 64,467

FUND BALANCE.....	\$4,030	—	—
Reserve for economic uncertainties	4,030	—	—

0556 Judicial Administration Efficiency and Modernization Fund ^s

BEGINNING BALANCE.....	—	—	—
EXPENDITURES			
Disbursements:			
0450 State Trial Court Funding (Local Assistance).....	—	\$35,211	\$37,322
Expenditure Reduction:			
0450 Trial Court Funding (Local Assistance):			
Less funding provided by the General Fund	—	–35,211	–37,322
Totals, Expenditures.....	—	—	—
FUND BALANCE.....	—	—	—

0932 Trial Court Trust Fund ⁿ

BEGINNING BALANCE.....	—	\$5,949	\$2,436
Prior year adjustments	—	—	—
Balance, Adjusted.....	—	\$5,949	\$2,436
REVENUES AND TRANSFERS			
Operating Revenues:			
216500 Court Filing Fees (Government Code Section 68085).....	\$156,660	158,000	200,255
250300 Income from Surplus Money Investments	3,873	2,500	2,500
299000 Other (Miscellaneous Revenues from local agencies):			
County Contribution (Government Code Section 77201).....	554,532	457,624	459,404
Fines and Forfeitures (Government Code Section 77201).....	223,199	223,457	223,457
Totals, Operating Revenues	\$938,264	\$841,581	\$885,616
Transfers to Other Funds:			
T00159 Trial Court Improvement Fund per Government Code Section 77209	–17,000	–17,717	–18,000
Totals, Revenues and Transfers.....	\$921,264	\$823,864	\$867,616
Totals, Resources	\$921,264	\$829,813	\$870,052

EXPENDITURES

Disbursements:

0450 State Trial Court Funding (Local Assistance)..... 1,614,540 1,741,259 1,882,292

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

Expenditure Reductions:			
0450 State Trial Court Funding (Local Assistance):	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Less funding provided by the General Fund	-\$699,225	-\$913,882	-\$1,012,704
Totals, Expenditures.....	\$915,315	\$827,377	\$869,588
FUND BALANCE.....	\$5,949	\$2,436	\$464

0500 GOVERNOR'S OFFICE

Article V of the Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

SUMMARY OF PROGRAM**REQUIREMENTS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
10.10 Governor's Office (General Fund) ..	86.0	86.0	86.0	\$5,376	\$5,286	\$5,511

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Authorized Positions	86.0	86.0	86.0	\$4,243	\$4,153	\$4,378
Totals, Personal Services	86.0	86.0	86.0	\$4,243	\$4,153	\$4,378
OPERATING EXPENSES AND EQUIPMENT				\$1,133	\$1,133	\$1,133
TOTALS, EXPENDITURES				\$5,376	\$5,286	\$5,511

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
001 Budget Act appropriation	\$4,861	\$4,995	\$5,511
Budget Act appropriation (support)	(4,786)	(4,920)	(5,436)
Budget Act appropriation (residence support)	(35)	(35)	(35)
Budget Act appropriation (contingent expenses)	(40)	(40)	(40)
Allocation for employee compensation	506	285	-
Allocation for employer's share of health benefits	9	6	-
TOTALS, EXPENDITURES (State Operations).....	\$5,376	\$5,286	\$5,511

Governor's Office**0505 DEPARTMENT OF INFORMATION TECHNOLOGY**

The Department of Information Technology, created by Executive Order and placed in statute by SB 1 (Chapter 508, Statutes of 1995) assumed responsibility for the strategic direction and oversight of the State's significant investment in information technology, effective January 1, 1996. Under SB 1, authorization for the Department would have sunset on July 1, 2000. However, AB 1656 (Chapter 873, 1999) extends the sunset date to July 1, 2002. The Department is charged with providing leadership, guidance, statewide coordination and oversight of information technology in state government. The Department is responsible for: developing strategic plans and policies to support and promote effective application of information technology within state government; overseeing the consolidation of information technology resources; evaluating and monitoring the implementation of agency and department information technology initiatives; improving information technology support processes including procurement, project management and risk management; and for the creation of user and other advisory committees to support these objectives. The Department is currently developing a new organizational structure and Information Technology Model which will be designed to more fully maximize the authority granted under SB 1. This model is scheduled to be finished in the spring of 2000, upon the completion of Year 2000 efforts.

Major Budget Adjustment Proposed for 2000-01

- A reduction of \$18.4 million General Fund for one-time costs associated with the Events Management Center, Agency Information Officers, Office of Executive Information Services, and California Project Office.

Authority

Government Code Section 11700 et seq.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0505 DEPARTMENT OF INFORMATION TECHNOLOGY—Continued

SUMMARY OF							
PROGRAM REQUIREMENTS							
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*	
10 Administration of Information Technology	32.1	76.9	41.8	\$16,593	\$28,066	\$9,702	
11 0001 General Fund				16,593	27,316	8,952	
12 0995 Reimbursements				—	750	750	

SUMMARY BY OBJECT
1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*	
19 PERSONAL SERVICES							
20 Authorized Positions (Equals Sch. 7A)	32.1	64.0	44.0	\$2,089	\$4,360	\$3,208	
21 Total Adjustments	—	17.0	—	—	1,049	429	
22 Estimated Salary Savings	—	-4.1	-2.2	—	-257	-160	
23							
24 Net Totals, Salaries and Wages	32.1	76.9	41.8	\$2,089	\$5,152	\$3,477	
25 Staff Benefits	—	—	—	642	828	509	
26							
27 Totals, Personal Services	32.1	76.9	41.8	\$2,731	\$5,980	\$3,986	
28							
29 OPERATING EXPENSES AND EQUIPMENT				\$13,862	\$22,086	\$5,716	
30							
31 TOTALS, EXPENDITURES				\$16,593	\$28,066	\$9,702	

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1998-99*	1999-00*	2000-01*
40 APPROPRIATIONS			
41 001 Budget Act appropriation	\$7,304	\$27,084	\$8,952
42 Allocation for employee compensation	74	380	—
43 Allocation for employer's share of health benefits	5	3	—
44 Allocation for Year 2000 per Item 9904-001-0001	8,861	154	—
45 Increased expenditure authority per Provision 1 Item 0505-001-0001, Budget			
46 Act of 1998	437	—	—
47 Adjustment per Section 3.60	-88	-305	—
48			
49 TOTALS, EXPENDITURES	\$16,593	\$27,316	\$8,952
50			
51 0995 Reimbursements			
52 Reimbursements	—	\$750	\$750
53			
54 TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$16,593	\$28,066	\$9,702

CHANGES IN							
AUTHORIZED POSITIONS							
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*	
62 Totals, Authorized Positions	32.1	64.0	44.0	\$2,089	\$4,360	\$3,208	
63 Salary adjustments	—	—	—	—	268	429	
64							
65 Totals, Adjusted Authorized Positions	32.1	64.0	44.0	\$2,089	\$4,628	\$3,637	
66 Proposed New Positions: ¹							
67 Data Proc Mgr III	—	1.0	—	5,577-6,149	70	—	
68 Data Proc Mgr II	—	1.0	—	4,588-5,536	66	—	
69 Sr Info Sys Analyst-Spec	—	1.0	—	4,367-5,269	63	—	
70 Data Proc Mgr I	—	2.0	—	4,179-5,041	113	—	
71 Staff Info Sys Analyst-Spec	—	2.0	—	3,977-4,797	105	—	
72 Assoc Info Sys Analyst-Spec	—	4.0	—	3,800-4,585	197	—	
73 Office Technician-Typing	—	6.0	—	2,150-2,613	167	—	
74							
75 Totals, Proposed New Positions	—	17.0	—	—	\$781	—	
76							
77 Total Adjustments	—	17.0	—	—	\$1,049	\$429	
78							
79 TOTALS, SALARIES AND WAGES	32.1	81.0	44.0	\$2,089	\$5,409	\$3,637	

¹ Positions expire 6/30/2000.

* Dollars in thousands, except in Salary Range.

Governor's Office
0510 SECRETARY FOR STATE AND CONSUMER SERVICES

The State and Consumer Services Agency brings together a diverse array of State departments which include: the California Science Center, the California African American Museum, the Department of Consumer Affairs, the Department of Fair Employment and Housing, the Fair Employment and Housing Commission, the Franchise Tax Board, the Department of General Services, the State Personnel Board, the Public Employees' Retirement System, the State Teachers' Retirement System, and the Building Standards Commission. In addition, within the Agency is the Office of the Insurance Advisor which provides expertise to the Governor on insurance-related issues including legislative bill analysis, constituent services and the development of policy initiatives.

Authority

Government Code Sections 12800, 12801 and 12804.

**SUMMARY OF PROGRAM
REQUIREMENTS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
10 Administration of State and Consumer Services Agency.....	8.2	12.0	12.0	\$1,180	\$1,233	\$1,256
0001 General Fund.....				687	736	749
0995 Reimbursements				493	497	507

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8.2	12.3	12.3	\$553	\$827	\$835
Total Adjustments	-	-	-	-	34	63
Estimated Salary Savings	-	-0.3	-0.3	-	-14	-14
Net Totals, Salaries and Wages	8.2	12.0	12.0	\$553	\$847	\$884
Staff Benefits	-	-	-	97	100	99
Totals, Personal Services	8.2	12.0	12.0	\$650	\$947	\$983
OPERATING EXPENSES AND EQUIPMENT				\$530	\$286	\$273
TOTALS, EXPENDITURES				\$1,180	\$1,233	\$1,256

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$732	\$731	\$749
Allocation for employee compensation	17	36	-
Allocation for employer's share of health benefits	1	1	-
Adjustment per Section 3.60	-19	-32	-
Totals Available	\$731	\$736	\$749
Unexpended balance, estimated savings	-44	-	-
TOTALS, EXPENDITURES	\$687	\$736	\$749

0995 Reimbursements

Reimbursements	\$493	\$497	\$507
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$1,180	\$1,233	\$1,256

**CHANGES IN
AUTHORIZED POSITIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	8.2	12.3	12.3	\$553	\$827	\$835
Salary adjustments	-	-	-	-	34	63
Total Adjustments	-	-	-	-	\$34	\$63
TOTALS, SALARIES AND WAGES	8.2	12.3	12.3	\$553	\$861	\$898

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING

The strength of the California economy is dependent upon efficient markets and sound infrastructure that encourages business and housing development. The Secretary of the Business, Transportation and Housing Agency (BT&H) is a member of the Governor's Cabinet and oversees 13 departments, including the new Department of Managed Care, with responsibility for maintaining the strength of California's infrastructure and the efficiencies of its financial markets.

Departments that provide financial regulatory guidance important to an efficient marketplace include: the Department of Alcoholic Beverage Control; Department of Financial Institutions; Department of Corporations; Department of Real Estate; and the Office of Real Estate Appraisers. The Department of Housing and Community Development and the California Housing Finance Agency provide resources to foster neighborhood development.

Chapter 525, Statutes of 1999 established the Department of Managed Care within the Business, Transportation and Housing Agency effective July 1, 2000 or sooner if authorized by executive order. The new Department became operational on January 10, 2000 by executive order of the Governor. Establishment of the Department of Managed Care is an important step in reforming and providing focus to health maintenance organization reform in California.

Departments that maintain the state's transportation networks to help ensure safe, efficient flow of commerce include: California Highway Patrol; Department of Motor Vehicles; Department of Transportation and the Office of Traffic Safety. In addition, the Stephen P. Teale Data Center provides state-of-the-art computer technology services to government organizations throughout the state.

The Secretary of the Business, Transportation and Housing Agency serves as the Co-Chair of the Commission on Building for the 21st Century with the Lieutenant Governor. The commission was created by the Governor through Executive Order D-4-99.

In addition, the Secretary of the Business, Transportation and Housing Agency serves as the Chair of the Complete Count Census Committee. The California Complete Count Committee was created by Governor's Executive Order D-11-99 on November 4, 1999.

Major Budget Adjustment Included in 1999-00

- An increase in reimbursements of \$24,615,000 for the California Complete Count Committee to develop, recommend and assist in the administration of an outreach strategy to encourage full participation in the 2000 federal census.

SUMMARY OF PROGRAM REQUIREMENTS							
		98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10	Administration of BT&H Agency	13.7	55.2	20.2	\$2,270	\$27,038	\$2,300
30	Agency Audits Office	1.3	3.8	3.8	115	307	315
TOTALS, PROGRAMS.....		15.0	59.0	24.0	\$2,385	\$27,345	\$2,615
0001	General Fund.....				—	200	206
0044	Motor Vehicle Account, State Transportation Fund				819	914	961
0995	Reimbursements				1,566	26,231	1,448

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY

	1998-99*	1999-00*	2000-01*
State Operations:			
0001 General Fund.....	—	\$200	\$206
0044 Motor Vehicle Account, State Transportation Fund	\$819	914	961
0995 Reimbursements	1,451	25,924	1,133
Totals, State Operations	\$2,270	\$27,038	\$2,300

ELEMENT REQUIREMENTS

10.10 Administration.....	2,270	2,423	2,299
State Operations:			
0001 General Fund	—	200	206
0044 Motor Vehicle Account, State Transportation Fund	819	914	961
0095 Reimbursements	1,451	1,309	1,133
10.20 California Complete Count Administration.....	—	24,615	—
State Operations:			
0995 Reimbursements	—	24,615	—

PROGRAM REQUIREMENTS

30 AGENCY AUDITS OFFICE

State Operations:			
0995 Reimbursements.....	115	307	315
Totals, State Operations	\$115	\$307	\$315
TOTALS, EXPENDITURES (State Operations).....	\$2,385	\$27,345	\$2,615

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING—Continued

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	15.0	25.0	25.0	\$911	\$1,534	\$1,547
Total Adjustments	—	35.0	—	—	1,023	109
Estimated Salary Savings	—	-1.0	-1.0	—	-77	-77
Net Totals, Salaries and Wages	15.0	59.0	24.0	\$911	\$2,480	\$1,579
Staff Benefits	—	—	—	179	544	356
Totals, Personal Services	15.0	59.0	24.0	\$1,090	\$3,024	\$1,935
OPERATING EXPENSES AND EQUIPMENT				\$1,295	\$24,321	\$680
TOTALS, EXPENDITURES				\$2,385	\$27,345	\$2,615

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	—	\$200	\$206
Allocation for employee compensation	—	11	—
Adjustment per Section 3.60	—	-11	—
TOTALS, EXPENDITURES	—	\$200	\$206

0044 Motor Vehicle Account, State Transportation Fund *

APPROPRIATIONS			
001 Budget Act appropriation	\$906	\$907	\$961
Allocation for employee compensation	18	36	—
Allocation for employer's share of health benefits	2	1	—
Adjustment per Section 3.60	-20	-31	—
Adjustment per Section 16.00	—	1	—
Totals Available	\$906	\$914	\$961
Unexpended balance, estimated savings	-87	—	—
TOTALS, EXPENDITURES	\$819	\$914	\$961

0995 Reimbursements

Reimbursements	\$1,566	\$26,231	\$1,448
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,385	\$27,345	\$2,615

**CHANGES IN
AUTHORIZED POSITIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	15.0	25.0	25.0	\$911	\$1,534	\$1,547
Salary adjustments	—	—	—	—	6	109
Totals, Adjusted Authorized Positions	15.0	25.0	25.0	\$911	\$1,540	\$1,656
Proposed New Positions:						
Temporary Help	—	35.0	—	—	1,017	—
Totals, Proposed New Positions	—	35.0	—	—	\$1,017	—
Total Adjustments	—	35.0	—	—	\$1,023	\$109
TOTALS, SALARIES AND WAGES	15.0	60.0	25.0	\$911	\$2,557	\$1,656

* Dollars in thousands, except in Salary Range.

Governor's Office

0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY

The California Health and Human Services Agency administers the State's health, social services, rehabilitation and employment programs. The Secretary for California Health and Human Services Agency, a member of the Governor's Cabinet, advises the Governor on major policy and program matters, and oversees the operation of the Agency departments.

The following departments fall under the purview of the California Health and Human Services Agency: the Departments of Aging, Alcohol and Drug Programs, Developmental Services, Health Services, Mental Health, Rehabilitation, Social Services, the Emergency Medical Services Authority, the Employment Development Department, the Health and Human Services Agency Data Center, the Office of Statewide Health Planning and Development, the Managed Risk Medical Insurance Board and the Department of Community Services and Development. Together, these departments are comprised of approximately 43,000 personnel years and manage total combined budgets of over \$56.6 billion in State and federal funds.

Major Budget Adjustment Proposed for 2000-01

- The Budget includes a General Fund increase of \$116,000 and 1.0 position to enhance coordination and planning of issues and policies related to California's aging population.

Authority

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

SUMMARY OF PROGRAM

REQUIREMENTS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Secretary for Health and Human Services	15.7	22.0	22.9	\$2,000	\$2,323	\$2,433
TOTALS, PROGRAMS	15.7	22.0	22.9	\$2,000	\$2,323	\$2,433
0001 General Fund				1,051	1,345	1,435
0995 Reimbursements				949	978	998

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Authorized Positions (Equals Sch. 7A)	15.7	22.0	22.0	\$979	\$1,471	\$1,478
Total Adjustments	-	-	1.0	-	105	233
Estimated Salary Savings	-	-	-0.1	-	-74	-78
Net Totals, Salaries and Wages	15.7	22.0	22.9	\$979	\$1,502	\$1,633
Staff Benefits	-	-	-	207	195	213
Totals, Personal Services	15.7	22.0	22.9	\$1,186	\$1,697	\$1,846
OPERATING EXPENSES AND EQUIPMENT				\$814	\$626	\$587
TOTALS, EXPENDITURES				\$2,000	\$2,323	\$2,433

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$1,298	\$1,340	\$1,435
Allocation for employee compensation	25	61	-
Allocation for employer's share of health benefits	1	1	-
Adjustment per Section 3.60	-36	-57	-
Totals Available	\$1,288	\$1,345	\$1,435
Unexpended balance, estimated savings	-237	-	-
TOTALS, EXPENDITURES	\$1,051	\$1,345	\$1,435
0995 Reimbursements			
Reimbursements	\$949	\$978	\$998
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,000	\$2,323	\$2,433

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office

0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY—Continued**CHANGES IN****AUTHORIZED POSITIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	15.7	22.0	22.0	\$979	\$1,471	\$1,478
Salary adjustments	—	—	—	—	105	156
Totals, Adjusted Authorized Positions	15.7	22.0	22.0	\$979	\$1,576	\$1,634
Proposed New Positions:				Salary Range		
C.E.A. II	—	—	1.0	6,430-7,089	—	77
Totals, Proposed New Positions	—	—	1.0	—	—	\$77
Total Adjustments	—	—	1.0	—	\$105	\$233
TOTALS, SALARIES AND WAGES	15.7	22.0	23.0	\$979	\$1,576	\$1,711

Governor's Office

0540 SECRETARY FOR RESOURCES

The Resources Agency, through its various departments, boards, commissions, and conservancies, administers programs that conserve, preserve, restore and enhance the rich and diverse natural resources of California. The Secretary for Resources, a member of the Governor's Cabinet, is responsible for administering programs and policies governing the acquisition, development and use of the State's resources to attain these objectives.

The Resources Agency consists of the Departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the State Reclamation Board; the Energy Resources Conservation and Development Commission; the California Conservation Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; and the Special Resources Program.

The Secretary for Resources is a voting member of the State Coastal Conservancy, the California Tahoe Conservancy, the Santa Monica Mountains Conservancy, the Coachella Valley Mountains Conservancy, the San Joaquin River Conservancy, San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy, and the San Francisco Bay Conservation and Development Commission. The secretary is also an ex-officio, non-voting, member of the Energy Resources Conservation and Development Commission and the California Coastal Commission. Along with the United States Secretary of Interior, the secretary co-leads the CALFED Bay Delta Program. In addition, the secretary administers the Sea Grant Program, the Open Space Subvention Program, the California Environmental Quality Act, the San Joaquin River Management Program, the Environmental Enhancement and Mitigation Demonstration Program, and the Coastal Resources and Energy Assistance Programs.

Major Budget Adjustments Proposed for 2000-01

- \$51,500,000 Bay-Delta Ecosystem Restoration Account (Proposition 204) for allocation through a grant process, carried out by CALFED.
- \$362,000 and 3 positions to coordinate natural and cultural issues.

Authority

Government Code Sections 12800, 12801 and 12805.

SUMMARY OF PROGRAM**REQUIREMENTS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Administration of Resources Agency...	18.6	27.4	30.3	\$6,533	\$11,925	\$58,140
TOTALS, PROGRAMS.....	18.6	27.4	30.3	\$6,533	\$11,925	\$58,140
0001 General Fund.....				4,872	9,998	4,928
0140 California Environmental License Plate Fund				781	770	782
0183 Environmental Enhancement and Mitigation Demonstration Program Fund.....				108	116	120
0546 Bay-Delta Ecosystem Restoration Account				—	—	51,500
0890 Federal Trust Fund				343	320	338
0928 Forest Resources Improvement Fund.....				—	250	—
0995 Reimbursements				429	471	472

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	18.6	27.8	27.8	\$1,087	\$1,514	\$1,544
Total Adjustments	—	—	3.0	—	60	397
Estimated Salary Savings	—	-0.4	-0.5	—	-87	-133
Net Totals, Salaries and Wages	18.6	27.4	30.3	\$1,087	\$1,487	\$1,808
Staff Benefits	—	—	—	282	351	419
Totals, Personal Services	18.6	27.4	30.3	\$1,369	\$1,838	\$2,227

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
OPERATING EXPENSES AND EQUIPMENT	\$1,566	\$1,676	\$1,266
SPECIAL ITEMS OF EXPENSE			
Bay-Delta Ecosystem Restoration Projects	—	—	51,500
TOTALS, EXPENDITURES	\$2,935	\$3,514	\$54,993

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$1,386	\$1,575	\$1,781
Allocation for employee compensation	28	85	—
Allocation for employer's share of health benefits	2	2	—
Adjustment per Section 3.60	-38	-76	—
Adjustment per Section 16.00	—	1	—
Prior year balances available:			
Chapter 779, Statutes of 1997	14	—	—
Totals Available	\$1,392	\$1,587	\$1,781
Unexpended balance, estimated savings	-118	—	—
TOTALS, EXPENDITURES	\$1,274	\$1,587	\$1,781

0140 California Environmental License Plate Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$799	\$763	\$782
Allocation for employee compensation	6	28	—
Adjustment per Section 3.60	-10	-21	—
Totals Available	\$795	\$770	\$782
Unexpended balance, estimated savings	-14	—	—
TOTALS, EXPENDITURES	\$781	\$770	\$782

**0183 Environmental Enhancement and Mitigation
Demonstration Program Fund ^s**

APPROPRIATIONS			
001 Budget Act appropriation	\$117	\$115	\$120
Allocation for employee compensation	2	8	—
Adjustment per Section 3.60	-3	-7	—
Totals Available	\$116	\$116	\$120
Unexpended balance, estimated savings	-8	—	—
TOTALS, EXPENDITURES	\$108	\$116	\$120

0546 Bay-Delta Ecosystem Restoration Account ^b

APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	—	—	\$51,500

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$470	\$320	\$338
Budget adjustment	-127	—	—
TOTALS, EXPENDITURES	\$343	\$320	\$338

0928 Forest Resources Improvement Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	—	\$250	—

0995 Reimbursements

Reimbursements	\$429	\$471	\$472
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,935	\$3,514	\$54,993

* Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Grants and Subventions.....	\$3,598	\$8,411	\$3,147

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
101 Budget Act appropriation (expenditures).....	\$3,598	\$2,711	\$3,147
102 Budget Act appropriation (expenditures).....	—	2,500	—
103 Budget Act appropriation (expenditures).....	—	3,200	—
TOTALS, EXPENDITURES (Local Assistance)	\$3,598	\$8,411	\$3,147
TOTALS, EXPENDITURES (State Operations and Local Assistance)	\$6,533	\$11,925	\$58,140

CHANGES IN
AUTHORIZED POSITIONS

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	18.6	27.8	27.8	\$1,087	\$1,514	\$1,544
Salary adjustments.....	—	—	—	—	60	113
Totals, Adjusted Authorized Positions	18.6	27.8	27.8	\$1,087	\$1,574	\$1,657
Proposed New Positions:				Salary Range		
Dep Secty	—	—	1.0	7,886-8,529	—	98
Asst Secty.....	—	—	2.0	7,517-8,131	—	186
Totals, Proposed New Positions	—	—	3.0	—	—	\$284
Total Adjustments.....	—	—	3.0	—	\$60	\$397
TOTALS, SALARIES AND WAGES	18.6	27.8	30.8	\$1,087	\$1,574	\$1,941

Governor's Office
0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY

The Youth and Adult Correctional Agency includes the Department of Corrections (CDC), Department of the Youth Authority, Board of Prison Terms, Youthful Offender Parole Board, Board of Corrections, the Narcotic Addict Evaluation Authority and the Commission on Correctional Peace Officers' Standards and Training. The Agency provides communication, coordination, and budget and policy direction for the departments and boards.

Major Budget Adjustments Proposed for 2000-01

- As of July 1, 2000, the Commission on Correctional Peace Officers' Standards and Training (CPOST) reports to the Youth and Adult Correctional Agency as an independent entity, which results in moving 3.0 positions and \$622,000 from the agency to CPOST.

Authority

Government Code Section 12811.

SUMMARY OF PROGRAM
REQUIREMENTS

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
10 Secretary for the Youth and Adult Correctional Agency	14.9	10.2	10.2	\$1,723	\$1,127	\$1,143
15 Commission on Correctional Peace Officers' Standards and Training ..	1.6	3.0	—	200	628	—
TOTALS, PROGRAMS.....	16.5	13.2	10.2	\$1,923	\$1,755	\$1,143
0001 General Fund.....				1,666	1,497	885
0995 Reimbursements				257	258	258

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office

0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 SECRETARY FOR THE YOUTH
AND ADULT CORRECTIONAL
AGENCY

State Operations:	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
0001 General Fund.....	14.9	10.2	10.2	\$1,466	\$869	\$885
0995 Reimbursements	—	—	—	257	258	258
Totals, State Operations	14.9	10.2	10.2	\$1,723	\$1,127	\$1,143

PROGRAM REQUIREMENTS

15 COMMISSION ON CORRECTIONAL
PEACE OFFICERS' STANDARDS
AND TRAINING

State Operations:	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
0001 General Fund.....	1.6	3.0	—	\$200	\$628	—
Totals, State Operations	1.6	3.0	—	\$200	\$628	—

TOTAL EXPENDITURES

State Operations	\$1,923	\$1,755	\$1,143
TOTALS, EXPENDITURES	\$1,923	\$1,755	\$1,143

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Authorized Positions (Equals Sch. 7A)	16.5	13.3	13.3	\$1,003	\$905	\$915
Total Adjustments	—	—	-3.0	—	42	-63
Estimated Salary Savings	—	-0.1	-0.1	—	-8	-7
Net Totals, Salaries and Wages	16.5	13.2	10.2	\$1,003	\$939	\$845
Staff Benefits	—	—	—	120	95	82
Totals, Personal Services	16.5	13.2	10.2	\$1,123	\$1,034	\$927
OPERATING EXPENSES AND EQUIPMENT				\$800	\$721	\$216
TOTALS, EXPENDITURES				\$1,923	\$1,755	\$1,143

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$8,183	\$833	\$885
Allocation for employee compensation	40	64	—
Allocation for employer's share of health benefits	2	1	—
Allocation for contingencies and emergencies	8	—	—
Adjustment per Section 3.60	-70	-31	—
Allocation per Section 16.00	—	2	—
Transfer to the Office of the Inspector General per Chapter 969, Statutes of 1998	-6,908	—	—
005 Budget Act appropriation	461	622	—
Allocation for employee compensation	3	11	—
Allocation for employer's share of health benefits	1	—	—
Adjustment per Section 3.60	-5	-5	—
Totals Available	\$1,715	\$1,497	\$885
Unexpended balance, estimated savings	-49	—	—
TOTALS, EXPENDITURES	\$1,666	\$1,497	\$885

* Dollars in thousands, except in Salary Range.

Governor's Office

0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

0995 Reimbursements	1998-99*	1999-00*	2000-01*
Reimbursements	\$257	\$258	\$258
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$1,923	\$1,755	\$1,143

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	16.5	13.3	13.3	\$1,003	\$905	\$915
Salary adjustments.....	—	—	—	—	42	64
Totals, Adjusted Authorized Positions	16.5	13.3	13.3	\$1,003	\$947	\$979
Workload and Administrative Adjustments:						
Positions transferred to 5480—Commission on Correctional Peace Officers' Standards and Training:				Salary Range		
Staff Svcs Mgr I.....	—	—	-1.0	4,346-5,243	—	-53
Assoc Govtl Prog Analyst.....	—	—	-1.0	3,619-4,367	—	-49
Ofc Asst-Typing	—	—	-1.0	1,747-2,256	—	-25
Totals, Workload and Administrative Adjustments.....	—	—	-3.0	—	—	-\$127
Total Adjustments	—	—	-3.0	—	\$42	-\$63
TOTALS, SALARIES AND WAGES	16.5	13.3	10.3	\$1,003	\$947	\$852

0552 OFFICE OF THE INSPECTOR GENERAL

The Office of the Inspector General has the responsibility for oversight of the State's Correctional System through audits and investigations of the boards and departments within the Youth and Adult Correctional Agency. Chapter 766, Statutes of 1994 created the Office of the Inspector General within the Youth and Adult Correctional Agency. However, Chapter 969, Statutes of 1998 changed and expanded the role of the Inspector General and re-established the office as an independent entity reporting directly to the Governor. In addition, Chapter 338, Statutes of 1998 requires the Office of the Inspector General to review specified Internal Affairs investigations of the boards and departments within the Youth and Adult Correctional Agency.

Authority

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6129.

Major Budget Adjustment Proposed for 2000-01

- 25.0 positions (23.7 personnel years) and \$2,259,000 General Fund to perform investigative retaliation workload mandated by Chapter 806, Statutes of 1999 (SB 377).
- 5.0 positions (4.8 personnel years) and \$451,000 General Fund to perform baseline management review audits mandated by Chapter 918, Statutes of 1999 (SB 868).

SUMMARY OF PROGRAM REQUIREMENTS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Office of the Inspector General	8.9	55.8	104.3	\$1,821	\$6,074	\$10,248
TOTALS, PROGRAMS.....	8.9	55.8	104.3	\$1,821	\$6,074	\$10,248
0001 General Fund.....				1,821	6,074	10,248

SUMMARY BY OBJECT						
1 STATE OPERATIONS						
PERSONAL SERVICES	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Authorized Positions (Equals Sch. 7A)	8.9	79.9	79.9	\$555	\$3,997	\$4,161
Total Adjustments	—	—	30.0	—	134	1,775
Estimated Salary Savings	—	-24.1	-5.6	—	-1,132	-280
Net Totals, Salaries and Wages	8.9	55.8	104.3	\$555	\$2,999	\$5,656
Staff Benefits	—	—	—	70	737	1,401
Totals, Personal Services	8.9	55.8	104.3	\$625	\$3,736	\$7,057
OPERATING EXPENSES AND EQUIPMENT				\$1,196	\$2,338	\$3,191
TOTALS, EXPENDITURES				\$1,821	\$6,074	\$10,248

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0552 OFFICE OF THE INSPECTOR GENERAL—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	—	\$7,294	\$10,248
Allocation for employee compensation	\$22	261	—
Allocation for employer's share of health benefits	1	4	—
Allocation for contingencies or emergencies	1,059	—	—
Adjustment per Section 3.60	—	-157	—
Transfer from Youth and Adult Correctional Agency per Chapter 338, Sec. 7(a) and Chapter 969, Sec. 10, Statutes of 1998	1,220	—	—
Totals Available	\$2,302	\$7,402	\$10,248
Unexpended balance, estimated savings	-481	-1,328	—
TOTALS, EXPENDITURES (State Operations)	\$1,821	\$6,074	\$10,248

CHANGES IN

AUTHORIZED POSITIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	8.9	79.9	79.9	\$555	\$3,997	\$4,161
Salary adjustments	—	—	—	—	134	328
Totals, Adjusted Authorized Positions	8.9	79.9	79.9	\$555	\$4,131	\$4,489
Proposed New Positions:				Salary Range		
Dep Insp Gen, In Charge	—	—	1.0	6,335-6,985	—	79
Dep Insp Gen, Sr	—	—	4.0	5,185-6,269	—	257
Dep Insp Gen	—	—	14.0	4,141-5,728	—	719
Assoc Dep Insp Gen	—	—	8.0	3,116-4,520	—	309
Ofc Techn-Typing	—	—	3.0	2,236-2,718	—	83
Totals, Proposed New Positions	—	—	30.0	—	—	\$1,447
Total Adjustments	—	—	30.0	—	\$134	\$1,775
TOTALS, SALARIES AND WAGES	8.9	79.9	109.9	\$555	\$4,131	\$5,936

Governor's Office

0555 SECRETARY FOR ENVIRONMENTAL PROTECTION

The Secretary for Environmental Protection (CalEPA), a member of the Governor's Cabinet, manages the State's environmental protection programs. The Secretary oversees the operations of the following organizations: Air Resources Board, California Integrated Waste Management Board, Department of Pesticide Regulation, State Water Resources Control Board, Department of Toxic Substances Control, and Office of Environmental Health Hazard Assessment. In addition, the Secretary administers the following special environmental programs: Permit Assistance Centers, Scientific Peer Review, Circuit Prosecutor Project, Information Technology for the new CalEPA headquarters building, Environmental Enforcement, ISO 14000/Permit Consolidation Zones, and the Santa Susana Advisory Panel.

SUMMARY OF PROGRAM

REQUIREMENTS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Environmental Protection Programs	21.9	22.9	25.6	\$2,533	\$2,556	\$2,928
20 Special Environmental Programs	—	38.5	38	4,345	6,328	4,724
TOTALS, PROGRAMS	21.9	61.4	63.6	\$6,878	\$8,884	\$7,652
0001 General Fund				3,396	3,781	4,177
0014 Hazardous Waste Control Account				298	298	316
0044 Motor Vehicle Account, State Transportation Fund				615	1,027	494
0100 California Used Oil Recycling Fund				22	55	25
0106 Department of Pesticide Regulation Fund				184	184	195
0193 Waste Discharge Permit Fund				—	432	12
0226 California Tire Recycling Management Fund				—	9	1
0281 Recycling Market Development Revolving Loan Account				135	135	143
0387 Integrated Waste Management Account				402	671	381
0439 Underground Storage Tank Cleanup Fund				48	48	51
0679 State Water Quality Control Fund				134	134	134
0995 Reimbursements				1,644	2,110	1,723

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

10 ENVIRONMENTAL PROTECTION PROGRAMS

Program Objectives Statement

The primary objective of Environmental Protection Programs is to improve environmental quality and protect public health and California's natural resources through the coordination of state environmental programs, thereby reducing administrative duplication and addressing the greatest environmental and health risks.

Major Budget Adjustments Proposed for 2000-01

- \$182,000 General Fund and 1.0 position to address environmental justice issues, as required by Chapter 690, Statutes of 1999.
- \$130,000 General Fund and 1.0 position to establish the Children's Environmental Health Center, created pursuant to Chapter 731, Statutes of 1999.
- \$121,000 General Fund and 1.0 position to provide direction on policy issues affecting the California-Mexico border.
- \$41,000 General Fund and \$33,000 various special funds to pay for contracted administrative services.
- \$7,000 General Fund and \$2,000 Motor Vehicle Account to cover moving and information technology costs related to the new CalEPA building.

Authority

Governor's Reorganization Plan No. 1 of 1991 and Health and Safety Code, Division 37.

20 SPECIAL ENVIRONMENTAL PROGRAMS

Program Objectives Statement

Special Environmental Programs includes Permit Assistance Centers, Scientific Peer Review, the Circuit Prosecutor Project, Information Technology for the new CalEPA headquarters building, Environmental Enforcement, ISO 14000/Permit Consolidation Zones, and the Santa Susana Advisory Panel.

Permit Assistance Centers assist individuals and businesses with their permitting needs. Thirteen Centers located throughout California provide a single point of contact to: (1) guide individuals and businesses through complex regulatory systems, (2) eliminate regulatory overlap, and (3) promote government coordination. Employees of cities, counties, regional governments and the State work together in shared facilities to better coordinate services to the public. In addition to the thirteen Centers, an Internet site (CalGold) provides greater convenience to those seeking permit assistance.

Chapter 295, Statutes of 1997, requires CalEPA to conduct an external peer review of the scientific basis for any rule or regulation proposed by a board, department or office within CalEPA. The external scientific body may be the National Academy of Sciences, the University of California, the California State University, or similar scientific institutions of higher learning, or a combination of those entities, or a scientist or group of scientists of comparable stature that is recommended by the President of the University of California. Program funds are used to contract for external scientific review services for various CalEPA boards, departments and offices, and to pay for related labor, travel, and overhead costs.

The Circuit Prosecutor Project is a joint effort with the California District Attorneys' Association to assure appropriate and uniform environmental enforcement by handling criminal and civil environmental prosecutions of cases under state toxic, air, and water pollution laws in various rural counties.

The integration of individual information technology systems that consider policy and technical issues is important to address issues that cross environmental areas of interest and to provide a cost-effective and efficient technical operation that interlinks similar needs and requirements of various boards, departments and offices within CalEPA. The Information Technology program supports the planning and coordination of information technology services and systems in the new CalEPA headquarters building.

The Environmental Enforcement Project implements Chapter 65, Statutes of 1999, requiring the Secretary to develop a program to ensure that enforcement actions taken within CalEPA's jurisdiction are consistent, effective, and coordinated, including the establishment of a cross-media enforcement unit and the crosstraining of inspection and enforcement personnel.

ISO 14000 refers to a series of environmental standards and protocols for environmental management. Instead of the current regulatory emphasis on complying with process requirements, ISO 14000 encourages businesses to incorporate performance-based standards to improve environmental performance at a lower cost than existing regulations. The Secretary for Environmental Protection convenes, directs, and oversees multi-disciplinary interdepartmental teams to conduct pilot projects involving ISO 14000 environmental management systems and Permit Consolidation Zone integrated compliance plans.

A one-year program was established in 1999 to fund the activities of an advisory panel which will determine the feasibility of a study of the health effects of potential radioactive and chemical contamination on neighboring communities of the Santa Susana Field Laboratory in Santa Susana, California.

Authority

Governor's Reorganization Plan No. 1 of 1991, Health and Safety Code, Division 37 and Section 57004.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ENVIRONMENTAL PROTECTION PROGRAMS

State Operations:	1998-99*	1999-00*	2000-01*
0001 General Fund	—	—	\$488
0044 Motor Vehicle Account, State Transportation Fund	\$615	\$594	481
0100 California Used Oil Recycling Fund	22	26	24
0387 Integrated Waste Management Account	252	272	224
0995 Reimbursements	1,644	1,664	1,711
Totals, State Operations	\$2,533	\$2,556	\$2,928

* Dollars in thousands, except in Salary Range.

Governor's Office

0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

PROGRAM REQUIREMENTS

20 SPECIAL ENVIRONMENTAL PROGRAMS

		1998-99*	1999-00*	2000-01*
State Operations:				
0001	General Fund	\$3,396	\$3,781	\$3,689
0014	Hazardous Waste Control Account	298	298	316
0044	Motor Vehicle Account, State Transportation Fund	—	433	13
0100	California Used Oil Recycling Fund	—	29	1
0106	Department of Pesticide Regulation Fund	184	184	195
0193	Waste Discharge Permit Fund	—	432	12
0226	California Tire Recycling Management Fund	—	9	1
0281	Recycling Market Development Revolving Loan Account	135	135	143
0387	Integrated Waste Management Account	150	399	157
0439	Underground Storage Tank Cleanup Fund	48	48	51
0679	State Water Quality Control Fund	134	134	134
0995	Reimbursements	—	446	12
Totals, State Operations		\$4,345	\$6,328	\$4,724
ELEMENT REQUIREMENTS				
20.10	Permit Assistance Centers	2,821	2,378	2,427
20.15	Scientific Peer Review	1,120	1,120	1,144
20.20	Circuit Prosecutor Project	404	404	420
20.25	Information Technology	—	1,599	46
20.30	Environmental Enforcement	—	509	517
20.45	ISO 14000/Permit Consolidation Zones	—	168	170
20.50	Santa Susana Advisory Panel	—	150	—
TOTALS, EXPENDITURES (State Operations)		\$4,345	\$6,328	\$4,724

SUMMARY BY OBJECT

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	21.9	62.9	62.4	\$1,345	\$3,221	\$3,257
Total Adjustments	—	—	3.0	—	145	530
Estimated Salary Savings	—	-1.5	-1.8	—	-90	-107
Net Totals, Salaries and Wages	21.9	61.4	63.6	\$1,345	\$3,276	\$3,680
Staff Benefits	—	—	—	245	591	632
Totals, Personal Services	21.9	61.4	63.6	\$1,590	\$3,867	\$4,312
OPERATING EXPENSES AND EQUIPMENT				\$5,288	\$5,017	\$3,340
TOTALS, EXPENDITURES				\$6,878	\$8,884	\$7,652

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation	—	\$3,710	\$4,177
Allocation for employee compensation	—	177	—
Allocation for employer's share of health benefits	—	3	—
Adjustment per Section 3.60	—	-109	—
Allocation from 3985 Special Environmental Programs	\$3,396	—	—
TOTALS, EXPENDITURES	\$3,396	\$3,781	\$4,177
0014 Hazardous Waste Control Account *			
APPROPRIATIONS			
001 Budget Act appropriation	—	\$298	\$316
Allocation from 3985 Special Environmental Programs	\$298	—	—
TOTALS, EXPENDITURES	\$298	\$298	\$316

* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

0044 Motor Vehicle Account, State Transportation Fund ^s

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$615	\$1,012	\$494
Allocation for employee compensation	9	34	—
Allocation for employer's share of health benefits	1	—	—
Adjustment per Section 3.60	-10	-19	—
TOTALS, EXPENDITURES	<u>\$615</u>	<u>\$1,027</u>	<u>\$494</u>

0100 California Used Oil Recycling Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$23	\$55	\$25
Allocation for employee compensation	—	1	—
Adjustment per Section 3.60	-1	-1	—
TOTALS, EXPENDITURES	<u>\$22</u>	<u>\$55</u>	<u>\$25</u>

0106 Department of Pesticide Regulation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	\$184	\$195
Allocation from 3985 Special Environmental Programs	\$184	—	—
TOTALS, EXPENDITURES	<u>\$184</u>	<u>\$184</u>	<u>\$195</u>

0193 Waste Discharge Permit Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	\$432	\$12
Allocation for employee compensation	—	2	—
Adjustment per Section 3.60	—	-2	—
TOTALS, EXPENDITURES	<u>—</u>	<u>\$432</u>	<u>\$12</u>

0226 California Tire Recycling Management Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	—	\$9	\$1

0281 Recycling Market Development Revolving Loan Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	\$135	\$143
Allocation from 3985 Special Environmental Programs	\$135	—	—
TOTALS, EXPENDITURES	<u>\$135</u>	<u>\$135</u>	<u>\$143</u>

0387 Integrated Waste Management Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$252	\$668	\$381
Allocation for employee compensation	4	12	—
Adjustment per Section 3.60	-4	-9	—
Allocation from 3985 Special Environmental Programs	150	—	—
TOTALS, EXPENDITURES	<u>\$402</u>	<u>\$671</u>	<u>\$381</u>

0439 Underground Storage Tank Cleanup Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	\$48	\$51
Allocation from 3985 Special Environmental Programs	\$48	—	—
TOTALS, EXPENDITURES	<u>\$48</u>	<u>\$48</u>	<u>\$51</u>

0679 State Water Quality Control Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	—	\$134	\$134
Allocation from 3985 Special Environmental Programs	\$134	—	—
TOTALS, EXPENDITURES	<u>\$134</u>	<u>\$134</u>	<u>\$134</u>

* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

0995 Reimbursements	1998-99*	1999-00*	2000-01*
Reimbursements	\$1,644	\$2,110	\$1,723
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$6,878	\$8,884	\$7,652

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	21.9	62.9	62.4	\$1,345	\$3,221	\$3,257
Salary adjustments.....	—	—	—	—	145	259
Totals, Adjusted Authorized Positions	21.9	62.9	62.4	\$1,345	\$3,366	\$3,516
Proposed New Positions:				Salary Range		
Asst Secty.....	—	—	1.0	8,508	—	102
CEA II	—	—	2.0	7,042-7,752	—	169
Totals, Proposed New Positions	—	—	3.0	—	—	\$271
Total Adjustments.....	—	—	3.0	—	\$145	\$530
TOTALS, SALARIES AND WAGES	21.9	62.9	65.4	\$1,345	\$3,366	\$3,787

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION

The Secretary for Education, a member of the Governor's Cabinet, is responsible for advising the Governor and making recommendations to ensure the education of California's children.

For the current fiscal year, the costs of the Secretary are funded through the Governor's Office of Planning and Research. It is assumed that legislation will be proposed in 2000 to establish the Secretary statutorily, effective on or before January 1, 2001.

In addition, the Secretary currently administers the Academic Volunteer and Mentor Service Program authorized by Chapter 901, Statutes of 1992. The primary responsibility in administering this program is to develop a statewide plan with the goal of matching every child in need with an academic mentor. The mentors work with the children to provide them with the motivation and incentive to succeed.

Major Budget Adjustments Proposed for 2000-01

- \$175 million in Proposition 98 funds to establish the Education Technology Grant Program pursuant to proposed legislation. Additionally, the Budget includes two positions and \$180,000 General Fund for this program.
- \$25 million in state operations for intensive staff development in the use of technology in the classroom provided through a contract with the California State University System.
- \$5 million in Proposition 98 funds to expand the Academic Volunteer and Mentor Service Program and \$180,000 General Fund and two positions to increase staffing for the program.
- \$500,000 in state operations to contract for a comprehensive study of existing data collections within the State Department of Education and an analysis of the short-term availability of data alternatives for factors to be included in the Academic Performance Index.
- \$500,000 in state operations to contract for services to school districts that do not currently offer Advanced Placement courses to assist these school districts in developing options to ensure access to such courses.

SUMMARY OF PROGRAM REQUIREMENTS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Office of the Secretary for Education ..	20.3	23.0	23.0	\$2,053	\$6,812	\$28,211
11 California Commission on Improving Life Through Service **.....	15.4	—	—	27,610	—	—
20 Academic Volunteer and Mentor Service Program.....	—	4.0	5.9	9,883	10,000	15,000
30 Education Technology Grant Program .	—	—	1.9	—	100,000	75,000
TOTALS, PROGRAMS.....	35.7	27.0	30.8	\$39,546	\$116,812	\$118,211
0001 General Fund.....				\$2,424	\$6,802	\$28,201
0001 General Fund (Proposition 98).....				9,883	110,000	90,000
0890 Federal Trust Fund				27,229	—	—
0995 Reimbursements				10	10	10

** Program transferred to Office of Planning and Research in 1999-00.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION—Continued

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	35.7	28.0	28.0	\$2,055	\$1,539	\$1,539
Total Adjustments	—	—	4.0	—	104	288
Estimated Salary Savings	—	-1.0	-1.2	—	-63	-70
Net Totals, Salaries and Wages	35.7	27.0	30.8	\$2,055	\$1,580	\$1,757
Staff Benefits	—	—	—	281	191	204
Totals, Personal Services	35.7	27.0	30.8	\$2,336	\$1,771	\$1,961
OPERATING EXPENSES AND EQUIPMENT				\$1,448	\$5,041	\$26,250
TOTALS, EXPENDITURES				\$3,784	\$6,812	\$28,211

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$1,362	\$1,188	\$1,248
Allocation for employee compensation	44	104	—
Allocation for employer's share of health benefits	3	2	—
Adjustment per Section 3.60	-25	-86	—
Adjustment per Section 16.00	—	1	—
Allocation from Office of Planning and Research	1,075	1,593	26,953
Chapter 2, Statutes of 1999, First Extraordinary Session	—	4,000	—
Totals Available	\$2,459	\$6,802	\$28,201
Unexpended balance, estimated savings	-35	—	—
TOTALS, EXPENDITURES	\$2,424	\$6,802	\$28,201

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$720	—	—
Allocation for employee compensation	26	—	—
Allocation for employer's share of health benefits	1	—	—
Adjustment per Section 3.60	-8	—	—
Allocation from Office of Planning and Research	715	—	—
Budget adjustment	-104	—	—
TOTALS, EXPENDITURES	\$1,350	—	—

0995 Reimbursements

Reimbursements (Allocation from Office of Planning and Research)	\$10	\$10	\$10
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,784	\$6,812	\$28,211

SUMMARY BY OBJECT**2 LOCAL ASSISTANCE**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Grants and subventions (expenditures)	\$35,762	\$110,000	\$90,000

RECONCILIATION WITH APPROPRIATIONS**2 LOCAL ASSISTANCE****0001 General Fund, Proposition 98**

APPROPRIATIONS	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Allocation from Office of Planning and Research (expenditures)	\$9,883	\$110,000	\$90,000

* Dollars in thousands, except in Salary Range.

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION—Continued

0890 Federal Trust Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
101 Budget Act appropriation.....	\$16,325	—	—
Allocation from Office of Planning and Research.....	14,875	—	—
Budget adjustment.....	-5,321	—	—
TOTALS, EXPENDITURES	\$25,879	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$35,762	\$110,000	\$90,000
TOTALS, EXPENDITURES (State Operations and Local Assistance)	\$39,546	\$116,812	\$118,211

CHANGES IN AUTHORIZED POSITIONS				1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	98-99	99-00	00-01	\$2,055	\$1,539	\$1,539
Salary adjustments.....	—	—	—	—	104	158
Totals, Adjusted Authorized Positions	35.7	28.0	28.0	\$2,055	\$1,643	\$1,697
Proposed New Positions:				Salary Range		
Staff Svcs Analyst	—	—	4.0	2,318-3,619	—	130
Total Adjustments	—	—	4.0	—	\$104	\$288
TOTALS, SALARIES AND WAGES	35.7	28.0	32.0	\$2,055	\$1,643	\$1,827

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, and liaison with local government, education and community interests; and helps implement decisions made within the Administration. In addition, the office has responsibilities pertaining to state planning, CEQA assistance, and environmental and federal project review procedures. In 1999-00, oversight of the Commission on Improving Life Through Service, which administers the California Americorps program, was transferred from the Office of the Secretary for Education to OPR.

Authority

Government Code Sections 4530-4535.3, 12035-12038, 13367.5(h), 13367.65, 15202, 65025-65049, 65050, 65302.6, 65420-65428, 65962.5, 66452.7, 66455.5, and 67470; Public Resources Code Sections 5096.89, 21080.3-21080.4, 21083-21087, 21165, 25616, and 30415; Fish and Game Code Section 711.4; Health and Safety Code Sections 25199-25199.9; Streets and Highways Code Section 228; Unemployment Insurance Code Section 10535; Welfare and Institutions Code Section 10807; California Administrative Code Sections 15051 and 15065.5.

SUMMARY OF PROGRAM REQUIREMENTS				1998-99*	1999-00*	2000-01*
11 State Planning and Policy	98-99	99-00	00-01			
Development.....	51.1	63.0	63.0	\$4,121	\$5,405	\$5,538
21 California Commission on Improving Life Through Service	—	22.3	16.9	—	34,267	33,844
TOTALS, PROGRAMS.....	51.1	85.3	79.9	\$4,121	\$39,672	\$39,382
0001 General Fund.....				3,273	4,074	4,134
0002 Property Acquisition Law Money Account.....				468	476	504
0890 Federal Trust Fund				24	33,782	33,389
0995 Reimbursements				356	1,340	1,355

11 STATE PLANNING AND POLICY DEVELOPMENT

Program Objectives Statement

The major activities of the office include: (1) recommending and implementing state policies with regard to growth management; (2) carrying out a program of policy research for the Governor and Cabinet; (3) providing technical advice to local governments with regard to planning; (4) advising permit applicants and government agencies on provisions of the California Environmental Quality Act (CEQA) and operating the State Clearinghouse for environmental and federal grant documents; and (5) conducting such other activities as the Governor may direct.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

21 CALIFORNIA COMMISSION ON IMPROVING LIFE THROUGH SERVICE (CCILTS)

Program Objectives Statement

The Commission, which oversees California's Americorps program, is dedicated to uniting individuals in service to their communities, promoting responsible citizenship, and achieving demonstrable results in addressing California's persistent unmet human, educational, public safety, and environmental needs. Commission members, acting as "Ambassadors of Service" in their local communities, promote, support and build service opportunities and networks which encourage Californians of all backgrounds, abilities and ages to build a California where all residents understand the importance of community service and social responsibility.

SUMMARY BY OBJECT

1 STATE OPERATIONS

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	51.1	81.6	76.2	\$2,287	\$4,000	\$3,827
Total Adjustments	—	6.0	6.0	—	631	676
Estimated Salary Savings	—	-2.3	-2.3	—	-212	-206
Net Totals, Salaries and Wages	51.1	85.3	79.9	\$2,287	\$4,419	\$4,297
Staff Benefits	—	—	—	547	753	706
Totals, Personal Services	51.1	85.3	79.9	\$2,834	\$5,172	\$5,003
OPERATING EXPENSES AND EQUIPMENT				\$1,287	\$2,600	\$2,479
TOTALS, EXPENDITURES				\$4,121	\$7,772	\$7,482

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$3,017	\$4,008	\$4,134
Allocation for employee compensation	306	165	—
Allocation for employer's share of health benefits	5	4	—
Adjustment per Section 3.60	-49	-103	—
011 Budget Act appropriation	1,099	1,093	26,953
Adjustment per Section 3.60	-24	—	—
Chapter 2X, Statutes of 1999	—	4,000	—
Transfer from Item 0558-001-0001 per Provision 1	1,384	1,209	—
Pending legislation	—	500	—
Less amount shown in Office of the Secretary for Education	-2,459	-6,802	-26,953
Totals Available	\$3,279	\$4,074	\$4,134
Unexpended balance, estimated savings	-6	—	—
TOTALS, EXPENDITURES	\$3,273	\$4,074	\$4,134

0002 Property Acquisition Law Money Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$468	\$470	\$504
Allocation for employee compensation	10	18	—
Allocation for employer's share of health benefits	1	—	—
Adjustment per Section 3.60	-9	-12	—
Totals Available	\$470	\$476	\$504
Unexpended balance, estimated savings	-2	—	—
TOTALS, EXPENDITURES	\$468	\$476	\$504

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$55	\$1,869	\$1,489
Allocation for employee compensation	—	55	—
Adjustment per Section 3.60	-3	1	—
Budget adjustment	-28	-43	—
011 Budget Act appropriation (Office of the Secretary for Education)	722	—	—

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Allocation from Office of the Secretary for Education.....	\$739	—	—
Adjustment per Section 3.60.....	-7	—	—
Budget adjustment (Office of the Secretary for Education).....	-104	—	—
Less amount shown in Office of the Secretary for Education.....	-1,350	—	—
TOTALS, EXPENDITURES	\$24	\$1,882	\$1,489
0995 Reimbursements			
Reimbursements	\$356	\$1,340	\$1,355
Reimbursements (Allocation to Office of the Secretary for Education)	10	10	10
Less amount shown in Office of the Secretary for Education	-10	-10	-10
TOTALS, EXPENDITURES	\$356	\$1,340	\$1,355
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$4,121	\$7,772	\$7,482

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE

0001 General Fund, Proposition 98

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
111 Budget Act appropriation	\$10,000	\$10,000	\$90,000
Pending legislation	—	100,000	—
Less amount shown in Office of the Secretary for Education	-9,883	-110,000	-90,000
Totals Available	\$117	—	—
Unexpended balance, estimated savings	-117	—	—
TOTALS, EXPENDITURES	—	—	—
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation (Office of the Secretary for Education)	\$14,875	—	—
101 Budget Act appropriation	—	\$31,900	\$31,900
Budget adjustment.....	-5,321	—	—
Allocation from Office of the Secretary for Education.....	16,325	—	—
Less amount shown in Office of the Secretary for Education	-25,879	—	—
TOTALS, EXPENDITURES	—	\$31,900	\$31,900
TOTALS, EXPENDITURES (Local Assistance)	—	\$31,900	\$31,900
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$4,121	\$39,672	\$39,382

CHANGES IN
AUTHORIZED POSITIONS

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	51.1	81.6	76.2	\$2,287	\$4,000	\$3,827
Salary adjustments.....	—	—	—	—	249	294
Totals, Adjusted Authorized Positions	51.1	81.6	76.2	\$2,287	\$4,249	\$4,121
Proposed New Positions:						
Innovation in Government Program:				Salary Range		
Temporary Help	—	6.0	6.0	—	382	382
Totals, Proposed New Positions	—	6.0	6.0	—	\$382	\$382
Total Adjustments.....	—	6.0	6.0	—	\$631	\$676
TOTALS, SALARIES AND WAGES	51.1	87.6	82.2	\$2,287	\$4,631	\$4,503

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES

The principal objective of the Office of Emergency Services (OES) is the coordination of emergency activities to save lives and reduce property losses during disasters and to expedite recovery from the effects of disasters.

On a day-to-day basis, OES provides leadership, assistance and support to state and local agencies in planning and preparing for the most effective use of federal, state, local and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid in which a jurisdiction relies first on its own resources, then calls for assistance from its neighbors. OES' plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, OES functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes, and it acts as the conduit for federal assistance through natural disaster grants and federal agency support.

SUMMARY OF PROGRAM

REQUIREMENTS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
15 Mutual Aid Response	76.7	85.6	85.6	\$16,375	\$13,799	\$14,704
35 Plans and Preparedness	138.5	149.5	145.3	21,791	33,838	24,357
45 Disaster Assistance	202.2	218.2	218.2	622,413	816,922	650,624
55 Administration and Executive	63.2	64.1	64.1	4,722	4,724	4,817
Distributed Administration and Executive	-	-	-	-4,722	-4,724	-4,817
98 State-Mandated Local Programs	-	-	-	-	-	-
TOTALS, PROGRAMS.....	480.6	517.4	513.2	\$660,579	\$864,559	\$689,685
0001 General Fund.....				100,750	166,259	81,777
0028 Unified Program Account				198	570	581
0029 Nuclear Planning Assessment Special Account				1,895	3,418	2,919
0372 Disaster Relief Fund.....				9,962	340	-
0437 State Assistance for Fire Equipment Account				13	100	100
0890 Federal Trust Fund				545,920	689,914	602,077
0995 Reimbursements				1,841	3,958	2,231

15 MUTUAL AID RESPONSE

Program Objectives Statement

This program provides emergency mutual aid services, including the effective use of federal, state and local resources, by and for the benefit of jurisdictions whose resources and services are overextended in a disaster situation. Central to this effort is maintaining operational readiness at all levels of government. Information Technology tools are used extensively to increase the effectiveness and efficiency of these efforts.

Major Budget Adjustments Proposed for 2000-01

- An increase of \$2,300,000 General Fund to replace radio dispatch equipment.
- An increase of \$750,000 General Fund to allow for the purchase of additional fire engines for mutual aid response purposes.

35 PLANS AND PREPAREDNESS

Program Objectives Statement

This program's objective is to develop and implement emergency plans to ensure consistency in planning at all levels of government. Training is also included in this program and covers emergency management courses in preparedness, mitigation and technical training for radiological response and recovery.

45 DISASTER ASSISTANCE

Program Objectives Statement

The objective of this program is to provide aid to local agencies for repair and restoration of public real property in stricken areas in time of disaster and to provide assurance that all public facilities will be restored in order to provide necessary services to the citizens of the affected areas.

The Natural Disaster Assistance Act was established by Chapter 290, Statutes of 1974. This law charges the director of the OES with the administration of the program. A local agency is eligible to participate in the program if it declares a local emergency which is found acceptable to the director of OES.

Through the Federal Disaster Relief Act (PL 93-288), local government and other public entities are provided financial and other assistance to recover from the effects of floods, earthquakes, and other natural disasters. In a presidential declaration of a "major disaster" or "major emergency," assistance may be provided to the public sector for repair and restoration of public facilities, roads, buildings, utilities, flood control systems, etc. and/or the private sector by coordinating state agency response in providing assistance to individuals impacted by the disaster.

Major Budget Adjustment Proposed for 2000-01

- An increase of \$70,000 General Fund and \$76,000 Federal Trust Fund in both current and budget years for 6.0 one-year limited-term positions to address grant payment workload.

55 ADMINISTRATION AND EXECUTIVE

This program provides the overall policy direction of the department from the director's office as well as supporting services such as accounting, personnel and business services.

98 STATE-MANDATED LOCAL PROGRAMS

Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with state mandates. This budget proposes to continue the suspension of the Deaf Teletype Equipment Mandate (Chapter 1032, Statutes of 1980).

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

*** Dollars in thousands, except in Salary Range.**

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

15 MUTUAL AID RESPONSE

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
State Operations:			
0001 General Fund	\$15,773	\$12,690	\$13,589
0437 State Assistance for Fire Equipment Account.....	13	100	100
0890 Federal Trust Fund.....	507	859	865
0995 Reimbursements.....	82	—	—
Totals, State Operations	\$16,375	\$13,649	\$14,554
Local Assistance:			
0890 Federal Trust Fund.....	—	150	150
Totals, Local Assistance	—	\$150	\$150

ELEMENT REQUIREMENTS

15.10 Fire and Rescue

State Operations:			
0001 General Fund	7,717	4,284	5,096
0437 State Assistance for Fire Equipment Account.....	13	100	100
0890 Federal Trust Fund.....	105	248	249
0995 Reimbursements.....	3	—	—
Local Assistance:			
0890 Federal Trust Fund.....	—	150	150

15.20 Law Enforcement

State Operations:			
0001 General Fund	1,281	1,115	1,141

15.30 Information Technology/Warning Center

State Operations:			
0001 General Fund	6,775	7,291	7,352
0890 Federal Trust Fund.....	402	611	616
0995 Reimbursements.....	79	—	—

PROGRAM REQUIREMENTS

35 PLANS AND PREPAREDNESS

State Operations:			
0001 General Fund	\$8,646	\$16,779	\$7,723
0028 Unified Program Account.....	198	570	581
0029 Nuclear Planning Assessment Special Account.....	671	984	869
0890 Federal Trust Fund.....	5,847	6,040	6,103
0995 Reimbursements.....	1,759	2,231	2,231
Totals, State Operations	\$17,121	\$26,604	\$17,507
Local Assistance:			
0029 Nuclear Planning Assessment Special Account.....	1,224	2,434	2,050
0890 Federal Trust Fund.....	3,446	4,800	4,800
Totals, Local Assistance	\$4,670	\$7,234	\$6,850

ELEMENT REQUIREMENTS

35.10 Plans and Preparedness

State Operations:			
0001 General Fund	5,920	15,016	5,892
0028 Unified Program Account.....	198	570	581
0029 Nuclear Planning Assessment Special Account.....	671	984	869
0890 Federal Trust Fund.....	5,530	4,452	4,511
0995 Reimbursements.....	39	95	95

Local Assistance:			
0029 Nuclear Planning Assessment Special Account.....	1,224	2,434	2,050
0890 Federal Trust Fund.....	3,446	4,500	4,500

35.30 Training

State Operations:			
0001 General Fund	2,726	1,763	1,831
0890 Federal Trust Fund.....	317	1,588	1,592
0995 Reimbursements.....	1,720	2,136	2,136

Local Assistance:			
0890 Federal Trust Fund.....	—	300	300

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

PROGRAM REQUIREMENTS**45 DISASTER ASSISTANCE**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
State Operations:			
0001 General Fund	\$9,062	\$8,832	\$9,253
0890 Federal Trust Fund	8,891	10,615	12,449
0995 Reimbursements	—	1,727	—
Totals, State Operations	\$17,953	\$21,174	\$21,702
Local Assistance:			
0101 General Fund—1999 Budget Act	—	1,249	—
0112 General Fund	67,269	126,709	51,212
0372 Special Fund—Payments for Local Agency Disaster Response from the Disaster Relief Fund	9,962	340	—
0890 Federal Trust Fund	527,229	667,450	577,710
Totals, Local Assistance	\$604,460	\$795,748	\$628,922

PROGRAM REQUIREMENTS**98 STATE-MANDATED LOCAL PROGRAMS**

Local Assistance:			
Ch. 1032/80—Deaf Teletype Equipment	\$0 ¹	\$0 ¹	\$0 ¹
Totals, Local Assistance	—	—	—

TOTAL EXPENDITURES

State Operations	\$51,449	\$61,427	\$53,763
Local Assistance	609,130	803,132	635,922
TOTALS, EXPENDITURES	\$660,579	\$864,559	\$689,685

¹ Mandate suspended pursuant to Government Code Section 17581.

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	480.6	559.4	554.8	\$22,449	\$26,748	\$27,178
Total Adjustments	—	3.0	3.0	—	1,191	2,114
Estimated Salary Savings	—	-45.0	-44.6	—	-2,235	-2,343
Net Totals, Salaries and Wages	480.6	517.4	513.2	\$22,449	\$25,704	\$26,949
Staff Benefits	—	—	—	6,175	5,837	6,104
Totals, Personal Services	480.6	517.4	513.2	\$28,624	\$31,541	\$33,053
OPERATING EXPENSES AND EQUIPMENT				\$22,825	\$29,886	\$20,710
TOTALS, EXPENDITURES				\$51,449	\$61,427	\$53,763

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$30,445	\$34,965	\$30,495
Allocation for employee compensation	292	1,641	—
Allocation for employer's share of health benefits	35	26	—
Deficiency appropriation per Government Code Section 8690.6	—	70	—
Allocation for Year 2000 per Item 9904-001-0001	3,441	2,486	—
Adjustment per Section 3.60	-566	-1,040	—
Adjustment per Section 16.00	—	9	—
Transfer to Legislative Claims (9670)	-2	-1	—
Chapter 490, Statutes of 1998	140	—	—
Chapter 294, Statutes of 1999	—	75	—
Prior year balances available:			
Chapter 490, Statutes of 1998	—	140	70
Totals Available	\$33,785	\$38,371	\$30,565

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Balance available in subsequent years	-\$140	-\$70	-
Unexpended balance, estimated savings	-164	-	-
TOTALS, EXPENDITURES	\$33,481	\$38,301	\$30,565
0028 Unified Program Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$456	\$563	\$581
Allocation for employee compensation	4	31	-
Allocation for employer's share of health benefits	1	1	-
Adjustment per Section 3.60	-10	-25	-
Totals Available	\$451	\$570	\$581
Unexpended balance, estimated savings	-253	-	-
TOTALS, EXPENDITURES	\$198	\$570	\$581
0029 Nuclear Planning Assessment Special Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$821	\$817	\$869
Allocation for employee compensation	7	32	-
Adjustment per Section 3.60	-14	-20	-
Prior year balances available:			
Item 0690-001-0029, Budget Act of 1997 per Government Code Section 8610.5(e) (Diablo Canyon)	166	-	-
Item 0690-001-0029, Budget Act of 1998 per Government Code Section 8610.5(e) (San Onofre)	-	155	-
Totals Available	\$980	\$984	\$869
Balance available in subsequent years	-155	-	-
Unexpended balance, estimated savings	-154	-	-
TOTALS, EXPENDITURES	\$671	\$984	\$869
0437 State Assistance for Fire Equipment Account ^s			
APPROPRIATIONS			
Government Code Section 8589.16 (expenditures)	\$13	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$18,108	\$17,156	\$19,417
Allocation for employee compensation	153	734	-
Allocation for employer's share of health benefits	19	10	-
Adjustment per Section 3.60	-351	-467	-
Adjustment per Section 16.00	-	5	-
Budget adjustment	-2,684	76	-
TOTALS, EXPENDITURES	\$15,245	\$17,514	\$19,417
0995 Reimbursements			
Reimbursements	\$1,841	\$3,958	\$2,231
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$51,449	\$61,427	\$53,763

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
101 Budget Act appropriation	-	\$1,249	-
112 Budget Act appropriation (Disaster Recovery Cost)	\$65,000	61,993	\$51,212
295 Budget Act appropriation (State Mandates)	0 ¹	0 ¹	0 ¹
Allocation per Government Code Section 16418	2,322	-	-
Deficiency appropriation per Government Code Section 8690.6	-	64,716	-
Chapter 780, Statutes of 1998 (State Mandates)	4	-	-
Prior year balances available:			
Chapter 780, Statutes of 1998 (State Mandates)	-	4	-
Adjustment per Government Code Section 17613	-	-4	-
Totals Available	\$67,326	\$127,958	\$51,212
Balance available in subsequent year	-4	-	-
Unexpended balance, estimated savings	-53	-	-
TOTALS, EXPENDITURES	\$67,269	\$127,958	\$51,212

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

0029 Nuclear Planning Assessment Special Account ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
101 Budget Act appropriation.....	\$1,934	\$1,981	\$2,050
Prior year balances available:			
Item 0690-101-0029, Budget Act of 1997 per Government Code			
Section 8610.5(e) (Diablo Canyon).....	430	—	—
Item 0690-101-0029, Budget Act of 1998 per Government Code			
Section 8610.5(e) (San Onofre).....	—	453	—
Totals Available	\$2,364	\$2,434	\$2,050
Balance available in subsequent years	-453	—	—
Unexpended balance, estimated savings	-687	—	—
TOTALS, EXPENDITURES	\$1,224	\$2,434	\$2,050

0372 Disaster Relief Fund ^s

APPROPRIATIONS			
102 Budget Act appropriation (Loma-Prieta Disaster Relief).....	\$10,000	\$340	—
Unexpended balance, estimated savings	-38	—	—
TOTALS, EXPENDITURES	\$9,962	\$340	—

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation.....	\$254,950	\$254,950	\$582,660
Increase expenditure authority per Provision 1.....	327,000	417,450	—
Budget adjustment.....	-51,275	—	—
TOTALS, EXPENDITURES	\$530,675	\$672,400	\$582,660
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$609,130	\$803,132	\$635,922
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$660,579	\$864,559	\$689,685

¹ Mandate suspended pursuant to Government Code Section 17581.

FUND CONDITION STATEMENT

0029 Nuclear Planning Assessment Special Account ^{s 2}

	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....	\$1,213	\$910	\$278
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees (Fixed Nuclear Powerplant Operators).....	2,131	3,332	3,332
Totals, Resources.....	\$3,344	\$4,242	\$3,610
EXPENDITURES			
Disbursements:			
0690 Office of Emergency Services:			
State Operations.....	671	984	869
Local Assistance	1,224	2,434	2,050
4260 Department of Health Services (State Operations)	539	546	488
Totals, Disbursements	\$2,434	\$3,964	\$3,407
FUND BALANCE.....	\$910	\$278	\$203
Reserve for economic uncertainties	910	278	203

0437 State Assistance for Fire Equipment Account ^s

BEGINNING BALANCE.....	\$302	\$285	\$284
REVENUES AND TRANSFERS			
Revenues:			
131700 Miscellaneous revenue from local agencies	—	100	100
Totals, Resources.....	\$302	\$385	\$384
EXPENDITURES			
Disbursements:			
0690 Office of Emergency Services (State Operations)	13	100	100
9900 Statewide General Administrative Expenditures (Pro Rata)	4	1	—
Totals, Disbursements	\$17	\$101	\$100
FUND BALANCE.....	\$285	\$284	\$284
Reserve for economic uncertainties	285	284	284

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	480.6	559.4	554.8	\$22,449	\$26,748	\$27,178
Salary adjustments	—	—	—	—	1,109	2,032
Totals, Adjusted Authorized Positions	480.6	559.4	554.8	\$22,449	\$27,857	\$29,210
Proposed New Positions:				Salary Range		
Staff Svcs Analyst ¹	—	0.5	0.5	2,411-3,764	14	14
Prog Techn III ¹	—	0.5	0.5	2,525-3,070	15	15
Prog Techn II ¹	—	0.5	0.5	2,258-2,745	14	14
Acctg Techn ¹	—	1.0	1.0	2,258-2,745	27	27
Prog Techn ¹	—	0.5	0.5	1,951-2,546	12	12
Totals, Proposed New Positions	—	3.0	3.0	—	\$82	\$82
Total Adjustments	—	3.0	3.0	—	\$1,191	\$2,114
TOTALS, SALARIES AND WAGES	480.6	562.4	557.8	\$22,449	\$27,939	\$29,292

¹ Limited-term positions expire 12/31/00.

² The fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

STATE BUILDING PROGRAM EXPENDITURES			Actual 1998-99*	Estimated 1999-00*	Proposed 2000-01*
80 CAPITAL OUTLAY					
PROGRAM ELEMENTS					
Major Project					
80.10.001 Sacramento-OES Headquarters and State Operations Center			\$1,168 ^{Cn}	\$30,270 ^{CEn}	—
TOTALS, EXPENDITURES, CAPITAL OUTLAY			\$1,168	\$30,270	—
0660 Public Buildings Construction Fund ⁿ			1,168	30,270	—

RECONCILIATION WITH APPROPRIATIONS
3 CAPITAL OUTLAY
0660 Public Buildings Construction Fund ⁿ

APPROPRIATIONS				
301 Budget Act appropriation	\$25,330	\$6,720	—	
Prior year balances available:				
Item 0690-301-0660, Budget Act of 1998, as reappropriated by Item				
0690-490, Budget Act of 1999	—	24,162	—	
Totals Available	\$25,330	\$30,882	—	
Balance available in subsequent years	-24,162	—	—	
Unexpended balance, estimated savings	—	-612	—	
TOTALS, EXPENDITURES (Capital Outlay)	\$1,168	\$30,270	—	

0695 NATURAL DISASTER ASSISTANCE

The Loma Prieta earthquake struck the Greater San Francisco-Oakland Bay Area on October 17, 1989, causing several billion dollars worth of damage to private and public property and disrupting the lives of hundreds of thousands of Californians. As part of the response to the earthquake, the Governor called a Special Session of the Legislature on November 2, 1989 to provide funding and aid for victims of the earthquake and to restore public property damaged or destroyed by the earthquake.

As a result of the high cost of this disaster, a special one-quarter cent state sales tax was enacted and collected from December 1, 1989 through December 31, 1990. These receipts are maintained in the Disaster Relief Fund and are used for the various recovery and assistance programs for individuals, as well as local and state governmental entities. State agencies which have utilized these funds for Loma Prieta recovery programs include the Departments of Transportation, Housing and Community Development, Commerce, Social Services, as well as the Office of Emergency Services (OES) and the Board of Control. Specific information about these programs may be obtained from those agencies.

This exhibit displays the current status of the Disaster Relief Fund. During the 1996-97 and prior fiscal years, monies from this fund were allocated by the Department of Finance to OES on an as-needed basis. Beginning with the 1997-98 fiscal year, expenditures from the Disaster Relief Fund were proposed by the OES through a Budget Act appropriation and are included in OES's budget.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

*** Dollars in thousands, except in Salary Range.**

0695 NATURAL DISASTER ASSISTANCE—Continued**RECONCILIATION WITH APPROPRIATIONS****Informational Display****1 STATE OPERATIONS****0372 Disaster Relief Fund ^s**

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
102 Budget Act appropriation, Item 0690-102-0372.....	(\$10,000)	(\$2,924)	—

FUND CONDITION STATEMENT**0372 Disaster Relief Fund ^s**

	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....	\$12,892	\$378	\$38
Prior year adjustments	—	—	—
Balance, Adjusted.....	\$12,892	\$378	\$38
REVENUES AND TRANSFERS			
Transfer to Other Funds:			
T00001 General Fund per Government Code Section 8690.6	-2,552	—	—
Totals, Resources.....	\$10,340	\$378	\$38
EXPENDITURES:			
0690 Office of Emergency Services (Local Assistance).....	9,962	340	—
FUND BALANCE.....	\$378	\$38	\$38
Reserve for economic uncertainties	378	38	38

0720 GOVERNOR'S PORTRAIT

These funds are budgeted for the traditional painting of the Governor's portrait. The portrait will be displayed in the State Capitol Building along with the portraits of all Governors of California.

SUMMARY OF PROGRAM REQUIREMENTS

	1998-99*	1999-00*	2000-01*
10 Governor's Portrait (General Fund).....	\$25	—	—

SUMMARY BY OBJECT**RECONCILIATION WITH APPROPRIATIONS****1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation (expenditures).....	\$25	—	—

0730 REQUIREMENTS OF GOVERNOR-ELECT AND THE OUTGOING GOVERNOR

These funds are to provide assistance to the Governor-elect and the outgoing Governor, during the transition period following the election, in carrying out the duties described under the provisions of Government Code Sections 12015 and 12015.5.

The law provides that State agencies furnish to the Governor-elect any information or assistance necessary in the preparation of the annual state budget and for the orderly transfer of the executive power. This gives the Governor-elect approximately two months to formulate policies and to incorporate them in the various programs included in the Budget which will be transmitted to the Legislature.

The law also provides for the outgoing Governor to appoint persons for up to a two-month period, to assist in concluding matters arising from official duties during the last term of office.

Of the \$650,000 budgeted, \$550,000 is for the Governor-elect and \$100,000 is for the outgoing Governor.

SUMMARY OF PROGRAM REQUIREMENTS

	1998-99*	1999-00*	2000-01*
10 Transition Funding (General Fund).....	\$650	—	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

**0730 REQUIREMENTS OF GOVERNOR-ELECT AND
THE OUTGOING GOVERNOR—Continued**

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS**

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation (expenditures).....	\$650	—	—

0750 OFFICE OF THE LIEUTENANT GOVERNOR

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues.

In addition, under state statutes the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance for the development of California's economy. The Lieutenant Governor is also a member of the California State World Trade Commission, the State Job Training Coordinating Council, and the California Emergency Council.

Authority

Constitution, Article V, Sections 9, 10; Article IX, Section 9. Education Code Section 66602; Government Code Sections 8704, 8575, 15364.2 and 14999 through 14999.8; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

SUMMARY OF PROGRAM

REQUIREMENTS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 General Activities	11.9	21.9	21.9	\$1,376	\$1,743	\$1,774
TOTALS, PROGRAMS.....	11.9	21.9	21.9	\$1,376	\$1,743	\$1,774
0001 General Fund.....				1,376	1,743	1,774

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Authorized Positions (Equals Sch. 7A)	11.9	22.0	22.0	\$551	\$1,131	\$1,164
Total Adjustments	—	—	—	—	40	75
Estimated Salary Savings	—	-0.1	-0.1	—	-5	-5
Net Totals, Salaries and Wages	11.9	21.9	21.9	\$551	\$1,166	\$1,234
Staff Benefits	—	—	—	132	162	163
Totals, Personal Services	11.9	21.9	21.9	\$683	\$1,328	\$1,397
OPERATING EXPENSES AND EQUIPMENT				\$693	\$415	\$377
TOTALS, EXPENDITURES				\$1,376	\$1,743	\$1,774

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$1,366	\$1,740	\$1,774
Allocation for employee compensation	43	66	—
Allocation for employer's share of health benefits	2	1	—
Adjustment per Section 3.60	-31	-64	—
Totals Available	\$1,380	\$1,743	\$1,774
Unexpended balance, estimated savings	-4	—	—
TOTALS, EXPENDITURES (State Operations).....	\$1,376	\$1,743	\$1,774

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0750 OFFICE OF THE LIEUTENANT GOVERNOR—Continued

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	11.9	22.0	22.0	\$551	\$1,131	\$1,164
Salary adjustments	—	—	—	—	40	75
Totals, Adjusted Authorized Positions	11.9	22.0	22.0	\$551	\$1,171	\$1,239
Total Adjustments	—	—	—	—	\$40	\$75
TOTALS, SALARIES AND WAGES	11.9	22.0	22.0	\$551	\$1,171	\$1,239

0820 DEPARTMENT OF JUSTICE

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissions and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust and civil rights laws; and assists district attorneys in the administration of justice. The department also coordinates efforts to address the statewide narcotic enforcement problem; assists local law enforcement in the investigation and analysis of crimes; provides person and property identification and information services to criminal justice agencies; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair and illegal activities.

SUMMARY OF PROGRAM REQUIREMENTS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
11.01 Directorate and Administration	774.5	291.2	298.8	\$46,896	\$16,874	\$18,675
11.02 Distributed Directorate and Administration	—	—	—	—46,896	—16,874	—18,675
12.01 Legal Support and Technology	—	670.4	701.3	—	36,546	39,571
12.02 Distributed Legal Support and Technology	—	—	—	—	—36,546	—39,571
25 Executive Programs	61.5	50.1	53.5	8,848	10,571	9,398
30 Civil Law	504.7	494.5	507.5	89,037	92,618	96,092
40 Criminal Law	562.7	612.9	614.8	72,645	82,995	85,861
45 Public Rights	234.2	227.9	266.0	31,455	35,188	41,842
50 Law Enforcement	937.3	1,112.8	1,153.2	107,960	133,242	131,322
60 Criminal Justice Information Services	1,311.0	1,508.3	1,575.2	122,450	138,126	141,521
65 Gambling	48.2	82.1	86.9	3,683	5,599	7,008
70 Firearms	—	78.3	81.6	—	8,879	8,019
98 State-Mandated Local Programs	—	—	—	23,180	17,027	11,518
TOTALS, PROGRAMS	4,434.1	5,128.5	5,338.8	\$459,258	\$524,245	\$532,581
Less amount funded in the Political Reform Act	—	—	—	(222)	(222)	—222
NET TOTALS, PROGRAMS	4,434.1	5,128.5	5,338.8	\$459,258	\$524,245	\$532,359
0001 General Fund				256,503	273,521	277,181
0012 Attorney General Antitrust Account				1,042	1,047	1,066
0014 Hazardous Waste Control Account				1,646	1,682	1,612
0015 Firearms Safety Training Fund				520	462	458
0017 Fingerprint Fees Account				33,619	43,462	45,958
0032 Firearm Safety Account				—	177	355
0044 Motor Vehicle Account, State Transportation Fund				18,141	18,432	18,771
0142 Sexual Habitual Offender Fund				1,538	1,557	1,608
0158 Travel Seller Fund				505	1,071	926
0195 Conservatorship Registry Fund				—	—	49
0214 Restitution Fund				1,594	5,819	3,000
0255 Department of Justice DNA Testing Fund				—	1,305	225
Less funding provided by General Fund				—	—1,305	—225
0256 Sexual Predator Public Information Fund				52	49	50
0378 Attorney General False Claims Act Fund				2,889	5,960	9,332
0460 Dealers' Record of Sale Special Account				7,104	8,582	8,936
0557 Toxics Substances Control Account				1,738	1,779	1,909

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
0566 <i>Department of Justice Child Abuse Fund.....</i>	\$192	\$176	\$199
0567 <i>Gambling Control Fund</i>	3,887	4,248	5,689
0569 <i>Gambling Control Fines and Penalties</i>	1	257	195
0641 <i>Domestic Violence Restraining Order Reimbursement Fund</i>	—	600	1,918
0890 <i>Federal Trust Fund</i>	30,250	43,347	37,474
0942 <i>State Asset Forfeiture Account, Special Deposit Fund</i>	439	445	455
0942 <i>Federal Asset Forfeiture Account, Special Deposit Fund.....</i>	161	1,308	1,327
0942 <i>Lake Davis North Pike Eradication Relief Account, Special Deposit Fund.....</i>	6,542	—	—
<i>Less funding provided by General Fund.....</i>	-9,176	—	—
0995 <i>Reimbursements</i>	100,071	110,264	113,891

11 DIRECTORATE AND ADMINISTRATION**Program Objectives Statement**

The Directorate and Administration divisions of the Department of Justice consist of the Attorney General's Executive Office, which maintains overall direction and administration of the diverse programs and projects of the department, the Affirmative Action Office and the Opinion Unit. The Opinion Unit performs the Attorney General's duty under Government Code Section 12519 to provide written opinions to designated public officers on questions of law relating to their respective offices. This unit drafts all formal opinions issued by the Attorney General, most of which are published. Also within this program is the Administrative Services Division which provides fiscal, personnel, and technical support activities for the entire department.

Major Budget Adjustment Included in 1999-00

- An increase of \$329,000 (\$187,000 General Fund, \$69,000 Special Funds, \$6,000 Federal Funds, \$67,000 Reimbursements) for the department to compensate the Department of General Services for increased costs for state-owned facilities.

Major Budget Adjustments Proposed for 2000-01

- An increase of 7.6 personnel years and \$518,000 (\$314,000 General Fund, \$88,000 Special Funds, and \$116,000 Reimbursement) to address the increased workload in the Accounting Office.
- An increase of \$906,000 (\$513,000 General Fund, \$192,000 Special Funds, \$16,000 Federal Funds, \$185,000 Reimbursements) for the department to compensate the Department of General Services for increased costs for state-owned facilities.

12 DIVISION OF LEGAL SUPPORT AND TECHNOLOGY**Program Objectives Statement**

The Division of Legal Support and Technology encompasses a broad scope of programs and services, including information and technology services; legal research; large document case indexing, automated trial presentation, and litigation support services; orientation and training services to all legal office employees with special focus on legal secretaries and newly appointed deputies; legal secretarial services; and administrative functions which would be essential to the operation of a law firm.

Major Budget Adjustment Included in 2000-01

- An increase of 51.2 positions (48.6 personnel years) to address workload requirements driven by the Civil Law Division, Criminal Law Division, and Public Rights Division. The costs of these positions are distributed to each legal division.

25 EXECUTIVE PROGRAMS**Program Objectives Statement**

The primary responsibility of the Division of Executive Programs is the establishment and maintenance of communications between the department and the public, the news media, the Legislature, and law enforcement. The division consists of the Legislative Unit; the Crime Prevention Center; the Public Inquiry Unit; the Office of Community and Consumer Affairs; Press, Communications and Media; Protective Services and Special Liaisons; and the Special Assistant Attorneys General.

Major Budget Adjustment Proposed for 2000-01

- An increase of 0.5 personnel year and \$134,000 (General Fund) for the Crime and Violence Prevention Center to implement Chapter 414, Statutes of 1999 (AB 1499) to provide training to care facilities' staff in recognizing and reporting elder and dependent adult abuse.

30 CIVIL LAW**Program Objectives Statement**

The Civil Law Program protects and preserves the public interests by providing skilled legal services to all state agencies and Constitutional Officers. The Civil Law Program provides specialized services in the following areas: Licensing; Government Law; Business and Tax; Health, Education and Welfare; Health Quality Enforcement; Tort and Condemnation; and Enforcement, Regulation and Administration.

Major Budget Adjustments Included in 1999-00

- An increase of \$935,000 (General Fund) to the Business Tax Section to pay for external counsel in the state of Nevada to represent the State of California in the matter of Hyatt v. Franchise Tax Board.
- An increase of 17.2 personnel years and \$2,635,000 (False Claims Act Fund) for the False Claims Section to address increasing False Claim Act litigation workload and allow the department to meet statutory responsibilities.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

Major Budget Adjustments Proposed for 2000–01

- An increase of 17.0 personnel years and \$2,266,000 (\$815,000 General Fund and \$1,451,000 Reimbursements) to provide additional supervisory oversight of attorneys and legal assistants.
- An increase of \$1,382,000 (General Fund) to the Business and Tax Section to pay for external counsel in the state of Nevada to represent the State of California in the matter of Hyatt v. Franchise Tax Board.
- An increase of 30.6 personnel years and \$5,999,000 (False Claims Act Fund) to the False Claims Section to address increasing False Claim Act litigation workload and allow the department to meet statutory responsibilities.
- An increase of 24.9 personnel years and \$3,785,000 (General Fund) for the Tobacco Litigation Section for the strategic enforcement of the Master Settlement Agreement, to ensure industry compliance, and to defend the payment stream and ensure receipt of all funds due to the state resulting from the settlement.
- An increase of 1.5 personnel years and \$183,000 (General Fund) for the State Government Section to implement Chapter 367, Statutes of 1999 (SB 497), pertaining to the enforcement of community redevelopment laws.
- An increase of 1.5 personnel years and \$183,000 (General Fund) for the State Government Section for Audit Appeal Panel legal representation.
- An increase of \$159,000 (\$87,000 General Fund, \$57,000 Reimbursements, and \$15,000 False Claims Fund) for the Civil Law Division to provide training to attorneys.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

40 CRIMINAL LAW**Program Objectives Statement**

The Criminal Law Program represents the state in all criminal matters before the appellate and supreme courts and defends the state and its officers in actions filed by state prisoners under the Federal Civil Rights Act. This program also fulfills the Attorney General's responsibilities with regard to assisting the district attorneys in cases for which they are disqualified, assisting the Commission on Judicial Performance, enforcing the Political Reform Act, advising the Governor on extradition matters, prosecuting criminal actions involving Medi-Cal provider fraud and patient abuse, conducting criminal investigations and prosecutions of those engaged in illegal activities where local resources are inadequate to perform these functions.

Major Budget Adjustments Proposed for 2000–01

- An increase of 1.9 personnel years and \$366,000 General Fund to address legal issues related to DNA.
- An increase of \$490,000 (Local Assistance Funds) to the Spousal Abuser Prosecution Program to provide continuing funding for the district attorneys and city attorneys that are existing grant recipients.
- An increase of \$161,000 (\$97,000 General Fund and \$64,000 Reimbursements) for the Criminal Law Division to provide training to attorneys.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, in Government Code Sections 995, 996, 12511, 12512, 12550, 83116, 91001(a), and 91003, and in Penal Code Sections 1256 and 1548.3.

45 PUBLIC RIGHTS**Program Objectives Statement**

The Public Rights element protects and preserves the public interest by providing skilled legal services to all state agencies and Constitutional Officers. The Public Rights Program provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trust (including Charitable Trust Registration); Natural Resources; Indian and Gaming Law; Environmental Law; Land Law; Consumer Law; Antitrust Law; and Child Support Enforcement.

Major Budget Adjustments Proposed for 2000–01

- An increase of 3.8 personnel years and \$510,000 (\$183,000 General Fund and \$327,000 Reimbursements) to provide additional supervisory oversight of attorneys and legal assistants.
- An increase of 7.8 personnel years and \$823,000 (General Fund) to the Environmental Law Section to address workload related to the enforcement of Proposition 65, The Safe Drinking Water and Toxic Enforcement Act of 1986, and independent enforcement of environmental laws.
- An increase of 2.7 personnel years and \$233,000 for additional reimbursement authority for the Consumer Law Section to address workload increases.
- An increase of 3.9 personnel years and \$411,000 for additional reimbursement authority for the Land Law Section to address workload increases from the CALFED Bay-Delta Program.
- An increase of 1.5 personnel years and \$152,000 from the Sellers of Travel Fund for the Travel Sellers Unit to address workload related to seller of travel enforcement.
- An increase of 6.6 personnel years and \$434,000 of additional reimbursement authority for the Charitable Trust Registry Section to address oversight and enforcement workload related to Chapter 445, Statutes of 1998 (AB 1810).
- An increase of 1.0 personnel year and \$49,000 (Special Fund) to implement Chapter 409, Statutes of 1999 (AB 925) in the Charitable Trust Section to establish and maintain a statewide registry of court appointed private conservators and guardians.
- An increase of \$60,000 (\$37,000 General Fund and \$23,000 Reimbursements) for the Public Rights Division to provide training to attorneys.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

0820 DEPARTMENT OF JUSTICE—Continued

50 LAW ENFORCEMENT

Program Objectives Statement

The Division of Law Enforcement is organized into three elements. The Bureau of Investigation conducts criminal investigations of statewide importance and provides investigative services, criminal intelligence, and specialized equipment to criminal justice agencies, public agencies, and to the Department of Justice in criminal cases. The Bureau of Narcotic Enforcement combats the state's narcotic problem by providing leadership, coordination, and support to law enforcement through 9 regional offices and 28 multi-agency drug task forces, special operations units, drug diversion, violence suppression teams, clandestine laboratory teams, and crackdown teams. The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies by operating 11 specialized laboratories serving 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders.

Major Budget Adjustment Included in 1999–00

- An increase of \$410,000 (General Fund) and \$7,000 (Federal Funds) to address increased costs in the Law Enforcement Division for overtime as a result of public safety employee compensation adjustments.

Major Budget Adjustments Proposed for 2000–01

- An increase of 7.6 personnel years and \$1,000,000 General Fund to support the prevention of sales of illegal weapons at gun shows.
- An increase of 22.8 personnel years and \$5,085,000 General Fund to address DNA workload, including backlog reduction and suspectless case analysis.
- An increase of 6.6 personnel years and \$793,000 (\$643,000 General Fund; \$150,000 reimbursements) to be allocated to the Bureau of Investigation to provide state-level assistance to regional high technology crime task forces.
- An increase of \$558,000 (General Fund) and \$9,000 (Federal Funds) to address increased costs in the Law Enforcement Division for overtime as a result of public safety employee compensation adjustments.
- An increase of \$1,226,000 (General Fund) for the Bureau of Narcotic Enforcement, the Bureau of Investigation, and the Mission Support Branch to replace equipment and to purchase technologically advanced surveillance monitoring equipment.
- An increase of \$2.3 million (General Fund) for the Bureau of Forensic Services to replace equipment and to update the technology of the criminalistics laboratory system.
- An increase of 2.8 personnel years and \$249,000 (General Fund) for the Bureau of Forensic Services to continue the pilot project for solving old homicide cases in California.
- An increase of \$167,000 (General Fund) for the Bureau of Forensic Services for the rent of Ripon Forensic Laboratory.
- An increase of 6.1 personnel years and \$364,000 (General Fund) for the Bureau of Forensic Services for the continued implementation of Chapter 911, Statutes of 1998 (AB 2011), firearm serial restoration.

60 CRIMINAL JUSTICE INFORMATION SERVICES

Program Objectives Statement

The Criminal Justice Information Services Division is organized into four elements. The Western State Information Network provides an automated database of suspected narcotic traffickers for member agencies in Alaska, Hawaii, California, Oregon, and Washington. The Bureau of Criminal Identification and Information maintains and administers the fingerprint identification system and the criminal history record system. The Bureau of Criminal Information and Analysis maintains and administers data bases on persons, property, and firearms. The Hawkins Data Center operates the Criminal Justice Information System (CJIS) and the California Law Enforcement Telecommunications System (CLETS).

Major Budget Adjustments Included in 1999–00

- An increase of 3.8 personnel years and \$560,000 (General Fund) to the Bureau of Criminal Identification and Information to process relative care giver background clearances as authorized by Chapter 453, Statutes of 1997 (SB 468).
- An increase of \$554,000 of Federal Funds from the previous grant year for the Bureau of Criminal Information and Analysis for the National Sex Offender Registry program.
- An increase of 6.6 personnel years and \$1,013,000 spending authority for expenditure of carry over funds from previous National Criminal History Improvement Program grants for the Bureau of Criminal Identification and Information.
- An increase of \$641,000 in spending authority from the Fingerprint Fee Account to address an increase in the number of fingerprint background clearance workload being submitted to the Federal Bureau of Investigation by the Bureau of Criminal Identification and Information.
- An increase in spending authority of \$344,000 in Federal Funds for the Western States Information Network related to High Intensity Drug Trafficking Areas.
- An increase of spending authority of \$672,000 from the Fingerprint Fee Account for the Bureau of Identification and Information to address an increase in expenditures to the Federal Bureau of Investigation for fingerprint clearance workload pursuant or Chapter 318, Statutes of 1999 (AB 341) for security guard registration.
- An increase in position and spending authority from the Fingerprint Fees Account, 2.3 personnel years and \$1,868,000, for the Bureau of Criminal Identification and Information for the implementation of Chapter 881, Statutes of 1999 (AB 1659), Foster Care Reform legislation.

Major Budget Adjustments Proposed for 2000–01

- An increase of 10.5 personnel years \$1,120,000 (General Fund) to the Bureau of Criminal Identification and Information to process relative care giver background clearances as authorized by Chapter 453, Statutes of 1997 (SB 468).
- An increase of 2.9 personnel years and \$250,000 (General Fund) for the Bureau of Criminal Information and Analysis for the continued implementation of Chapter 911, Statutes of 1998 (AB 2011), firearm serial restoration.
- An increase of 37.0 personnel years and \$4,058,000 from the Fingerprint Fee Account for the Bureau of Identification and Information to respond to the workload resulting from AB 1610 and AB 1612 relating to criminal background checks for school employee applicants.
- An increase of 5.7 personnel years and \$914,000 from the Fingerprint Fee Account for the Bureau of Criminal Identification and Information for workload mandated by Chapter 558, Statutes of 1997 (SB 945), regarding criminal background checks required for nursing assistants and home health aides.

0820 DEPARTMENT OF JUSTICE—Continued

- An increase of 76.0 personnel years and \$3,854,000 in Federal Funds from the fifth year grant for the National Criminal History Improvement Program for the Bureau of Criminal Identification and Information.
- An increase in spending authority of \$848,000 in Federal Funds and \$5,223,000 in Reimbursements and 83.6 personnel years for the Western States Information Network related to High Intensity Drug Trafficking Areas.
- An increase of \$1,456,000 in spending authority from the Fingerprint Fee Account to address an increase in the number of fingerprint background clearance workload being submitted to the Federal Bureau of Investigation by the Bureau of Criminal Identification and Information.
- An increase of 66.5 personnel and \$3,021,000 in spending authority from the Fingerprint Fees Account, a two year limited term, for the Bureau of Identification and Information to convert hard copy applicant fingerprint cards to an automated format.
- An increase in reimbursement authority of \$605,000 for the Bureau of Criminal Identification and Information from the Edward Byrne Memorial federal grant fund designated for the support of the Long Range Automation Plan for Criminal Justice Records Improvement.
- An increase of \$1,357,000 (General Fund) to reflect direct appropriation of General Fund to the Department of Justice and discontinuance of reimbursements from the Department of Social Services for program activities.
- An increase of spending authority of \$1,344,000 from the Fingerprint Fee Account for the Bureau of Identification and Information to address an increase in expenditures to the Federal Bureau of Investigation for fingerprint clearance workload pursuant to Chapter 318, Statutes of 1999 (AB 341) for security guard registration.
- An increase in position and spending authority from the Fingerprint Fees Account, 2.8 personnel years and \$2,223,000, for the Bureau of Criminal Identification and Information for the implementation of Chapter 881, Statutes of 1999 (AB 1659), Foster Care Reform legislation.

65 DIVISION OF GAMBLING CONTROL AND GAMBLING CONTROL BOARD**Program Objectives Statement**

Pursuant to Chapter 867, Statutes of 1997 (SB 8), the Gambling Control Act, the Board will be the adjudicatory body responsible for deciding appeals from licensees and constituent applicants as well as developing regulations that will govern gaming establishments. In accordance with regulations and related gaming laws, the Division will license owners, and key employees of gaming clubs as well as conduct compliance and enforcement efforts. The Gambling Control Act abolishes the Board on January 1, 1999 and simultaneously creates the Gambling Control Commission (see Item 0855). The Commission will assume all the powers of the Board and in addition will be vested with additional oversight responsibilities. The Commission will operate as a separate organization and will not be included within the budget of the Department of Justice.

Major Budget Adjustment Proposed for 2000–01

- The permanent establishment of 33.2 personnel years and \$3,014,000 (\$2,839,000 Gambling Control Fund and \$175,000 Gambling Control Fines and Penalty Account) for the Licensing and Compliance/Enforcement Unit to carry out the responsibilities mandated by the Gambling Control Act, Chapter 867, Statutes of 1997 (SB 8).

Authority

The Division and Board are established pursuant to the provisions of Chapter 867 Statutes of 1997.

70 FIREARMS DIVISION**Program Objectives Statement**

The Dangerous Weapons Control Law, Part 4, Title 2 of the California Penal Code, sections 12000 et seq., and relevant portions of the Welfare and Institutions Code contain the statutory authority for Firearms Division activities in the oversight and regulation of firearms in California. The Firearms Division conducts firearms eligibility reviews to identify persons determined ineligible to acquire or possess firearms and/or other dangerous weapons or carry concealed weapons; processes licenses/permits to possess, manufacture or sell dangerous weapons; administers the Basic Firearms Safety Certificate, centralized list, gun show producer and assault weapon registration programs; provides information to client agencies and firearms dealers; conducts firearms dealer and manufacturer inspections; and conducts the safe handgun and firearms safety device certification programs. This Division was created in the 1999–00 fiscal year and funding for the various firearms programs for the 1999–00 and 2000–01 fiscal years is displayed in the Program Requirements under Program 70. For the 1998–99 fiscal year, funding for these programs was in Program 60, Criminal Justice Information Services.

Major Budget Adjustment Included in 1999–00

- An increase of 8.0 personnel years and \$1,897,000 (\$1,720,000 Dealer Record of Sale Account; and \$177,000 Firearm Safety Training Account) for the Division of Firearms to implement firearms legislation.

Major Budget Adjustment Proposed for 2000–01

- An increase of 21.4 personnel years and \$2,259,000 (\$1,904,000 Dealer Record of Sale Account; and \$355,000 Firearm Safety Training Account) for the Division of Firearms to implement firearms legislation.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS**

	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
11.01 Directorate and Administration	\$46,896	\$16,874	\$18,675
(Directorate)	2,025	1,991	2,037
(Administration)	11,798	14,883	16,638
(Legal Support programs)	33,073	—	—
11.02 Distributed Directorate and Administration	–46,896	–16,874	–18,675
12.01 Legal Support and Technology	—	36,546	39,571
12.02 Distributed Legal Support Technology	—	–36,546	–39,571

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

PROGRAM REQUIREMENTS

25 EXECUTIVE PROGRAMS

State Operations:

0001 General Fund

0995 Reimbursements

Totals, State Operations

Local Assistance:

0001 General Fund

Totals, Local Assistance

ELEMENT REQUIREMENTS

25.10 Executive

State Operations:

0001 General Fund

25.20 Legislative Unit

State Operations:

0001 General Fund

25.30 Crime Prevention Center

State Operations:

0001 General Fund

0995 Reimbursements

Local Assistance:

0001 General Fund

25.40 Public Inquiry Unit

State Operations:

0001 General Fund

25.50 Community and Consumer Affairs

State Operations:

0001 General Fund

25.60 Press, Communications, and Media

State Operations:

0001 General Fund

25.70 Special Assistant Attorney General

State Operations:

0001 General Fund

PROGRAM REQUIREMENTS

30 CIVIL LAW

State Operations:

0001 General Fund

0378 False Claims Act Fund

0995 Reimbursements

Totals, State Operations

Local Assistance:

0001 General Fund

0942 Lake Davis North Pike Eradication Relief Acct, Special Deposit Fund

Less funding provided by General Fund

Totals, Local Assistance

ELEMENT REQUIREMENTS

30.10 Licensing

State Operations:

0001 General Fund

0995 Reimbursements

30.20 State Government

State Operations:

0001 General Fund

0378 Attorney General False Claims Act Fund

0995 Reimbursements

30.30 Business and Tax

State Operations:

0001 General Fund

0995 Reimbursements

30.40 Health, Education, and Welfare

State Operations:

0001 General Fund

0995 Reimbursements

30.50 Tobacco

State Operations:

0001 General Fund

0378 Attorney General False Claims Act Fund

0995 Reimbursements

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1998-99*	1999-00*	2000-01*
30.60 Health Quality Enforcement	\$8,328	\$8,471	\$9,342
State Operations:			
0001 General Fund	176	182	435
0995 Reimbursements.....	8,152	8,289	8,907
30.70 Tort and Condemnation	24,198	22,106	23,694
State Operations:			
0001 General Fund	7,767	10,030	10,687
0995 Reimbursements.....	9,889	12,076	13,007
Local Assistance:			
0001 General Fund	9,176	—	—
0942 Lake Davis North Pike Eradication Relief Acct, Special Deposit Fund ..	6,542	—	—
Less funding provided by General Fund	-9,176	—	—
30.80 Employment, Regulation, and Administration	13,425	15,876	16,180
State Operations:			
0001 General Fund	627	644	655
0995 Reimbursements.....	12,798	15,232	15,525
30.90 False Claims.....	—	5,960	9,332
State Operations:			
0378 Attorney General False Claims Act Fund.....	—	5,960	9,332

PROGRAM REQUIREMENTS

40 CRIMINAL LAW	\$72,645	\$82,995	\$85,861
State Operations:			
0001 General Fund	62,395	68,846	70,628
0890 Federal Trust Fund.....	7,967	9,640	10,084
0995 Reimbursements.....	540	1,154	1,224
Less amount funded in the Political Reform Act.....	(80)	(80)	80
Totals, State Operations	\$70,902	\$79,640	\$82,016
Local Assistance:			
0001 General Fund	1,743	3,355	3,845
0641 Domestic Violence Reimb Fund.....	—	—	—
Totals, Local Assistance	\$1,743	\$3,355	\$3,845

ELEMENT REQUIREMENTS

40.10 Appeals, Writs, and Trials	51,000	57,096	59,211
State Operations:			
0001 General Fund	49,149	53,625	55,104
0995 Reimbursements.....	108	116	182
Less amount funded in the Political Reform Act.....	(80)	(80)	80
Local Assistance:			
0001 General Fund	1,743	3,355	3,845
40.20 Correctional Law	11,057	11,722	11,945
State Operations:			
0001 General Fund	10,995	11,657	11,879
0995 Reimbursements.....	62	65	66
40.30 Trials and Special Ops	101	1,021	1,027
State Operations:			
0001 General Fund	101	506	516
0995 Reimbursements.....	—	515	511
40.90 Medi-Cal Fraud and Patient Abuse	10,487	13,156	13,678
State Operations:			
0001 General Fund	2,150	3,058	3,129
0890 Federal Trust Fund.....	7,967	9,640	10,084
0995 Reimbursements.....	370	458	465

PROGRAM REQUIREMENTS

45 PUBLIC RIGHTS	\$31,455	\$35,188	\$41,842
State Operations:			
0001 General Fund	14,680	20,701	25,802
0012 Attorney General Antitrust Account	1,042	1,047	1,066
0014 Hazardous Waste Control Account.....	1,646	1,682	1,612
0158 DOJ Travel Seller Fund	505	1,071	926
0195 Conservatory Registry Fund.....	—	—	49
0557 Toxics Substances Control Account.....	1,738	1,779	1,909
0567 Gambling Control Fund	286	292	299
0995 Reimbursements.....	11,558	8,616	10,179
Totals, State Operations	\$31,455	\$35,188	\$41,842

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

ELEMENT REQUIREMENTS

	1998-99*	1999-00*	2000-01*
45.10 Charitable Trust/Civil Rights Enforcement.....	\$3,637	\$4,456	\$5,070
State Operations:			
0001 General Fund.....	3,248	4,191	4,322
0995 Reimbursements.....	389	265	699
0195 Conservatory Registry Fund.....	—	—	49
45.15 Civil Rights Enforcement.....	—	1,272	1,283
State Operations:			
0001 General Fund.....	—	1,272	1,283
45.20 Natural Resources.....	6,611	7,821	8,569
State Operations:			
0001 General Fund.....	3,178	4,308	4,636
0995 Reimbursements.....	3,433	3,513	3,933
45.25 Indian Gaming.....	807	730	745
State Operations:			
0001 General Fund.....	521	438	446
0567 Gambling Control Fund.....	286	292	299
0995 Reimbursements.....	—	—	—
45.30 Environment.....	4,670	5,406	6,334
State Operations:			
0001 General Fund.....	1,128	1,756	2,622
0014 Hazardous Waste Control Account.....	1,646	1,682	1,612
0557 Toxics Substances Control Account.....	1,738	1,779	1,909
0995 Reimbursements.....	158	189	191
45.40 Land Law.....	5,245	6,869	7,279
State Operations:			
0001 General Fund.....	3,493	4,113	4,112
0995 Reimbursements.....	1,752	2,756	3,167
45.50 Consumer Law.....	3,480	4,956	5,078
State Operations:			
0001 General Fund.....	1,860	2,532	2,516
0158 DOJ Travel Seller Fund.....	505	1,071	926
0995 Reimbursements.....	1,115	1,353	1,636
45.60 Antitrust.....	2,514	3,678	3,699
State Operations:			
0001 General Fund.....	1,058	2,091	2,080
0012 Attorney General Antitrust Account.....	1,042	1,047	1,066
0995 Reimbursements.....	414	540	553
45.70 Child Support Enforcement.....	4,491	—	—
State Operations:			
0001 General Fund.....	194	—	—
0995 Reimbursements.....	4,297	—	—
45.80 Tobacco.....	—	—	3,785
State Operations:			
0001 General Fund.....	—	—	3,785

PROGRAM REQUIREMENTS

50 LAW ENFORCEMENT.....	\$107,960	\$133,242	\$131,322
State Operations:			
0001 General Fund.....	73,784	83,024	87,650
0214 Restitution.....	65	181	65
0890 Federal Trust Fund.....	14,201	21,473	18,835
0942 State Asset Forfeiture Account, Special Deposit Fund.....	439	445	455
0942 Federal Asset Forfeiture Account, Special Deposit Fund.....	161	1,308	1,327
0995 Reimbursements.....	17,781	19,618	19,688
Less amount funded in the Political Reform Act.....	(142)	(142)	142
Totals, State Operations.....	\$106,431	\$126,049	\$128,162
Local Assistance:			
0001 General Fund.....	—	1,555	225
0214 Restitution.....	1,529	5,638	2,935
0255 Department of Justice DNA Testing Fund.....	—	1,305	225
Less funding provided by General Fund.....	—	-1,305	-225
Totals, Local Assistance.....	\$1,529	\$7,193	\$3,160

ELEMENT REQUIREMENTS

50.10 Investigations.....	15,706	19,943	17,285
State Operations:			
0001 General Fund.....	12,779	13,286	13,114
0214 Restitution.....	65	181	65
0995 Reimbursements.....	1,333	838	1,029
Less amount funded in the Political Reform Act.....	(142)	(142)	142

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

1				
2				
3	Local Assistance:	1998-99*	1999-00*	2000-01*
4	0214 Restitution	\$1,529	\$5,638	\$2,935
5	50.15 Mission Support Branch	—	—	14,968
6	State Operations:			
7	0001 General Fund	—	—	12,737
8	0995 Reimbursements	—	—	2,231
9	50.20 Narcotic Enforcement	67,808	77,876	65,300
10	State Operations:			
11	0001 General Fund	39,475	41,320	32,128
12	0890 Federal Trust Fund	13,762	19,165	18,546
13	0942 State Asset Forfeiture Account, Special Deposit Fund	439	445	455
14	0942 Federal Asset Forfeiture Account, Special Deposit Fund	161	1,308	1,327
15	0995 Reimbursements	13,971	15,388	12,844
16	Local Assistance:			
17	0001 General Fund	—	250	—
18	50.30 Forensic Services	24,135	35,135	33,480
19	State Operations:			
20	0001 General Fund	21,530	28,418	29,671
21	0890 Federal Trust Fund	128	2,020	—
22	0995 Reimbursements	2,477	3,392	3,584
23	Local Assistance:			
24	0001 General Fund	—	1,305	225
25	0255 Department of Justice DNA Testing Fund	—	1,305	225
26	Less funding provided by General Fund	—	-1,305	-225
27	50.50 Campaign Against Marijuana Planting	311	288	289
28	State Operations:			
29	0890 Federal Trust Fund	311	288	289
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33	PROGRAM REQUIREMENTS			
34	60 CRIMINAL JUSTICE INFORMATION SYSTEMS	\$122,450	\$138,126	\$141,521
35	State Operations:			
36	0001 General Fund	39,490	41,402	44,383
37	0015 Firearm Safety Training Fund	520	—	—
38	0017 Fingerprint Fees Account	33,619	43,462	45,958
39	0044 Motor Vehicle Account, State Transportation Fund	18,141	18,432	18,771
40	0142 DOJ Sexual Habitual Offender Fund	1,538	1,557	1,608
41	0256 Sexual Predator Public Information Fund	52	49	50
42	0460 Dealers Record of Sale Account	7,069	1,504	1,695
43	0566 Child Abuse Fund	192	176	199
44	0890 Federal Trust Fund	8,082	12,235	8,555
45	0995 Reimbursements	13,712	18,674	18,349
46	Totals, State Operations	\$122,415	\$137,491	\$139,568
47	Local Assistance:			
48	0460 Dealers Record of Sale Account	35	35	35
49	0641 Domestic Violence Restraining Order Reimbursement Fund	—	600	1,918
50	Totals, Local Assistance	\$35	\$635	\$1,953
51				
52				
53	ELEMENT REQUIREMENTS			
54	60.10 O. J. Hawkins Data Center	32,208	31,810	32,399
55	State Operations:			
56	0001 General Fund	7,940	8,917	9,101
57	0017 Fingerprint Fees Account	382	501	534
58	0044 Motor Vehicle Account, State Transportation Fund	17,070	17,289	17,606
59	0460 Dealers Record of Sale Account	709	682	687
60	0995 Reimbursements	6,107	4,421	4,471
61	60.20 Western States Information Network	8,994	11,519	11,695
62	State Operations:			
63	0890 Federal Trust Fund	4,574	4,673	4,247
64	0995 Reimbursements	4,420	6,846	7,448
65	60.30 Criminal Information and Analysis	19,791	15,914	15,141
66	State Operations:			
67	0001 General Fund	9,558	10,446	10,898
68	0015 Firearms Safety Training Fund	437	—	—
69	0017 Fingerprint Fees Account	710	731	813
70	0044 Motor Vehicle Account, State Transportation Fund	1,021	1,089	1,113
71	0142 DOJ Sexual Habitual Offender Fund	1,515	1,514	1,565
72	0256 Sexual Predator Public Information Fund	47	46	47
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* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1998-99*	1999-00*	2000-01*
0460 Dealers Record of Sale Account	\$5,478	\$95	\$393
0566 Child Abuse Fund	177	171	194
0890 Federal Trust Fund.....	742	1,744	38
0995 Reimbursements.....	106	78	80
60.40 Criminal Identification and Information.....	61,457	75,178	78,294
State Operations:			
0001 General Fund	21,992	22,039	23,027
0015 Firearms Safety Training Fund	83	—	—
0017 Fingerprint Fees Account.....	32,527	42,230	44,611
0044 Motor Vehicle Account, State Transportation Fund.....	50	54	52
0142 DOJ Sexual Habitual Offender Fund.....	23	43	43
0256 Sexual Predator Public Information Fund.....	5	3	3
0460 Dealers Record of Sale Account	882	727	615
0566 Child Abuse Fund	15	5	5
0890 Federal Trust Fund.....	2,766	5,818	4,270
0995 Reimbursements.....	3,079	3,624	3,715
Local Assistance:			
0460 Dealers Record of Sale Account	35	35	35
0641 Domestic Violence Restraining Order Reimbursement Fund.....	—	600	1,918
60.50 California Parent Locator Support	—	3,705	3,992
State Operations:			
0001 General Fund	—	—	1,357
0995 Reimbursements.....	—	3,705	2,635
PROGRAM REQUIREMENTS			
65 GAMBLING	\$3,683	\$5,599	\$7,008
State Operations:			
0567 Gambling Control Fund	3,601	3,956	5,390
0569 Gambling Fines and Penalties	1	257	195
0995 Reimbursements.....	81	1,386	1,423
Totals, State Operations	\$3,683	\$5,599	\$7,008
PROGRAM REQUIREMENTS			
70 FIREARMS	—	\$8,879	\$8,019
State Operations:			
0001 General Fund	—	1,197	—
0015 Firearms Safety Training Fund	—	462	458
0032 Firearm Safety Account.....	—	177	355
0046 Dealers Record of Sale Special Account.....	—	6,993	7,118
Totals, State Operations	—	\$8,829	\$7,931
Local Assistance:			
0046 Dealers Record of Sale Special Account	—	50	88
Totals, Local Assistance	—	\$50	\$88
PROGRAM REQUIREMENTS			
98 STATE-MANDATED LOCAL PROGRAMS			
Local Assistance:			
Chapter 1399, Statutes of 1976—Custody of Minors	\$15,493	\$14,561	\$10,177
Chapter 337, Statutes of 1990—Stolen Vehicles	487	398	351
Chapter 1105, Statutes of 1992—Misdemeanors	2,499	1,220	990
Chapter 1456, Statutes of 1988—Missing Persons Reports	4,457	848	—
Carry Over from Previous Years:			
Chapter 337, Statutes of 1990—Stolen Vehicles.....	5	—	—
Chapter 1399, Statutes of 1976—Custody of Minors	239	—	—
Totals, Local Assistance	\$23,180	\$17,027	\$11,518
TOTAL EXPENDITURES			
State Operations	\$423,256	\$491,637	\$509,098
Local Assistance	36,002	32,608	23,483
Less amount funded in Political Reform Act.....	(222)	(222)	—222
TOTALS, EXPENDITURES	\$459,258	\$524,245	\$532,359

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4,434.1	5,358.4	5,039.3	\$226,957	\$271,829	\$262,258
Total Adjustments	—	40.0	580.7	—	17,398	50,696
Estimated Salary Savings	—	-269.9	-281.2	—	-14,097	-16,445
Net Totals, Salaries and Wages	4,434.1	5,128.5	5,338.8	\$226,957	\$275,130	\$296,509
Staff Benefits	—	—	—	52,630	46,010	47,997
Totals, Personal Services	4,434.1	5,128.5	5,338.8	\$279,587	\$321,140	\$344,506
OPERATING EXPENSES AND EQUIPMENT				\$143,656	\$170,497	\$164,592
SPECIAL ITEMS OF EXPENSE				13	—	—
TOTALS, EXPENDITURES				\$423,256	\$491,637	\$509,098
Less amount funded in Political Reform Act				(222)	(222)	-222
NET TOTALS, EXPENDITURES				\$423,256	\$491,637	\$508,876

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation	\$226,438	\$238,729	\$258,674
Allocation for employee compensation	2,402	15,290	—
Allocation for employer's share of health benefits	222	196	—
Allocation for contingencies or emergencies	562	2,092	—
Adjustment per Section 3.60	-4,956	-8,980	—
Adjustment per Section 16.00	—	111	—
Transfer to Legislative Claims (9670)	-4	—	—
Transfer from Political Reform Act (Item 8640-001-001)	222	222	—
Chapter 911, Statutes of 1998 (AB 2011)	174	347	—
Prior year balances available:			
Item 0820-001-0001, Budget Act of 1997, as reappropriated by Item			
0820-490, Budget Act of 1998	266	266	—
Totals Available	\$225,326	\$248,273	\$258,674
Balance available in subsequent years	-266	—	—
Unexpended balance, estimated savings	-5,629	-1,037	—
TOTALS, EXPENDITURES	\$219,431	\$247,236	\$258,674
0012 Attorney General Antitrust Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,050	\$1,018	\$1,066
Allocation for employee compensation	11	65	—
Allocation for employer's share of health benefits	1	1	—
Adjustment per Section 3.60	-20	-38	—
Adjustment per Section 16.00	—	1	—
TOTALS, EXPENDITURES	\$1,042	\$1,047	\$1,066
0014 Hazardous Waste Control Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,663	\$1,631	\$1,612
Allocation for employee compensation	17	113	—
Allocation for employer's share of health benefits	1	1	—
Adjustment per Section 3.60	-35	-64	—
Adjustment per Section 16.00	—	1	—
TOTALS, EXPENDITURES	\$1,646	\$1,682	\$1,612

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0015 Firearm Safety Training Fund Special Account ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$716	\$451	\$458
Allocation for employee compensation	6	25	—
Allocation for employer's share of health benefits	1	1	—
Adjustment per Section 3.60	-18	-16	—
Adjustment per Section 16.00.....	—	1	—
Totals Available	\$705	\$462	\$458
Unexpended balance, estimated savings	-185	—	—
TOTALS, EXPENDITURES	\$520	\$462	\$458

0017 Fingerprint Fees Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$32,146	\$39,610	\$45,958
Allocation for employee compensation	248	1,486	—
Allocation for employer's share of health benefits	25	19	—
Allocation for contingencies or emergencies	1,781	3,225	—
Adjustment per Section 3.60	-581	-889	—
Adjustment per Section 16.00.....	—	11	—
TOTALS, EXPENDITURES	\$33,619	\$43,462	\$45,958

0032 Firearm Safety Account

APPROPRIATIONS			
001 Budget Act appropriation.....	—	—	\$355
Allocation for contingencies or emergencies	—	\$177	—
TOTALS, EXPENDITURES	—	\$177	\$355

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$18,288	\$18,095	\$18,771
Allocation for employee compensation	162	808	—
Allocation for employer's share of health benefits	18	10	—
Allocation for contingencies or emergencies	—	21	—
Adjustment per Section 3.60	-327	-507	—
Adjustment per Section 16.00.....	—	5	—
TOTALS, EXPENDITURES	\$18,141	\$18,432	\$18,771

0142 Department of Justice (DOJ)
Sexual Habitual Offender Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,556	\$1,518	\$1,608
Allocation for employee compensation	21	113	—
Allocation for employer's share of health benefits	2	1	—
Adjustment per section 3.60.....	-41	-75	—
TOTALS, EXPENDITURES	\$1,538	\$1,557	\$1,608

0158 Travel Seller Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$396	\$770	\$926
Allocation for employee compensation	3	37	—
Adjustment per Section 3.60	-7	-18	—
Chapter 924, Statutes of 1998.....	395	—	—
Prior year balances available:			
Chapter 924, Statutes of 1998.....	—	282	—
Totals Available	\$787	\$1,071	\$926
Balance available in subsequent years	-282	—	—
TOTALS, EXPENDITURES	\$505	\$1,071	\$926

0195 Conservatory Registry Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation (expenditures).....	—	—	\$49

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0214 Restitution Fund ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$62	\$62	\$65
Adjustment per Section 3.60.....	-2	-	-
Prior year balances available:			
Chapter 507, Statutes of 1997.....	124	119	-
Totals Available.....	\$184	\$181	\$65
Balance available in subsequent years.....	-119	-	-
TOTALS, EXPENDITURES.....	\$65	\$181	\$65

0256 Sexual Predator Public Information Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$53	\$48	\$50
Allocation for employee compensation.....	1	3	-
Adjustment per Section 3.60.....	-2	-2	-
TOTALS, EXPENDITURES.....	\$52	\$49	\$50

0378 False Claims Act Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$2,911	\$3,161	\$9,332
Allocation for employee compensation.....	23	207	-
Allocation for employer's share of health benefits.....	2	2	-
Allocation for contingencies or emergencies.....	-	2,635	-
Adjustment per Section 3.60.....	-47	-45	-
011 Budget Act appropriation (transfer to the General Fund).....	-	(25,000)	-
TOTALS, EXPENDITURES.....	\$2,889	\$5,960	\$9,332

0460 Dealers' Record of Sale Special Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$7,161	\$6,660	\$8,813
Allocation for employee compensation.....	72	307	-
Allocation for employer's share of health benefits.....	9	4	-
Allocation for contingencies or emergencies.....	-	1,724	-
Adjustment per Section 3.60.....	-173	-199	-
Adjustment per Section 16.00.....	-	1	-
TOTALS, EXPENDITURES.....	\$7,069	\$8,497	\$8,813

0557 Toxics Substances Control Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,757	\$1,725	\$1,909
Allocation for employee compensation.....	18	119	-
Allocation for employer's share of health benefits.....	1	2	-
Adjustment per Section 3.60.....	-38	-68	-
Adjustment per Section 16.00.....	-	1	-
TOTALS, EXPENDITURES.....	\$1,738	\$1,779	\$1,909

0566 Department of Justice Child Abuse Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$334	\$175	\$199
Allocation for employee compensation.....	4	10	-
Adjustment per Section 3.60.....	-11	-9	-
Totals Available.....	\$327	\$176	\$199
Unexpended balance, estimated savings.....	-135	-	-
TOTALS, EXPENDITURES.....	\$192	\$176	\$199

0567 Gambling Control Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$4,182	\$4,134	\$5,689
Allocation for employee compensation.....	59	262	-
Allocation for employer's share of health benefits.....	4	3	-
Adjustment per Section 3.60.....	-104	-153	-
Adjustment per Section 16.00.....	-	2	-
Totals Available.....	\$4,141	\$4,248	\$5,689
Unexpended balance, estimated savings.....	-254	-	-
TOTALS, EXPENDITURES.....	\$3,887	\$4,248	\$5,689

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0569 Gambling Control Fines and Penalties Account ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$1	\$242	\$195
Allocation for employee compensation	—	15	—
TOTALS, EXPENDITURES	\$1	\$257	\$195

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$35,155	\$40,693	\$37,474
Allocation for employee compensation	260	1,778	—
Allocation for employer's share of health benefits	27	23	—
Adjustment per Section 3.60	-723	-1,077	—
Adjustment per Section 16.00	—	6	—
Budget adjustment	-4,469	1,924	—
TOTALS, EXPENDITURES	\$30,250	\$43,347	\$37,474

0942 State Asset Forfeiture Account, Special Deposit Fund ⁿ

APPROPRIATIONS			
011 Budget Act appropriation	\$447	\$436	\$455
Allocation for employee compensation	5	28	—
Allocation for employer's share of health benefits	1	—	—
Adjustment per Section 3.60	-14	-19	—
TOTALS, EXPENDITURES	\$439	\$445	\$455

0942 Federal Asset Forfeiture Account, Special Deposit Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$1,305	\$1,279	\$1,327
Allocation for employee compensation	11	70	—
Allocation for employer's share of health benefits	1	1	—
Adjustment per Section 3.60	-32	-42	—
Totals Available	\$1,285	\$1,308	\$1,327
Unexpended balance, estimated savings	-1,124	—	—
TOTALS, EXPENDITURES	\$161	\$1,308	\$1,327

0995 Reimbursements

Reimbursements	\$100,071	\$110,264	\$113,891
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$423,256	\$491,637	\$508,876

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE

	1998-99*	1999-00*	2000-01*
Grants and subventions	\$12,822	\$15,581	\$11,965
State Mandates	23,180	17,027	11,518
TOTALS, EXPENDITURES	\$36,002	\$32,608	\$23,483

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
101 Budget Act appropriation	\$6,270	\$6,524	\$6,764
111 Budget Act appropriation (For transfer to Department of Justice DNA Testing Fund—0255)	—	225	225
Allocation for contingencies or emergencies	—	1,080	—
295 Budget Act appropriation (State Mandates)	10,959	11,215	11,518
Chapter 937, Statutes of 1998 (For transfer to Lake Davis Northern Pike Eradication Relief Account—0942)	9,176	—	—
Chapter 780, Statutes of 1998 (State Mandates)	12,060	—	—
Chapter 574, Statutes of 1999 (State Mandates)	—	5,812	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

Prior year balances available:	1998-99*	1999-00*	2000-01*
Chapter 306, Statutes of 1997 (State Mandates)	\$244	—	—
Chapter 780, Statutes of 1998 (State Mandates)	—	\$20	\$20
Chapter 885, Statutes of 1997	2,999	1,429	—
Totals Available	\$41,708	\$26,305	\$18,527
Balance available in subsequent years	-1,449	-20	-20
Unexpended balance, estimated savings	-3,187	—	—
TOTALS, EXPENDITURES	\$37,072	\$26,285	\$18,507
0214 Restitution Fund ^s			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,938	\$2,938	\$2,935
Prior year balances available:			
Chapter 507, Statutes of 1997	2,791	2,700	—
Totals Available	\$5,729	\$5,638	\$2,935
Balance available in subsequent years	-2,700	—	—
Unexpended balance, estimated savings	-1,500	—	—
TOTALS, EXPENDITURES	\$1,529	\$5,638	\$2,935
0255 Department of Justice DNA Testing Fund ^s			
APPROPRIATIONS			
111 Budget Act appropriation	—	\$225	\$225
Allocation for contingencies or emergencies	—	1,080	—
TOTALS, EXPENDITURES	—	\$1,305	\$225
Less funding provided by General Fund	—	-1,305	-225
NET TOTALS, EXPENDITURES	—	—	—
0460 Dealers' Record of Sale Special Account ^s			
APPROPRIATIONS			
101 Budget Act appropriation	\$85	\$85	\$123
Unexpended balance, estimated savings	-50	—	—
TOTALS, EXPENDITURES	\$35	\$85	\$123
0641 Domestic Violence Restraining Order Reimbursement Fund ⁿ			
APPROPRIATIONS			
101 Budget Act appropriation (expenditures)	—	\$600	\$1,918
0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund ⁿ			
APPROPRIATIONS			
Chapter 937, Statutes of 1998	\$9,176	—	—
Prior year balances available:			
Chapter 937, Statutes of 1998	—	\$2,634	\$2,634
Totals Available	\$9,176	\$2,634	\$2,634
Balance available in subsequent years	-2,634	-2,634	-2,634
TOTALS, EXPENDITURES	\$6,542	—	—
Less funding provided by General Fund	-9,176	—	—
NET TOTALS, EXPENDITURES	-\$2,634	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$36,002	\$32,608	\$23,483
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$459,258	\$524,245	\$532,359

FUND CONDITION STATEMENT

0012 Attorney General Antitrust Account ^s	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE	\$10	\$1	\$163
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	9	9	9
160100 Attorney General proceeds of antitrust actions	1,024	1,200	1,200
Totals, Revenues and Transfers	\$1,033	\$1,209	\$1,209
Totals, Resources	\$1,043	\$1,210	\$1,372

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

1				
2				
3	EXPENDITURES			
4	Disbursements:			
5	0820 Department of Justice (State Operations).....	1998-99*	1999-00*	2000-01*
6		\$1,042	\$1,047	\$1,066
7	FUND BALANCE.....	\$1	\$163	\$306
8	Reserve for economic uncertainties	1	163	306
9				
10	0015 Firearms Safety Training Fund Special Account^s			
11	BEGINNING BALANCE.....	\$65	\$82	\$128
12	REVENUES AND TRANSFERS			
13	Revenues:			
14	142500 Miscellaneous services to the public	527	500	500
15	150300 Income from surplus money investments	10	8	8
16				
17	Totals, Revenues and Transfers.....	\$537	\$508	\$508
18				
19	Totals, Resources	\$602	\$590	\$636
20				
21	EXPENDITURES			
22	Disbursements:			
23	0820 Department of Justice (State Operations).....	520	462	458
24				
25	FUND BALANCE.....	\$82	\$128	\$178
26	Reserve for economic uncertainties	82	128	178
27				
28	0017 Fingerprint Fees Account^s			
29	BEGINNING BALANCE.....	\$3,837	\$18,902	\$15,852
30	Prior year adjustments	7,381	—	—
31				
32	Balance, Adjusted.....	\$11,218	\$18,902	\$15,852
33				
34	REVENUES AND TRANSFERS			
35	Revenues:			
36	131600 Fingerprint ID card fees	40,892	40,000	40,000
37	150300 Income from surplus money investments	411	412	414
38				
39	Totals, Revenues and Transfers.....	\$41,303	\$40,412	\$40,414
40				
41	Totals, Resources	\$52,521	\$59,314	\$56,266
42				
43	EXPENDITURES			
44	Disbursements:			
45	0820 Department of Justice (State Operations).....	33,619	43,462	45,958
46				
47	FUND BALANCE.....	\$18,902	\$15,852	\$10,308
48	Reserve for economic uncertainties	18,902	15,852	10,308
49				
50	0019 Department of Justice Trustline Voluntary			
51	Registration Fund^s			
52	BEGINNING BALANCE.....	—	\$5	\$5
53				
54	REVENUES AND TRANSFERS			
55	Revenues:			
56	150300 Income from surplus money investments	\$5	—	—
57				
58	Totals, Resources.....	\$5	\$5	\$5
59				
60	FUND BALANCE.....	\$5	\$5	\$5
61	Reserve for economic uncertainties	5	5	5
62				
63	0032 Firearms Safety Account^s			
64	BEGINNING BALANCE.....	—	—	\$3
65				
66	REVENUES AND TRANSFERS			
67	Revenues:			
68	142500 Miscellaneous services to the public	—	\$180	360
69				
70	Totals, Resources.....	—	\$180	\$363
71				
72	EXPENDITURES			
73	Disbursements:			
74	0820 Department of Justice (State Operations).....	—	\$177	\$355
75				
76	FUND BALANCE.....	—	\$3	\$8
77	Reserve for economic uncertainties	—	3	8
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79				
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* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0142 Department of Justice Sexual Habitual Offender Fund ^s		1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....		\$674	\$1,266	\$1,440
Prior year adjustments		32	—	—
Balance, Adjusted.....		\$706	\$1,266	\$1,440
REVENUES AND TRANSFERS				
Revenues:				
142500 Miscellaneous services to the public		2,070	1,712	1,750
150300 Income from surplus money investments		28	19	20
Totals, Revenues and Transfers.....		\$2,098	\$1,731	\$1,770
Totals, Resources		\$2,804	\$2,997	\$3,210
EXPENDITURES				
Disbursements:				
0820 Department of Justice (State Operations).....		1,538	1,557	1,608
FUND BALANCE.....		\$1,266	\$1,440	\$1,602
Reserve for economic uncertainties		1,266	1,440	1,602
0158 Travel Seller Fund ^s				
BEGINNING BALANCE.....		\$564	\$1,035	\$785
Prior year adjustments		—1	—	—
Balance, Adjusted.....		\$563	\$1,035	\$785
REVENUES AND TRANSFERS				
Revenues:				
142500 Miscellaneous services to the public		936	800	800
150300 Income from surplus money investments		41	21	21
Totals, Revenues and Transfers.....		\$977	\$821	\$821
Totals, Resources		\$1,540	\$1,856	\$1,606
EXPENDITURES				
Disbursements:				
0820 Department of Justice (State Operations).....		505	1,071	926
FUND BALANCE.....		\$1,035	\$785	\$680
Reserve for economic uncertainties		1,035	785	680
0195 Conservatory Registry Fund ^s				
BEGINNING BALANCE.....		—	—	—
REVENUES AND TRANSFERS				
Revenues:				
142500 Miscellaneous services to the public		—	—	\$162
Totals, Resources.....		—	—	\$162
EXPENDITURES				
Disbursements:				
0820 Department of Justice (State Operations).....		—	—	49
FUND BALANCE.....		—	—	\$113
Reserve for economic uncertainties		—	—	113
0255 Department of Justice DNA Testing Fund ^s				
BEGINNING BALANCE.....		\$36	\$54	\$57
Prior year adjustments		15	—	—
Balance, Adjusted.....		\$51	\$54	\$57
REVENUES AND TRANSFERS				
Revenues:				
142500 Miscellaneous services to the public		—	1	1
150300 Income from surplus money investments		3	2	2
Totals, Revenues and Transfers.....		\$3	\$3	\$3
Totals, Resources		\$54	\$57	\$60

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

EXPENDITURES

Disbursements:

0820 Department of Justice (Local Assistance).....

1998-99*

1999-00*

2000-01*

—

\$1,305

\$225

Expenditure Reductions:

0820 Department of Justice:

Local Assistance:

Less funding provided by General Fund.....

—

-1,305

-225

Totals, Expenditures

—

—

—

FUND BALANCE.....

\$54

\$57

\$60

Reserve for economic uncertainties

54

57

60

0256 Sexual Predator Public Information Account ^s

BEGINNING BALANCE.....

\$173

\$197

\$204

Prior year adjustments

1

—

—

Balance, Adjusted.....

\$174

\$197

\$204

REVENUES AND TRANSFERS

Revenues:

142500 Miscellaneous services to the public

65

42

55

150300 Income from surplus money investments

10

14

4

Totals, Revenues and Transfers

\$75

\$56

\$59

Totals, Resources

\$249

\$253

\$263

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations).....

52

49

50

FUND BALANCE.....

\$197

\$204

\$213

Reserve for economic uncertainties

197

204

213

**0288 The Registry of International Student Exchange Visitor
Placement Organizations Fund ^s**

BEGINNING BALANCE.....

\$14

\$17

\$20

REVENUES AND TRANSFERS

Revenues:

142500 Miscellaneous Services to the Public.....

3

3

3

Totals, Revenues and Transfers

\$3

\$3

\$3

Totals, Resources

\$17

\$20

\$23

FUND BALANCE.....

\$17

\$20

\$23

Reserve for economic uncertainties

17

20

23

0378 False Claims ^s

BEGINNING BALANCE.....

\$2,342

\$3,460

\$17,060

Prior year adjustments

4

—

—

Balance, Adjusted.....

\$2,346

\$3,460

\$17,060

REVENUES AND TRANSFERS

Revenues:

150300 Income from surplus money investments

95

90

10

161400 Miscellaneous Revenues

3,908

44,470

4,010

Totals, Revenues

\$4,003

\$44,560

\$4,020

Transfers to Other Funds:

T0001 General Fund per Item 0820-011-0378, Budget Act of 1999

—

-25,000

—

Totals, Revenues and Transfers

\$4,003

\$19,560

\$4,020

Totals, Resources

\$6,349

\$23,020

\$21,080

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations).....

2,889

5,960

9,332

FUND BALANCE.....

\$3,460

\$17,060

\$11,748

Reserve for economic uncertainties

3,460

17,060

11,748

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0460 Dealers' Record of Sale Special Account ^s

	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....	\$2,272	\$2,820	\$1,681
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits.....	59	62	67
131600 Fingerprint ID card fees.....	362	299	323
142500 Miscellaneous services to the public.....	7,160	7,000	7,200
150300 Income from surplus money investments.....	69	80	86
161000 Escheat of unclaimed checks and warrants.....	2	2	2
Totals, Revenues and Transfers.....	\$7,652	\$7,443	\$7,678
Totals, Resources.....	\$9,924	\$10,263	\$9,359
EXPENDITURES			
Disbursements:			
0820 Department of Justice:			
State Operations.....	7,069	8,497	8,813
Local Assistance.....	35	85	123
Totals, Disbursements.....	\$7,104	\$8,582	\$8,936
FUND BALANCE.....	\$2,820	\$1,681	\$423
Reserve for economic uncertainties.....	2,820	1,681	423

0566 Department of Justice Child Abuse Fund ^s

BEGINNING BALANCE.....	—	\$98	\$212
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public.....	\$290	290	290
Totals, Resources.....	\$290	\$388	\$502
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	192	176	199
FUND BALANCE.....	\$98	\$212	\$303
Reserve for economic uncertainties.....	98	212	303

0567 Gambling Control Fund ^s

BEGINNING BALANCE.....	\$4,510	\$4,660	\$3,239
Prior year adjustments.....	73	—	—
Balance, Adjusted.....	\$4,583	\$4,660	\$3,239
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits.....	109	100	170
125800 Renewal Fees.....	3,603	3,600	4,788
142500 Miscellaneous services to the public.....	251	250	200
150300 Income from surplus money investments.....	1	2	2
Totals, Revenues and Transfers.....	\$3,964	\$3,952	\$5,160
Totals, Resources.....	\$8,547	\$8,612	\$8,399
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	3,887	4,248	5,689
0855 California Gambling Control Commission (State Operations).....	—	1,125	1,153
Totals, Disbursements.....	\$3,887	\$5,373	\$6,842
FUND BALANCE.....	\$4,660	\$3,239	\$1,557
Reserve for economic uncertainties.....	4,660	3,239	1,557

0569 Gambling Control Fines and Penalties Account ^s

BEGINNING BALANCE.....	\$188	\$378	\$121
Prior year adjustments.....	—188	—	—
Balance, Adjusted.....	—	\$378	\$121

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

REVENUES AND TRANSFERS

Revenues:

	1998-99*	1999-00*	2000-01*
150300 Income from surplus money investments	\$13	—	—
164300 Penalty assessment	366	—	\$74
Totals, Revenues and Transfers	\$379	—	\$74
Totals, Resources	\$379	\$378	\$195

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations)	1	257	195
---	---	-----	-----

FUND BALANCE

Reserve for economic uncertainties	378	121	—
--	-----	-----	---

**0942 State Asset Forfeiture Account,
Special Deposit Fund ⁿ**

BEGINNING BALANCE	\$909	\$946	\$1,251
Prior year adjustments	—5	—	—
Balance, Adjusted	\$904	\$946	\$1,251

REVENUES AND TRANSFERS

Operating Revenues:

215000 Income from investments	481	—	—
299000 Other—miscellaneous revenue	—	750	750
Totals, Operating Revenues	\$481	\$750	\$750
Totals, Resources	\$1,385	\$1,696	\$2,001

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations)	439	445	455
---	-----	-----	-----

FUND BALANCE

Reserve for economic uncertainties	946	1,251	1,546
--	-----	-------	-------

**0942 Federal Asset Forfeiture Account,
Special Deposit Fund ⁿ**

BEGINNING BALANCE	\$180	\$1,843	\$2,335
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REVENUES AND TRANSFERS

Operating Revenues:

215000 Income from investments	—	—	—
299000 Other—miscellaneous revenue	1,824	1,800	1,800
Totals, Operating Revenues	\$1,824	\$1,800	\$1,800
Totals, Resources	\$2,004	\$3,643	\$4,135

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations)	161	1,308	1,327
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FUND BALANCE

Reserve for economic uncertainties	1,843	2,335	2,808
--	-------	-------	-------

CHANGES IN

AUTHORIZED POSITIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	4,434.1	5,358.4	5,039.3	\$226,957	\$271,829	\$262,258
Salary adjustments	—	—	—	—	14,278	23,084
Totals, Adjusted Authorized Positions	4,434.1	5,358.4	5,039.3	\$226,957	\$286,107	\$285,342
Workload and Administrative Adjustments:						
Directorate/Administrative Services:				Salary Range		
Temporary Help	—	—	—	—	944	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Division of Legal Support and Technology:				Salary Range		
Staff Svcs Analyst.....	—	1.0	—	\$2,411-3,764	\$28	—
Legal Secty	—	5.1	—	2,600-3,317	177	—
Civil Law Division:						
False Claims:						
Dep Atty Gen III.....	—	8.0	—	6,320-7,799	583	—
Special Agent, DOJ.....	—	2.0	—	2,960-5,280	99	—
Staff Svcs Mgr I.....	—	1.0	—	4,346-5,243	49	—
Assoc Govtl Prog Analyst	—	1.0	—	3,764-4,542	43	—
Criminal Law Division:						
Appeals, Writs and Trials:						
Trials and Special Operations:						
Dep Atty Gen IV.....	—	1.0	—	6,983-8,622	92	—
Dep Atty Gen III.....	—	1.0	—	6,320-7,799	84	—
Dep Atty Gen.....	—	1.0	—	3,511-6,763	53	—
Assoc Govtl Prog Analyst.....	—	1.0	—	3,764-4,542	50	—
Bureau of Medi-Cal Fraud:						
Overtime-Agent.....	—	—	—	—	9	—
Public Rights Division:						
Child Support Enforcement:						
Dep Atty Gen IV	—	-1.0	—	6,983-8,622	-92	—
Dep Atty Gen III.....	—	-1.0	—	6,320-7,799	-84	—
Dep Atty Gen	—	-1.0	—	3,511-6,763	-53	—
DOJ Administrator II.....	—	-1.0	—	5,270-5,809	-69	—
DOJ Administrator I.....	—	-1.0	—	4,346-5,245	-63	—
Field Rep	—	-2.0	—	3,866-4,666	-113	—
Assoc Govtl Prog Analyst	—	-1.0	—	3,764-4,542	-50	—
Assoc Govtl Prog Analyst	—	-5.0	—	3,764-4,542	-270	—
Staff Svcs Analyst	—	-6.0	—	2,411-3,764	-210	—
Supvng Prog Techn III ⁴	—	-1.0	—	2,874-3,495	-34	—
Supvng Prog Techn II ⁴	—	-4.0	—	2,527-3,072	-139	—
Prog Techn II.....	—	-8.0	—	2,258-2,745	-268	—
Prog Techn ³	—	-27.0	—	1,951-2,546	-750	—
Ofc Asst-Gen.....	—	-1.0	—	1,951-2,370	-26	—
Temporary Help-Regular.....	—	—	—	—	-12	—
Overtime-Regular.....	—	—	—	—	-25	—
Division of Law Enforcement:						
Bureau of Narcotic Enforcement:						
Overtime-Agent.....	—	—	—	—	339	—
Bureau of Investigation:						
Overtime-Agent.....	—	—	—	—	61	—
Bureau of Forensic Services:						
Overtime-Agent.....	—	—	—	—	8	—
Criminal Justice Information Services						
Division:						
Bureau of Criminal Identification and						
Information:						
DOJ Administrator I.....	—	1.0	—	4,346-5,245	52	—
Staff Info Sys Analyst-Spec	—	1.0	—	4,334-5,228	52	—
Assoc Info Sys Analyst-Spec.....	—	3.0	—	3,952-4,768	142	—
Assoc Govtl Prog Analyst	—	1.0	—	3,764-4,542	45	—
Crim ID Spec II	—	0.5	—	2,930-3,521	9	—
Crim ID Spec I	—	1.5	—	2,462-2,930	23	—
Asst Info Sys Analyst-Spec.....	—	1.0	—	2,658-2,865	32	—
Prog Techn II.....	—	1.0	—	2,258-2,745	14	—
Prog Techn	—	3.5	—	1,951-2,546	73	—
Overtime-Regular.....	—	—	—	—	32	—
California Parent Locator Service:						
DOJ Administrator II.....	—	1.0	—	5,270-5,809	69	—
DOJ Administrator I.....	—	1.0	—	4,346-5,245	63	—
Field Rep	—	2.0	—	3,866-4,666	113	—
Assoc Govtl Prog Analyst	—	5.0	—	3,764-4,542	270	—
Staff Svcs Analyst	—	6.0	—	2,411-3,764	210	—
Supvng Prog Techn III ⁴	—	1.0	—	2,874-3,495	34	—
Supvng Prog Techn II ⁴	—	4.0	—	2,527-3,072	139	—
Prog Techn II.....	—	8.0	—	2,258-2,745	268	—
Prog Techn ³	—	27.0	—	1,951-2,546	750	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
				Salary Range		
Ofc Asst-Gen.....	—	1.0	—	\$1,951-2,370	\$26	—
Temporary Help-Regular.....	—	—	—	—	12	—
Overtime-Regular.....	—	—	—	—	25	—
Bureau of Information and Analysis:						
DOJ Administrator II.....	—	-1.0	—	5,270-5,809	-63	—
Special Agent, DOJ.....	—	-1.0	—	2,960-5,280	-64	—
DOJ Administrator I.....	—	-2.0	—	4,346-5,245	-126	—
Assoc Info Systems Analyst-Spec.....	—	-1.0	—	3,952-4,768	-60	—
Crim ID & Intelligence Supv.....	—	-2.0	—	3,869-4,671	-166	—
Fld Rep, DOJ.....	—	-10.0	—	3,866-4,666	-481	—
Assoc Govtl Prog Analyst ⁵	—	-4.0	—	3,764-4,542	-154	—
Crim Intelligence Spec III.....	—	-1.0	—	3,207-3,866	-46	—
Staff Svcs Analyst-Gen.....	—	-3.0	—	2,411-3,764	-88	—
Telecomms Sys Analyst I.....	—	-1.0	—	2,411-3,764	-45	—
Crim ID Spec II.....	—	-14.0	—	2,930-3,521	-542	—
Bus Svcs Asst-Spec.....	—	-1.0	—	2,135-3,130	-38	—
Supvng Prog Techn II.....	—	-2.0	—	2,527-3,072	-74	—
Prog Techn III.....	—	-2.0	—	2,525-3,070	-74	—
Ofc Techn-Typing.....	—	-1.0	—	2,258-2,745	-33	—
Prog Techn II.....	—	-8.0	—	2,258-2,745	-258	—
Prog Techn.....	—	-14.0	—	1,951-2,546	-401	—
Word Proc Techn.....	—	-4.0	—	1,951-2,546	-113	—
Ofc Asst-Typing.....	—	-1.0	—	1,835-2,370	-28	—
Ofc Asst-Gen.....	—	-1.0	—	1,775-2,370	-26	—
Overtime-Regular.....	—	—	—	—	-85	—
Division of Firearms:						
DOJ Administrator II.....	—	1.0	—	5,270-5,809	63	—
Special Agent, DOJ.....	—	1.5	—	2,960-5,280	92	—
DOJ Administrator I.....	—	2.5	—	4,346-5,245	152	—
Assoc Info Systems Analyst-Spec.....	—	1.9	—	3,952-4,768	81	—
Crim ID & Intelligence Supv.....	—	2.0	—	3,869-4,671	166	—
Field Rep, DOJ.....	—	10.0	—	3,866-4,666	481	—
Assoc Govtl Prog Analyst ⁵	—	5.5	—	3,764-4,542	222	—
Crim Intelligence Spec III.....	—	1.0	—	3,207-3,866	46	—
Staff Svcs Analyst-Gen.....	—	3.0	—	2,411-3,764	88	—
Telecomms Sys Analyst I.....	—	1.0	—	2,411-3,764	45	—
Crim ID Spec II.....	—	15.0	—	2,930-3,521	577	—
Bus Svcs Asst-Spec.....	—	1.0	—	2,135-3,130	38	—
Supvng Prog Techn II.....	—	2.0	—	2,527-3,072	74	—
Prog Techn III.....	—	2.0	—	2,525-3,070	74	—
Ofc Techn-Typing.....	—	1.5	—	2,258-2,745	47	—
Prog Techn II.....	—	9.0	—	2,258-2,745	285	—
Prog Techn.....	—	16.0	—	1,951-2,546	448	—
Word Proc Techn.....	—	4.0	—	1,951-2,546	113	—
Ofc Asst-Typing.....	—	1.5	—	1,835-2,370	40	—
Ofc Asst-Gen.....	—	1.0	—	1,775-2,370	26	—
Overtime-Regular.....	—	—	—	—	113	—
Totals, Workload and Administrative						
Adjustments.....	—	40.0	—	—	\$3,120	—
Proposed New Positions:						
Directorate/Administrative Services:						
Administrative Services:						
Accounting Office:						
Sr Acctg Ofcr-Supvr.....	—	—	1.0	3,955-4,772	—	\$49
Acctg Ofcr-Spec.....	—	—	7.0	3,287-3,952	—	285
Temporary Help-Regular.....	—	—	—	—	—	1,499
Division of Legal and Technical Support:						
Staff Svcs Analyst.....	—	—	1.0	2,411-3,764	—	30
Legal Secty.....	—	—	50.2	2,600-3,317	—	2,162
Division of Executive Programs:						
Crime Prevention Center:						
Crime Prevention Specialist.....	—	—	0.5	3,764-4,542	—	23
Public Inquiry Unit:						
Staff Svcs Analyst.....	—	—	2.0	2,411-3,764	—	60
Civil Law Division:						
False Claims:						
Dep Atty Gen III.....	—	—	15.0	6,320-7,799	—	1,175
Special Agent, DOJ.....	—	—	2.0	2,960-5,280	—	106
Staff Svcs Mgr I.....	—	—	1.0	4,346-5,243	—	54

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
				Salary Range		
Investigative Auditor III	—	—	1.0	\$3,952-4,768	—	\$49
Assoc Govtl Prog Analyst	—	—	1.0	3,764-4,542	—	47
Legal Analyst	—	—	1.0	3,287-3,952	—	41
Tort and Condemnation:						
Dep Atty Gen IV	—	—	9.6	6,983-8,622	—	831
Dep Atty Gen III	—	—	8.0	6,320-7,799	—	627
Legal Analyst	—	—	3.0	3,287-3,952	—	142
State Government:						
Dep Atty Gen IV	—	—	3.0	6,983-8,622	—	260
Dep Atty Gen III	—	—	2.0	6,320-7,799	—	156
Health Quality Enforcement:						
Dep Atty Gen IV	—	—	5.3	6,983-8,622	—	459
Criminal Law Division:						
Appeals, Writs and Trials:						
Trials and Special Operations:						
Dep Atty Gen IV	—	—	3.0	6,983-8,622	—	252
Dep Atty Gen III	—	—	1.0	6,320-7,799	—	87
Dep Atty Gen	—	—	1.0	3,511-6,763	—	55
Assoc Govtl Prog Analyst	—	—	1.0	3,764-4,542	—	52
Bureau of Medi-Cal Fraud/Patient Abuse:						
Overtime-Agent	—	—	—	—	—	12
Public Rights Division:						
Charitable Trust Registry:						
Staff Info Systems Analyst	—	—	1.0	4,334-5,228	—	54
Staff Svcs Analyst	—	—	2.0	2,411-3,764	—	60
Ofc Techn	—	—	3.0	2,258-2,745	—	84
Prog Techn	—	—	2.0	1,951-2,546	—	56
Natural Resources:						
Dep Atty Gen IV	—	—	4.0	6,983-8,622	—	346
Environment Section:						
Dep Atty Gen III	—	—	4.0	6,320-7,799	—	313
Legal Analyst	—	—	1.0	3,287-3,952	—	41
Tobacco Litigation:						
Sr Asst Atty Gen	—	—	1.0	7,745-8,709	—	96
Dep Atty Gen III ⁶	—	—	10.0	6,320-7,799	—	784
Legal Analyst ²	—	—	5.0	3,287-3,952	—	204
Land Law Section:						
Dep Atty Gen III	—	—	3.0	6,320-7,799	—	235
Legal Analyst	—	—	0.5	3,287-3,952	—	20
Consumer Law Section:						
Dep Atty Gen III	—	—	0.5	6,320-7,799	—	39
Assoc Govtl Prog Analyst	—	—	2.0	3,764-4,542	—	93
Ofc Techn	—	—	1.0	2,258-2,745	—	28
Travel Sellers:						
Legal Analyst	—	—	1.0	3,287-3,952	—	41
Child Support Enforcement:						
Dep Atty Gen IV	—	—	-1.0	6,983-8,622	—	-95
Dep Atty Gen III	—	—	-1.0	6,320-7,799	—	-87
Dep Atty Gen	—	—	-1.0	3,511-6,763	—	-55
DOJ Admin II	—	—	-1.0	5,270-5,809	—	-72
DOJ Admin I	—	—	-1.0	4,346-5,245	—	-65
Field Rep, DOJ	—	—	-2.0	3,866-4,666	—	-120
Assoc Govtl Prog Analyst	—	—	-6.0	3,764-4,542	—	-330
Staff Svcs Analyst	—	—	-6.0	2,411-3,764	—	-114
Supvng Prog Techn II	—	—	-3.0	2,527-3,072	—	-233
Prog Techn II	—	—	-8.0	2,258-2,745	—	-281
Prog Techn	—	—	-17.0	1,951-2,546	—	-534
Ofc Asst-Gen	—	—	-1.0	1,951-2,370	—	-28
Temporary Help-Regular	—	—	—	—	—	-12
Overtime-Regular	—	—	—	—	—	-25
Division of Law Enforcement:						
Mission Support Branch:						
Director-Exempt	—	—	1.0	9,823	—	126
Dep Director-Exempt	—	—	1.0	8,200	—	103
Asst Bureau Chief	—	—	1.0	6,118-6,746	—	84
Criminalist Mgr	—	—	1.0	6,086-6,712	—	83
Special Agent in Charge	—	—	3.0	5,832-6,430	—	234
Sr Industrial Hygienist	—	—	1.0	4,820-5,817	—	69
DOJ Administrator II	—	—	4.0	5,270-5,809	—	281

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
				Salary Range		
Special Agent Supvr-DOJ.....	—	—	11.0	\$4,688-5,804	—	\$738
Sr Criminalist	—	—	2.0	4,448-5,506	—	122
Special Agent	—	—	4.0	4,270-5,280	—	256
Staff Svcs Mgr I	—	—	2.0	4,346-5,243	—	130
Staff Info Sys Analyst-Spec	—	—	1.0	4,334-5,228	—	65
Telecom Sys Mgr I	—	—	1.0	4,244-5,116	—	63
Criminalist	—	—	1.0	2,571-5,008	—	50
Assoc Industrial Hygienist	—	—	1.0	4,136-4,989	—	62
Supvng Librarian	—	—	1.0	4,104-4,989	—	51
Sr Precision Electronics Spec	—	—	1.0	4,046-4,916	—	61
Sr Photo Electronics Spec	—	—	1.0	4,053-4,886	—	60
Assoc Programmer Analyst-Spec	—	—	2.0	3,952-4,768	—	118
Assoc Info Sys Analyst-Spec	—	—	1.0	3,952-4,768	—	53
Training Ofcr I	—	—	4.0	3,764-4,545	—	224
Assoc Govtl Prog Analyst	—	—	6.0	3,764-4,542	—	311
Assoc Pers Analyst	—	—	1.0	3,764-4,542	—	56
Info Ofcr I	—	—	1.0	3,764-4,542	—	52
Telecomm Sys Analyst	—	—	1.0	3,764-4,542	—	47
Aircraft Pilot	—	—	2.0	3,854-4,462	—	103
Precision Electronics Spec	—	—	2.0	3,684-4,264	—	94
Photo Electronics Spec	—	—	2.0	3,691-4,245	—	112
Asst Info Sys Analyst	—	—	1.0	2,658-3,952	—	37
Staff Svcs Analyst-Gen	—	—	11.1	2,411-3,764	—	488
TV Asst	—	—	1.0	3,130-3,764	—	47
Sr Photographer	—	—	1.0	2,990-3,593	—	37
Security Ofcr II, DOJ	—	—	2.0	2,811-3,370	—	79
Graphic Artist	—	—	2.0	2,791-3,358	—	90
Exec Asst	—	—	1.0	2,678-3,255	—	42
Bus Svcs Asst	—	—	2.0	2,135-3,130	—	77
Security Ofcr I, DOJ	—	—	11.0	2,571-3,071	—	431
Exec Secty	—	—	1.0	2,461-2,991	—	36
Mgmt Svcs Techn	—	—	3.0	2,135-2,865	—	98
Sr Word Proc Techn	—	—	1.0	2,346-2,853	—	35
Ofc Techn-Typing	—	—	8.0	2,258-2,745	—	244
Prog Techn II	—	—	1.0	2,258-2,745	—	28
Word Proc Techn	—	—	4.0	1,951-2,546	—	118
Ofc Asst-Typing	—	—	2.0	1,835-2,370	—	50
Ofc Asst-Gen	—	—	0.5	1,835-2,370	—	23
Bureau of Narcotic Enforcement:						
Special Agent Supvr-DOJ.....	—	—	1.0	4,688-5,804	—	58
Special Agent-Range C	—	—	12.0	4,270-5,280	—	635
Ofc Techn	—	—	1.0	2,258-2,745	—	28
Overtime-Agent	—	—	—	—	—	667
Director-Exempt	—	—	-1.0	9,823	—	-126
Dep Director-Exempt	—	—	-1.0	8,200	—	-103
Asst Bureau Chief	—	—	-1.0	6,118-6,746	—	-84
Special Agent in Charge	—	—	-3.0	5,832-6,430	—	-234
DOJ Administrator II	—	—	-4.0	4,688-6,041	—	-281
Special Agent Supvr	—	—	-10.0	4,688-6,036	—	-671
Special Agent	—	—	-4.0	4,270-5,491	—	-256
Staff Svcs Mgr I	—	—	-2.0	4,346-5,453	—	-130
Telecomm Sys Mgr I	—	—	-1.0	4,244-5,116	—	-62
Assoc Industrial Hygienist	—	—	-1.0	4,136-4,989	—	-63
Supvng Librarian	—	—	-1.0	4,104-4,989	—	-51
Trng Ofcr I	—	—	-4.0	3,764-4,545	—	-224
Assoc Govtl Prog Analyst	—	—	-3.0	3,764-4,542	—	-152
Assoc Pers Analyst	—	—	-1.0	3,764-4,542	—	-56
Info Ofcr I	—	—	-1.0	3,764-4,542	—	-52
Telecomm Sys Analyst	—	—	-1.0	3,764-4,542	—	-47
Aircraft Pilot	—	—	-2.0	3,854-4,462	—	-103
Staff Svcs Analyst-Gen	—	—	-9.0	2,411-3,764	—	-399
Security Ofcr II, DOJ	—	—	-2.0	2,811-3,370	—	-79
Graphic Artist	—	—	-1.0	2,791-3,356	—	-48
Exec Asst	—	—	-1.0	2,678-3,255	—	-42
Bus Svcs Asst	—	—	-1.0	2,135-3,130	—	-38
Security Ofcr I, DOJ	—	—	-11.0	2,571-3,071	—	-431
Exec Secty	—	—	-1.0	2,461-2,991	—	-36
Mgmt Svcs Techn	—	—	-2.0	2,135-2,865	—	-62
Sr Word Proc Techn	—	—	-1.0	2,346-2,853	—	-35

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
				Salary Range		
Ofc Techn-Typing.....	—	—	-7.0	\$2,258-2,745	—	-\$210
Prog Techn II.....	—	—	-1.0	2,258-2,745	—	-28
Word Proc Techn.....	—	—	-4.0	1,951-2,546	—	-118
Ofc Asst-Typing.....	—	—	-2.0	1,835-2,370	—	-50
Ofc Asst-Gen.....	—	—	-0.5	1,835-2,370	—	-23
Bureau of Forensic Services:						
Sr Criminalist.....	—	—	26.0	4,448-5,506	—	1,435
Special Agent-Range C.....	—	—	1.0	4,270-5,280	—	53
Criminalist.....	—	—	3.5	2,571-5,008	—	112
Latent Print Analyst II.....	—	—	1.0	4,072-4,909	—	51
Lab Techn-Criminalist.....	—	—	1.0	2,610-3,171	—	32
Word Proc Techn.....	—	—	1.0	1,951-2,546	—	24
Overtime-Agent.....	—	—	—	—	—	11
Overtime-Regular.....	—	—	—	—	—	9
Criminalist Mgr.....	—	—	-1.0	6,086-6,712	—	-83
Sr Industrial Hygienst.....	—	—	-1.0	4,820-5,817	—	-69
Sr Criminalist.....	—	—	-2.0	4,448-5,506	—	-122
Staff Info Systems Analyst-Spec.....	—	—	-1.0	4,334-5,228	—	-65
Criminalist.....	—	—	-1.0	2,571-5,008	—	-50
Sr Precision Electronics Spec.....	—	—	-1.0	4,046-4,916	—	-61
Assoc Prog Analyst-Spec.....	—	—	-2.0	3,952-4,768	—	-118
Assoc Govtl Prog Analyst.....	—	—	-1.0	3,764-4,542	—	-56
Precision Electronics Spec.....	—	—	-2.0	3,684-4,264	—	-94
TV Asst.....	—	—	-1.0	3,130-3,764	—	-47
Sr Photographer.....	—	—	-1.0	2,990-3,593	—	-37
Graphic Artist.....	—	—	-1.0	2,791-3,358	—	-42
Ofc Techn-Typing.....	—	—	-1.0	2,258-2,745	—	-34
Bureau of Investigation:						
Special Agent Supvr.....	—	—	-1.0	4,688-6,036	—	-67
Special Agent, DOJ.....	—	—	5.0	2,960-5,280	—	43
Staff Prog Analyst-Spec.....	—	—	1.0	4,334-5,228	—	9
Sys Software Spec I.....	—	—	1.0	4,333-5,228	—	9
Overtime-Regular.....	—	—	—	—	—	49
Overtime-Agent.....	—	—	—	—	—	85
Sr Photo Electronics Spec.....	—	—	-1.0	4,053-4,886	—	-60
Assoc Info Systems Analyst-Spec.....	—	—	-1.0	3,952-4,768	—	-53
Assoc Govtl Prog Analyst.....	—	—	-2.0	3,764-4,542	—	-103
Photo Electronics Spec.....	—	—	-2.0	3,691-4,245	—	-112
Asst Info Systems Analyst.....	—	—	-1.0	2,658-3,952	—	-37
Staff Svcs Analyst-Gen.....	—	—	-2.1	2,411-3,764	—	-89
Bus Svcs Asst.....	—	—	-1.0	2,135-3,130	—	-39
Mgt Svcs Techn.....	—	—	-1.0	2,135-2,865	—	-36
Criminal Justice Information Services						
Division:						
Bureau of Criminal Identification and						
Information:						
DOJ Administrator I.....	—	—	2.0	4,346-5,245	—	119
Staff Info Sys Analyst-Spec.....	—	—	1.0	4,334-5,228	—	54
Sys Software Spec I-Techn.....	—	—	2.0	4,333-5,228	—	130
Assoc Info Sys Analyst-Spec.....	—	—	7.0	3,952-4,768	—	384
Crim ID and Intelligence Supvr.....	—	—	3.0	3,869-4,671	—	147
Field Rep, DOJ.....	—	—	12.0	3,866-4,666	—	675
Assoc Govtl Prog Analyst.....	—	—	1.0	3,764-4,542	—	47
Programmer II.....	—	—	3.0	3,451-4,150	—	154
Staff Sys Analyst-Gen.....	—	—	1.0	3,130-3,764	—	45
Crim Intelligence Spec II.....	—	—	3.5	2,930-3,521	—	131
Crim ID Spec II.....	—	—	1.5	2,930-3,521	—	56
Supvng Prog Techn III.....	—	—	1.0	2,874-3,495	—	36
Supvng Prog Techn II.....	—	—	13.0	2,527-3,072	—	421
Crim Intelligence Spec I.....	—	—	5.0	2,462-2,930	—	158
Crim ID Spec I.....	—	—	2.5	2,462-2,930	—	79
Asst Info Sys Analyst.....	—	—	1.0	2,658-2,865	—	33
Prog Techn II.....	—	—	43.0	2,258-2,745	—	1,240
Prog Techn.....	—	—	113.5	1,951-2,546	—	2,938
Ofc Asst-Gen.....	—	—	4.5	1,951-2,370	—	117
Key Data Operator.....	—	—	0.5	1,842-2,033	—	12
Overtime.....	—	—	—	—	—	77
California Parent Locator Support:						
DOJ Administrator II.....	—	—	1.0	5,270-5,809	—	72
DOJ Administrator I.....	—	—	1.0	4,346-5,245	—	65
Field Rep, DOJ.....	—	—	2.0	3,866-4,666	—	120
Assoc Govtl Prog Analyst.....	—	—	5.0	3,764-4,542	—	278
Staff Svcs Analyst.....	—	—	6.0	2,411-3,764	—	114
Supvng Prog Techn II.....	—	—	3.0	2,527-3,072	—	233

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
				Salary Range		
Prog Techn II.....	—	—	8.0	\$2,258-2,745	—	\$281
Prog Techn	—	—	17.0	1,951-2,546	—	534
Ofc Asst-Gen.....	—	—	1.0	1,951-2,370	—	28
Temporary Help-Regular.....	—	—	—	—	—	12
Overtime-Regular.....	—	—	—	—	—	25
Bureau of Criminal Information and Analysis:						
Assoc Prog Analyst-Spec	—	—	1.0	3,952-4,768	—	49
Field Rep, DOJ	—	—	1.0	3,866-4,666	—	48
Crim ID Spec II	—	—	1.0	2,930-3,521	—	37
DOJ Administrator II.....	—	—	-1.0	5,270-5,809	—	-63
Special Agent, DOJ.....	—	—	-1.0	2,960-5,280	—	-63
DOJ Administrator I.....	—	—	-2.0	4,346-5,245	—	-126
Assoc Info Sys Analyst-Spec.....	—	—	-1.0	3,952-4,768	—	-60
Crim ID & Intelligence Supv.....	—	—	-2.0	3,869-4,671	—	-166
Field Rep, DOJ	—	—	-10.0	3,866-4,666	—	-481
Assoc Govtl Prog Analyst	—	—	-3.0	3,764-4,542	—	-154
Crim Intelligence Spec III	—	—	-1.0	3,207-3,866	—	-46
Staff Svcs Analyst-Gen	—	—	-3.0	2,411-3,764	—	-46
Telecomm Sys Analyst I.....	—	—	-1.0	2,411-3,764	—	-45
Crim ID Spec II	—	—	-14.0	2,930-3,521	—	-542
Bus Svcs Asst-Spec.....	—	—	-1.0	2,135-3,130	—	-38
Supvng Prog Techn II.....	—	—	-2.0	2,527-3,072	—	-74
Prog Techn III.....	—	—	-2.0	2,525-3,070	—	-74
Ofc Techn-Typing.....	—	—	-1.0	2,258-2,745	—	-33
Prog Techn II.....	—	—	-8.0	2,258-2,745	—	-258
Prog Techn	—	—	-14.0	1,951-2,546	—	-401
Word Processing Techn	—	—	-4.0	1,951-2,546	—	-113
Ofc Asst-Typing	—	—	-1.0	1,835-2,370	—	-28
Ofc Asst-Gen.....	—	—	-1.0	1,775-2,370	—	-26
Overtime-Regular.....	—	—	—	—	—	-85
Western States Information Network:						
DOJ Administrator I.....	—	—	2.0	4,346-5,245	—	108
Sys Software Spec I.....	—	—	18.0	4,333-5,228	—	573
Investigative Auditor III, DOJ.....	—	—	1.0	3,952-4,768	—	59
Assoc Info Sys Analyst-Spec.....	—	—	1.0	3,952-4,768	—	49
Crim ID and Intelligence Supvr.....	—	—	3.0	3,869-4,671	—	212
Assoc Budget Analyst.....	—	—	1.0	3,766-4,545	—	56
Assoc Govtl Prog Analyst	—	—	2.0	3,764-4,542	—	56
Photo Electronics Spec.....	—	—	1.0	3,691-4,245	—	53
Crim Intelligence Spec III	—	—	18.0	3,207-3,866	—	863
Crim Intelligence Spec II	—	—	4.0	2,930-3,521	—	160
Crim Intelligence Spec I.....	—	—	26.0	2,462-2,930	—	834
Ofc Techn	—	—	2.0	2,258-2,745	—	62
Ofc Techn-Typing.....	—	—	1.0	2,258-2,745	—	34
Prog Techn II.....	—	—	1.0	2,258-2,745	—	34
Word Processing Techn	—	—	3.0	2,096-2,546	—	95
Key Data Operator	—	—	1.0	2,096-2,546	—	32
Ofc Asst-Typing	—	—	3.0	1,835-2,370	—	82
Overtime-Regular.....	—	—	—	—	—	207
Division of Gambling Control:						
Special Agent in Charge, DOJ	—	—	1.0	5,832-6,430	—	89
Staff Svcs Mgr II	—	—	1.0	4,772-5,757	—	72
Special Agent, DOJ.....	—	—	8.0	2,960-5,280	—	596
Staff Svcs Mgr I.....	—	—	1.0	4,346-5,243	—	65
Investigative Auditor III	—	—	4.0	3,952-4,768	—	196
Assoc Govtl Prog Analyst	—	—	5.0	3,764-4,542	—	282
Asst Info Sys Analyst	—	—	1.0	2,658-3,952	—	40
Staff Svcs Analyst	—	—	6.0	2,411-3,764	—	257
Prog Techn II.....	—	—	2.0	2,258-2,745	—	68
Ofc Techn	—	—	4.0	2,258-2,745	—	136
Ofc Asst-Typing	—	—	2.0	1,835-2,370	—	59
Temporary Help-Regular.....	—	—	—	—	—	8
Overtime-Regular.....	—	—	—	—	—	20
Agent Overtime.....	—	—	—	—	—	118
Firearms Division:						
DOJ Administrator II.....	—	—	1.0	5,270-5,809	—	63
Special Agent, DOJ.....	—	—	2.0	2,960-5,280	—	121
DOJ Administrator I.....	—	—	3.0	4,346-5,245	—	180
Assoc Info Sys Analyst-Spec.....	—	—	1.9	3,952-4,768	—	105
Crim ID & Intelligence Supvr.....	—	—	2.0	3,869-4,671	—	166
Field Rep, DOJ	—	—	10.0	3,866-4,666	—	481
Assoc Govtl Prog Analyst	—	—	8.1	3,764-4,542	—	393
Crim Intelligence Spec III	—	—	1.0	3,207-3,866	—	46

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Staff Svcs Analyst-Gen	—	—	3.0	Salary Range \$2,411-3,764	—	\$46
Telecomm Sys Analyst I	—	—	1.0	2,411-3,764	—	45
Crim ID Spec II	—	—	17.5	2,930-3,521	—	670
Bus Svcs Asst-Spec	—	—	1.0	2,135-3,130	—	38
Supvng Prog Techn II	—	—	2.0	2,527-3,072	—	74
Prog Techn III	—	—	2.0	2,525-3,070	—	74
Ofc Techn-Typing	—	—	2.0	2,258-2,745	—	61
Prog Techn II	—	—	13.1	2,258-2,745	—	399
Prog Techn	—	—	18.0	1,951-2,546	—	497
Word Proc Techn	—	—	4.0	1,951-2,546	—	113
Ofc Asst-Typing	—	—	2.0	1,835-2,370	—	52
Ofc Asst-Gen	—	—	1.0	1,775-2,370	—	26
Overtime-Regular	—	—	—	—	—	94
Totals, Proposed New Positions	—	—	580.7	—	—	\$27,612
Total Adjustments	—	40.0	580.7	—	\$17,398	\$50,696
TOTALS, SALARIES AND WAGES	4,434.1	5,398.4	5,620.0	\$226,957	\$289,227	\$312,954

¹ Positions limited-term to 6/30/01.² Positions limited-term to 6/30/02.³ 10.0 positions limited-term to 6/30/00.⁴ 1.0 position limited-term to 6/30/00.⁵ 1.0 position limited-term to 12/31/99.⁶ 2.0 positions limited-term to 6/30/02.STATE BUILDING PROGRAM
EXPENDITURESActual
1998-99*
Estimated
1999-00*
Proposed
2000-01*

80 CAPITAL OUTLAY

Major Budget Adjustment Proposed for 2000-01

- \$14.2 million from the General Fund for replacement of two criminalistic laboratories and the acquisition of a third laboratory.

PROGRAM ELEMENTS

85.50.070.970 Central Valley Replacement Laboratory	\$52	ASPWg	\$10,669	Cn	—
85.50.080.970 Riverside Replacement Laboratory	899	Ag	12,573	Cn	—
85.60.010 Santa Barbara Replacement Laboratory	646	APg	305	Wg	—
	—		5,057	Cn	—
85.60.020 Santa Rosa Replacement Laboratory	542	APg	460	AWg	\$5,470 Cg
85.60.030 Fresno Replacement Laboratory	397	APg	615	Wg	—
	—		11,670	Cn	—
85.60.040 Eureka Replacement Laboratory	1,619	Ag	—		—
85.60.050 Hawkins Data Center	52	Pg	1,568	WCEg	—
85.60.060 Redding Replacement Laboratory	—		710	A,Pg	6,548 WCg
85.60.070 Freedom Replacement Laboratory	—		—		2,108 Ag
85.60.080 Parking Lot Improvement	—		—		313 PWCgz
TOTALS, EXPENDITURES, CAPITAL OUTLAY	\$4,207		\$43,627		\$14,439
0001 General Fund ^g	4,207		3,658		14,240
0660 Public Buildings Construction Fund ⁿ	—		39,969		—
0995 Reimbursements ^z	—		—		199

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0001 General Fund

APPROPRIATIONS

301 Budget Act appropriation	\$5,166	\$2,048	\$14,240
Transfers to and from Government Code Sections 16351.5 and 16352	182	—	—
Prior year balances available:			
Item 0820-301-0001, Budget Act of 1997	445	—	—
Item 0820-301-0001, Budget Act of 1998	—	1,715	—
Transfers to and from Government Code Sections 16351.5 and 16352	129	-105	—
Totals Available	\$5,922	\$3,658	\$14,240
Balance available in subsequent years	-1,715	—	—
TOTALS, EXPENDITURES	\$4,207	\$3,658	\$14,240

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1998–99*	Estimated 1999–00*	Proposed 2000–01*
0660 Public Buildings Construction Fund ⁿ				
APPROPRIATIONS				
301 Budget Act appropriation.....		—	\$39,969	—
Prior year balances available:				
Item 0820-301-0660, Budget Act of 1997 as reappropriated by Item				
0820-491, Budget Act of 1998 and partially reverted per Item 0820-495,				
Budget Act of 1999	\$18,444	—	—	—
Totals Available	\$18,444	\$39,969	—	—
Unexpended balance, estimated savings	—18,444	—	—	—
TOTALS, EXPENDITURES	—	\$39,969	—	—
0995 Reimbursements				
Reimbursements	—	—	—	\$199
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....	\$4,207	\$43,627	\$14,439	

0840 STATE CONTROLLER

The State Controller is the Chief Fiscal Officer of the State, elected by the people. As such, the Controller's primary objectives are to: (1) provide sound fiscal control over both receipts and disbursements of public funds; (2) report periodically on the financial operations and condition of both state and local governments; (3) make certain money due the State is collected through fair, equitable and effective tax administration; (4) provide fiscal guidance to local governments; (5) administer the Unclaimed Property and Property Tax Postponement Programs; and (6) develop and establish policy for a significant number of boards and commissions, including all major tax boards.

SUMMARY OF PROGRAM

REQUIREMENTS		98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10	Accounting and Reporting.....	122.4	143.6	141.7	\$10,696	\$10,131	\$10,699
20	Audits.....	230.5	320.8	316.3	19,262	24,449	25,017
30	Personnel/Payroll Services	201.9	240.3	240.4	20,593	19,670	19,982
40	Information Systems	130.9	158.8	157.5	14,393	12,614	12,858
50	Collections	150.9	172.7	173.4	10,102	10,843	12,106
60	Disbursements and Support	216.6	141.3	140.0	24,655	26,836	27,118
	Distributed to Other Programs	—	—	—	—6,191	—6,868	—6,868
TOTALS, PROGRAMS.....		1,053.2	1,177.5	1,169.3	\$93,510	\$97,675	\$100,912
0001	General Fund.....				61,519	61,918	64,531
0061	Motor Vehicle Fuel Account, Transportation Tax Fund.....				2,715	2,958	3,029
0062	Highway Users Tax Account, Transportation Tax Fund.....				747	818	836
0071	Yosemite Foundation Account, Environmental License Plate Fund.....				835	—	—
0330	Local Revenue Fund.....				388	396	404
0344	State School Building Lease-Purchase Fund.....				693	712	729
0494	Various Special Funds				179	41	42
0797	Various Bond Funds				705	166	171
0890	Federal Trust Fund				954	1,293	1,330
0903	State Penalty Fund.....				850	951	975
0979	California Firefighters' Memorial Fund.....				148	—	—
0988	Various Nongovernmental Cost Funds.....				352	223	228
0995	Reimbursements				23,425	28,199	28,637

10 ACCOUNTING AND REPORTING**Program Objectives Statement**

To maintain uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; to report the financial condition of the State; to maintain a data base of information and report on local financial transactions; to apportion shared revenues to local governments; to administer Local Mandated Cost programs; to monitor the cash flow of the General Fund; and to prescribe uniform accounting procedures for counties and special districts.

Major Budget Adjustment Proposed for 2000–01

- An augmentation of \$439,000 General Fund and redirection of six existing unfunded positions for the Mandated Cost Program to address workload (includes one-year cost of \$38,000).

Authority

Constitution, Article XVI, Section 7 and Government Code Sections 12400 et seq.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued**20 AUDITS****Program Objectives Statement**

To determine the legality and accuracy of all claims against the State through the performance of prepayment audits; to assure the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent local auditors, and performing field audits for a variety of state and federal programs; and to audit major businesses for compliance with the Unclaimed Property Law.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

30 PERSONNEL/PAYROLL SERVICES**Program Objectives Statement**

To administer the State's Payroll, Employment History and Leave Accounting Systems; to audit and process all personnel and payroll transactions for state civil service and exempt employees, and the California State University System; to provide information required to manage the personnel resources of the State; and to properly account for salary and wage expenditures.

Major Budget Adjustment Included in 1999–00

- Utilization of \$115,000 existing unrealized reimbursement authority and two existing, unfunded positions for the California Leave Accounting System (CLAS).

Major Budget Adjustment Proposed for 2000–01

- An augmentation of \$138,000 reimbursement authority and two permanent positions for the CLAS.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

40 INFORMATION SYSTEMS**Program Objectives Statement**

To develop, maintain, and operate all of the department's mainframe data processing systems; to develop and manage the fiscal system; and to oversee the planning, procurement, use, and maintenance of microcomputer systems.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

50 COLLECTIONS**Program Objectives Statement**

To administer the Unclaimed Property Law by receiving unclaimed property from banks, savings and loans, and other business firms, and restoring property to owners; to administer and collect estate, inheritance, and gift taxes; to collect delinquent insurance and gas taxes; and to administer the Tax-Defaulted Land and Property Tax Postponement Programs for senior and disabled citizens.

Major Budget Adjustments Proposed for 2000–01

- An augmentation of \$839,000 General Fund and redirection of fifteen and one half (15.5) existing, unfunded positions for the Unclaimed Property Program workload for three years (includes one-year cost of \$122,000).
- An augmentation of \$222,000 General Fund and two permanent positions for current Unclaimed Property Program notifications and one one-year position to conduct an evaluation of the old accounts to determine how many claims have been filed of those who were due notices (includes one-year cost of \$81,000).

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.
Revenue and Taxation Code, Division 2, Parts 1.5, 2, 4, 7, 8, and 9.
Public Resources Code, Division 3, Chapter 1, Articles 5.5 to 7.

60 DISBURSEMENTS AND SUPPORT**Program Objectives Statement**

To write and mail or deliver all payments of the State's obligations, including personal income tax refunds, payroll warrants, and retirement warrants; to provide staff support services to the Office; and to administer the information security program.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.
Membership by State Controller on boards and commissions, principally:
State Board of Equalization, Constitution, Articles 13, 7, and 9.
State Board of Control, Government Code Section 13901.
Franchise Tax Board, Government Code Section 15700.
Pooled Money Investment Board, Government Code Section 16480.1.
State Teachers' Retirement Board, Education Code Section 13851.
Various bond and finance committees, Education Code Section 19510; Military and Veterans Code Section 991; Chapter 765/27, Chapter 23/63, 1st Ex; Water Code Section 12933; Harbors and Navigation Code Section 3902–3; Government Code Section 17220.

0840 STATE CONTROLLER—Continued

California Exposition and Fair Executive Committee, Agricultural Code Section 72.1.
 State Lands Commission, Public Resources Code Section 6101.
 Reapportionment Commission, Constitution, Articles 4, 6.
 Reciprocity Commission, Vehicle Code Section 2600.
 Interagency Council for Ocean Resources, Government Code Sections 8810–11.
 Intergovernmental Council on Urban Growth, Government Code Section 34200.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ACCOUNTING AND REPORTING

State Operations:	1998–99*	1999–00*	2000–01*
0001 General Fund	\$6,806	\$7,338	\$7,835
0062 Highway Users Tax Account, Transportation Tax Fund	59	61	63
0330 Local Revenue Fund	388	396	404
0344 State School Building Lease-Purchase Fund	406	420	432
0494 Various Special Funds	51	41	42
0797 Various Bond Funds	203	166	171
0903 State Penalty Fund	116	142	148
0988 Various Nongovernmental Cost Funds	161	155	159
0995 Reimbursements	1,523	1,412	1,445
Totals, State Operations	\$9,713	\$10,131	\$10,699
Local Assistance:			
0071 Yosemite Foundation Account, Environmental License Plate Fund	835	—	—
0979 California Firefighters' Memorial Fund	148	—	—
Totals, Local Assistance	\$983	—	—

PROGRAM REQUIREMENTS

20 AUDITS

State Operations:			
0001 General Fund	\$9,757	\$10,304	\$10,581
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,660	1,828	1,867
0062 Highway Users Tax Account, Transportation Tax Fund	631	698	713
0344 State School Building Lease-Purchase Fund	287	292	297
0890 Federal Trust Fund	954	1,275	1,312
0903 State Penalty Fund	734	809	827
0988 Various Nongovernmental Cost Funds	67	68	69
0995 Reimbursements	5,172	9,175	9,351
Totals, State Operations	\$19,262	\$24,449	\$25,017

PROGRAM REQUIREMENTS

30 PERSONNEL/PAYROLL SERVICES

State Operations:			
0001 General Fund	\$16,423	\$15,880	\$16,110
0494 Various Special Funds	9	—	—
0797 Various Bond Funds	37	—	—
0890 Federal Trust Fund	—	2	2
0988 Various Nongovernmental Cost Funds	8	—	—
0995 Reimbursements	4,116	3,788	3,870
Totals, State Operations	\$20,593	\$19,670	\$19,982

PROGRAM REQUIREMENTS

40 INFORMATION SYSTEMS

State Operations:			
0001 General Fund	\$12,630	\$11,047	\$11,259
0062 Highway Users Tax Account, Transportation Tax Fund	57	59	60
0494 Various Special Funds	119	—	—
0797 Various Bond Funds	465	—	—
0988 Various Nongovernmental Cost Funds	116	—	—
0995 Reimbursements	1,006	1,508	1,539
Totals, State Operations	\$14,393	\$12,614	\$12,858

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

PROGRAM REQUIREMENTS

50 COLLECTIONS

State Operations:	1998-99*	1999-00*	2000-01*
0001 General Fund	\$7,638	\$7,913	\$9,111
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,055	1,130	1,162
0995 Reimbursements	1,409	1,800	1,833
Totals, State Operations	\$10,102	\$10,843	\$12,106

PROGRAM REQUIREMENTS

60 DISBURSEMENTS AND SUPPORT

Amounts Charged to Other Programs:	\$24,655	\$26,836	\$27,118
10 Accounting and Reporting	-882	-1,005	-1,005
20 Audits	-1,746	-1,892	-1,892
30 Personnel/Payroll Services	-1,507	-1,657	-1,657
40 Information Systems	-942	-1,075	-1,075
50 Collections	-1,114	-1,239	-1,239
Totals, Amounts Charged to Other Programs	-\$6,191	-\$6,868	-\$6,868
Net Totals, Disbursements and Support (State Operations)	\$18,464	\$19,968	\$20,250
0001 General Fund	8,265	9,436	9,635
0890 Federal Trust Fund	-	16	16
0995 Reimbursements	10,199	10,516	10,599

TOTAL EXPENDITURES

State Operations	\$92,527	\$97,675	\$100,912
Local Assistance	983	-	-
TOTALS, EXPENDITURES	\$93,510	\$97,675	\$100,912

SUMMARY BY OBJECT

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,053.2	1,252.0	1,252.0	\$47,488	\$56,392	\$57,176
Total Adjustments	-	-	5.0	-	2,829	7,161
Estimated Salary Savings	-	-74.5	-87.7	-	-3,775	-4,979
Net Totals, Salaries and Wages	1,053.2	1,177.5	1,169.3	\$47,488	\$55,446	\$59,358
Staff Benefits	-	-	-	11,820	11,262	10,889
Totals, Personal Services	1,053.2	1,177.5	1,169.3	\$59,308	\$66,708	\$70,247
OPERATING EXPENSES AND EQUIPMENT				\$33,219	\$34,564	\$39,042
TOTALS, EXPENDITURES				\$92,527	\$101,272	\$109,289
Less funding provided by State Controller's Statewide Information Technology Projects (0841)				-	-3,597	-8,377
NET TOTALS, EXPENDITURES				\$92,527	\$97,675	\$100,912

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$61,293	\$60,391	\$64,531
Allocation for employee compensation	831	3,723	-
Allocation for employer's share of health benefits	71	55	-
Adjustment per Section 3.60	-1,303	-2,251	-
Allocation per Section 8.80	893	-	-
Totals Available	\$61,785	\$61,918	\$64,531
Unexpended balance, estimated savings	-266	-	-
TOTALS, EXPENDITURES	\$61,519	\$61,918	\$64,531

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

0061 Motor Vehicle Fuel Account,
Transportation Tax Fund ^s

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$2,904	\$2,915	\$3,029
Allocation for employee compensation	29	169	—
Allocation for employer's share of health benefits	3	2	—
Adjustment per Section 3.60	-73	-128	—
Totals Available	\$2,863	\$2,958	\$3,029
Unexpended balance, estimated savings	-148	—	—
TOTALS, EXPENDITURES	\$2,715	\$2,958	\$3,029

0062 Highway Users Tax Account, Transportation Tax Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$818	\$804	\$836
Allocation for employee compensation	8	49	—
Allocation for employer's share of health benefits	—	1	—
Adjustment per Section 3.60	-22	-36	—
Totals Available	\$804	\$818	\$836
Unexpended balance, estimated savings	-57	—	—
TOTALS, EXPENDITURES	\$747	\$818	\$836

0330 Local Revenue Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$397	\$387	\$404
Allocation for employee compensation	2	27	—
Adjustment per Section 3.60	-11	-18	—
TOTALS, EXPENDITURES	\$388	\$396	\$404

0344 State School Building Lease-Purchase Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$716	\$699	\$729
Allocation for employee compensation	5	44	—
Allocation for employer's share of health benefits	—	1	—
Adjustment per Section 3.60	-20	-32	—
Totals Available	\$701	\$712	\$729
Unexpended balance, estimated savings	-8	—	—
TOTALS, EXPENDITURES	\$693	\$712	\$729

0494 Various Special Funds ^s

APPROPRIATIONS			
011 Budget Act appropriation.....	\$181	\$41	\$42
Allocation for employee compensation	2	2	—
Adjustment per Section 3.60	-4	-2	—
TOTALS, EXPENDITURES	\$179	\$41	\$42

0797 Various Bond Funds ^b

APPROPRIATIONS			
011 Budget Act appropriation.....	\$715	\$162	\$171
Allocation for employee compensation	8	12	—
Adjustment per Section 3.60	-18	-8	—
TOTALS, EXPENDITURES	\$705	\$166	\$171

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,289	\$1,273	\$1,330
Allocation for employee compensation	13	75	—
Allocation for employer's share of health benefits	1	1	—
Adjustment per Section 3.60	-35	-56	—
Budget adjustment.....	-314	—	—
TOTALS, EXPENDITURES	\$954	\$1,293	\$1,330

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

0903 State Penalty Fund ⁿ

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$933	\$936	\$975
Allocation for employee compensation	10	56	—
Allocation for employer's share of health benefits	—	1	—
Adjustment per Section 3.60	-25	-42	—
Totals Available	\$918	\$951	\$975
Unexpended balance, estimated savings	-68	—	—
TOTALS, EXPENDITURES	\$850	\$951	\$975

0988 Nongovernmental Cost Funds ⁿ

APPROPRIATIONS			
001 Budget Act appropriation (Retail Sales Tax Fund).....	\$181	\$178	\$187
011 Budget Act appropriation	176	40	41
Allocation for employee compensation	4	15	—
Adjustment per Section 3.60	-9	-10	—
TOTALS, EXPENDITURES	\$352	\$223	\$228

0995 Reimbursements

Reimbursements	\$23,425	\$28,199	\$28,637
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$92,527	\$97,675	\$100,912

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
Chapter 568, Statutes of 1998.....	\$148	—	—
Allocation to Port of Los Angeles for Japanese Fishing Village Memorial	-148	—	—
Chapter 615, Statutes of 1998.....	242,500	—	—
Allocation to Wildlife Conservation Board.....	-230,500	—	—
Allocation to County of Humboldt	-12,000	—	—
TOTALS, EXPENDITURES	—	—	—

0071 Yosemite Foundation Account, Environmental
License Plate Fund ^s

APPROPRIATIONS			
101 Budget Act appropriation.....	\$456	\$840	—
Increased expenditure authority per Provision 1	379	—	—
Allocated to Yosemite Foundation Account, ELPF	—	-840	—
TOTALS, EXPENDITURES	\$835	—	—

0876 Drug Abuse Resistance Education Fund ⁿ

APPROPRIATIONS			
Chapter 654, Statutes of 1998.....	\$314	—	—
Allocation to D.A.R.E. (Drug Abuse Resistance Education) California	-314	—	—
TOTALS, EXPENDITURES	—	—	—

0979 California Firefighters' Memorial Fund ⁿ

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
101 Budget Act appropriation.....	\$95	\$95	—
Increased expenditure authority per Provision 1	53	—	—
Allocated to California Firefighters' Memorial Fund.....	—	-95	—
TOTALS, EXPENDITURES	\$148	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$983	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$93,510	\$97,675	\$100,912

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	1,053.2	1,252.0	1,252.0	\$47,488	\$56,392	\$57,176
Salary adjustments	—	—	—	—	2,829	4,804
Totals, Adjusted Authorized Positions	1,053.2	1,252.0	1,252.0	\$47,488	\$59,221	\$61,980
Workload and Administrative Adjustment:				Salary Range		
Assoc Govtl Prog Analyst-Spec	—	(1.3) ¹	—	3,764-4,542	(115) ⁴	—
Information Technology Project Budgeted In 0841:						
Data Processing Manager III	—	—	(1.0) ¹	5,800-6,395	—	70
Data Processing Manager II	—	—	(2.0) ¹	4,772-5,757	—	115
Staff Services Manager II-Supvr	—	—	(2.0) ¹	4,772-5,757	—	114
Sr Info Systems Analyst-Spec	—	—	(1.0) ¹	4,767-5,751	—	57
Systems Software Spec II-Tech	—	—	(1.0) ¹	4,759-5,751	—	57
Staff Services Manager I-Spec	—	—	(3.0) ¹	4,346-5,243	—	156
Staff Info Systems Analyst-Spec	—	—	(2.0) ¹	4,334-5,228	—	104
Assoc Info Systems Analyst-Spec	—	—	(3.0) ¹	3,952-4,768	—	142
Assoc Programmer Analyst-Spec	—	—	(3.0) ¹	3,952-4,768	—	142
Assoc Info Systems Analyst-Spec	—	—	(3.5) ¹	3,952-4,768	—	(181)
Assoc Govtl Program Analyst-Spec	—	—	(9.0) ¹	3,764-4,542	—	408
Assoc Govtl Program Analyst-Spec	—	—	(4.0) ¹	3,764-4,542	—	(166)
Info Sys Techn	—	—	(1.0) ¹	2,122-2,297	—	25
Proposed New Positions:						
Acctg Admin I-Spec	—	—	(1.0)	4,136-4,989	—	50
Sr Acctg Officer	—	—	1.0	3,955-4,772	—	47
Assoc Acctg Analyst	—	—	(5.0)	3,952-4,768	—	237
Assoc Info Systems Analyst	—	—	1.0 ²	3,952-4,768	—	47
Assoc Govtl Prog Analyst	—	—	2.0	3,764-4,542	—	90
Office Services Manager I ³	—	—	(2.0) ³	3,368-4,093	—	81
Acctg Officer-Spec ³	—	—	(1.0) ³	3,287-3,952	—	39
Program Technician III	—	—	1.0	2,500-3,040	—	30
Program Technician III ³	—	—	(5.0) ³	2,500-3,040	—	150
Program Technician II ³	—	—	(6.5) ³	2,236-2,718	—	175
Office Assistant ³	—	—	(1.0) ³	1,758-2,135	—	21
Totals, Proposed New Positions and Information Technology Projects in 0841	—	—	5.0	—	—	\$2,357
Total Adjustments	—	—	5.0	—	\$2,829	\$7,161
TOTALS, SALARIES AND WAGES	1,053.2	1,252.0	1,257.0	\$47,488	\$59,221	\$64,337

¹ Utilize existing unfunded positions for one year for CLAS (1999-00), HRMS (2000-01), and TEC (2000-01) projects.

² One year limited-term position for Unclaimed Property Program Notice to Owners BCP.

³ Utilize existing unfunded positions; three-year limited-term (through 6/30/2003) for Unclaimed Property Program Workload BCP.

⁴ Utilize existing unrealized reimbursement authority.

0841 STATE CONTROLLER'S STATEWIDE INFORMATION TECHNOLOGY PROJECTS

The State Controller currently maintains and administers the Human Resource Management and Travel Expense Claim systems for the State. Since these two projects are infrastructure activities for the benefit of state government, these expenditures are reflected in this budget. The new Human Resource Management System will have the ability to manage human resource information both centralized or decentralized; it will have the flexibility to adapt and meet the human resource needs of individual departments, and information access will be available to line managers and individual employees. The new Travel Expense Reimbursement System will reduce the processing, review and approval time and result in more efficient and accurate processing of these claims. This system is funded with reimbursements from various departments. The State Controller's Office will continue to administratively manage these projects using its own existing positions as shown in the Controller's budget (0840).

SUMMARY OF PROGRAM REQUIREMENTS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Statewide Information Technology Projects	—	—	—	—	\$3,597	\$8,377
0001 General Fund	—	—	—	—	1,813	7,792
0995 Reimbursements	—	—	—	—	1,784	585

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

**0841 STATE CONTROLLER'S STATEWIDE INFORMATION
TECHNOLOGY PROJECTS—Continued**

10 STATEWIDE INFORMATION TECHNOLOGY PROJECTS

Major Budget Adjustments Proposed for 2000–01

- A one-time augmentation of \$7,792,000 General Fund for the design and development phase of an integrated Human Resource Management System for the State. This amount provides funding for 28.0 existing unfunded positions within the Controller's Office.
- A one-time one-year augmentation of \$585,000 from reimbursements for the testing, piloting and initial implementation of a new Automated Statewide Travel Expense reimbursement system. This amount provides funding for 7.5 existing unfunded positions within the Controller's Office.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998–99*	1999–00*	2000–01*
001 Budget Act appropriation (expenditures).....	–	\$1,813	\$7,792
0995 Reimbursements			
Reimbursements	–	\$1,784	\$585
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	–	\$3,597	\$8,377

0845 DEPARTMENT OF INSURANCE

The elected office of the Insurance Commissioner has the responsibility to enforce the insurance law found in the California Insurance Code. The role of the Insurance Commissioner is to regulate the insurance industry, thereby protecting California consumers from abusive insurance practices.

The primary responsibility of the Department of Insurance is to protect California's insurance policyholders. The Department regulates the largest insurance market in the United States with over \$71 billion in direct premiums written in the state. In fulfilling its responsibility, the Department conducts examinations of insurance companies and producers to ensure that operations are consistent with the requirements of the Insurance Code. The Department also investigates complaints and responds to consumer inquiries; administers the conservation and liquidation of insolvent and delinquent insurance companies; reviews and approves insurance rates; and is a major contributor in combating insurance fraud.

SUMMARY OF PROGRAM

REQUIREMENTS	98–99	99–00	00–01	1998–99*	1999–00*	2000–01*
10 Regulation of Insurance Companies and Insurance Producers	361.8	428.4	437.0	\$46,326	\$52,492	\$54,670
12 Consumer Protection	224.1	298.6	357.3	26,107	34,083	39,978
20 Fraud Control	177.3	236.8	288.1	47,956	51,807	60,679
30 Tax Collection and Audit	7.8	9.5	11.4	741	764	1,137
40 Earthquake Grants and Loans	2.1	2.8	2.8	976	2,897	1,265
50.01 Administration	176.8	204.6	211.2	13,887	15,543	16,518
50.02 Distributed Administration	–	–	–	–13,887	–15,543	–16,518
TOTALS, PROGRAMS.....	949.9	1,180.7	1,307.8	\$122,106	\$142,043	\$157,729
0001 General Fund.....				4,074	4,098	1,137
0217 Insurance Fund.....				116,621	134,070	154,459
0285 California Residential Earthquake Recovery Fund.....				976	2,897	1,265
0548 Title Insurance Fund.....				241	268	158
0995 Reimbursements				194	710	710

10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

Program Objectives Statement

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers, (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code. To accomplish the objectives of this program, the Department conducts field examinations, regulates rates, maintains solvency surveillance, regulates proxy solicitations, manages financially distressed companies, admits qualified companies, maintains surveillance of admitted and surplus lines companies, reviews policy forms, investigates consumer complaints, and assures that producers are properly qualified and licensed.

Authority

Insurance Code, Sections 1–12979, and 12997–15003.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

Conservation and Liquidation Office

Acting on behalf of the Insurance Commissioner, the Conservation & Liquidation Office (CLO) conserves, rehabilitates or liquidates, pursuant to California Insurance Code Article 14, failed organizations licensed under the Insurance Code. The Conservation & Liquidation Office is a non-governmental fiduciary whose expenses are funded by the estates under its management. Currently assetted estates have total assets of \$1.7 billion under the jurisdiction of the Conservation & Liquidation Office. There is one estate under conservation (Golden Eagle) which is not managed by the Conservation & Liquidation Office and whose annual expenses are not included below.

**Administrative Expenses for
Estates In Conservation**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Total Asset and Non-asset Estates: ¹			
Total Number of Estates	69	61	51
Direct Expenses	\$17,098	\$14,000	\$11,800
Total Administrative Expenses	9,150	10,100	11,200
Total Expenses	\$26,248	\$24,100	\$23,000
Non-Asset Estates: ²			
Number of Estates (included above)	11	10	8
Administrative Expenses (included above)	\$432	\$300	\$240
Other Expenses	191	323	383
Total Non-Asset Expenses	\$623	\$623	\$623

¹ Since January 1, 1995, the CLO has closed 17 assetted estates and distributed \$726 million to policyholders and claimants.

² Since January 1, 1995, the CLO has closed 31 non-assetted estates.

12 CONSUMER PROTECTION**Program Objectives Statement**

The objective of this program is to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair practices and excessive or discriminatory rates and to protect the general public and policyholders from discriminatory, unlawful or fraudulent practices as well as incompetence relating to the sale of insurance. To accomplish the objective of this program, the Department has devoted a number of activities to consumer issues. An "800" hotline is maintained to respond to individual requests for information and consumer complaints. The hotline also conducts an outreach program which gives presentations to insurer groups and provides information at disaster sites. Two written-case bureaus specialize in handling written consumer complaints regarding detailed claims or rating and underwriting issues. All three of these direct consumer contact units act to mediate complaints, educate consumers, and identify violations of law. The Department performs market conduct examinations on-site at insurer locations to follow-up on trends in consumer complaints and review overall claims, underwriting and rating practices to evaluate compliance with insurance laws. The Department also deploys investigators who work to identify and curtail illegal practices taken by insurance producers and unlicensed entities. The Department's Legal Division also focuses on taking formal enforcement action based on referrals from program units.

Major Budget Adjustments Proposed for 2000-01

- An increase of 1.9 personnel years and \$3,778,000 to continue the Holocaust Era Unpaid Insurance Claims Project established by Chapter 963, Statutes of 1998. Consistent with the Budget Act of 1999 (Chapter 50, Statutes of 1999), this proposal is funded by a loan from the General Fund to be repaid from the settlement of Holocaust claims by the affected insurance companies.
- An increase of 50.3 personnel years and \$5,404,000 Insurance Fund to implement the provisions of Chapter 884, Statutes of 1999. This legislation established a new 30 cent fee to be assessed for each insured automobile in California to fund: (1) the elimination of investigation caseload backlogs involving insurance agents and brokers, and (2) enhanced consumer protection activities to protect California consumers.
- An additional 10.4 personnel years and \$964,000 Insurance Fund to conduct activities involving market conduct examinations of each insurer once every five years, which are currently conducted by private vendors.
- An increase of 4.7 personnel years and \$343,000 Insurance Fund to increase the investigation of consumer complaints of denied benefits for medical services as required by Chapters 531, 533 and 542, Statutes of 1999.
- An increase of \$215,000 Insurance Fund to produce a Long-term Care Insurance Consumer Rate Guide required by Chapter 669, Statutes of 1999.

Authority

Insurance Code, Sections 510, 730, 1857-1858, 10089.7, 12921, and 12950.

20 FRAUD CONTROL**Program Objectives Statement**

The objective of this program is to protect the public from economic loss and distress by actively investigating and arresting those who commit insurance fraud and to reduce the overall incidence of insurance fraud through anti-fraud outreach to the public, private and governmental sectors.

The staff is primarily certified peace officers who conduct criminal investigations working with both county district attorneys and federal prosecutors to prepare insurance fraud-related criminal cases for prosecution. The investigators serve warrants, make arrests and provide testimony and evidence.

The program supports outreach and education efforts to the public, insurance industry and governmental entities to further reduce insurance fraud through prevention and identification of fraud and causing efficiency of governmental action to impact on insurance fraud.

The program also administers funding to local district attorneys for the prosecution of workers' compensation and automobile insurance fraud crimes.

Major Budget Adjustment Included in 1999-00

- \$2,403,000 Insurance Fund for local assistance funding for local district attorneys to conduct additional automobile insurance fraud investigation and prosecution activities, per Chapter 885, Statutes of 1999. The Department will seek special legislation to provide this expenditure authority in the current year.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued**Major Budget Adjustments Proposed for 2000–01**

- An increase of 51.3 personnel years and \$10,572,000 Insurance Fund, including \$4,806,000 local assistance to implement the provisions of Chapter 885, Statutes of 1999. This legislation established a new 50 cent fee to be assessed for each insured automobile in California to fund increased automobile fraud investigation activities conducted by the Department of Insurance, local district attorneys, and the California Highway Patrol.
- An increase of 13.3 personnel years and \$2,853,000 Insurance Fund, including \$1,612,000 local assistance, to continue the limited-term positions approved in the 1998–99 fiscal year for the automobile fraud investigation program.
- 3.8 personnel years and \$370,000 Insurance Fund to continue the limited-term positions approved in the 1998–99 fiscal year for the Special Investigative Unit Inspection/Audit Program.

Authority

Insurance Code Sections, Chapter 12, Sections 1871–1879.

30 TAX COLLECTION AND AUDIT**Program Objectives Statement**

This program performs insurance tax collection, accounting, tax audits, proposes tax adjustments, monitors payment requirements. Assists the Board of Equalization in determining various refund and assessment matters relative to insurers and surplus line brokers. A program staff audits returns to determine compliance with the rules and regulations contained in both the Insurance and Revenue and Taxation Codes.

Major Budget Adjustment Proposed for 2000–01

- An increase of \$364,000 General Fund and an equivalent reduction in the Insurance Fund to support the costs of the Premium Tax Collection and Audit Program.

Authority

Insurance Code, Sections 1774–1780.
Revenue and Taxation Code, Part 7 of Division 2.

40 EARTHQUAKE GRANTS AND LOANS**Program Objectives Statement**

This program provides residential grants and loans to retrofit high risk residential dwellings owned or occupied by low and moderate income households to minimize the risk of future earthquake damage to those dwellings. Since the program's inception in 1996, the Department has retrofitted approximately 510 homes through its grant program. Moreover, fourteen homes have been retrofitted through the loan program. The Department predicts 200 more homes will be retrofitted during the 1999–00 fiscal year and another 220 in 2000–01 fiscal year. The program is authorized to be in effect until July 1, 2003.

Major Program Adjustment Proposed for 2000–01

- An increase of 2.8 personnel years and \$1,265,000 California Residential Earthquake Recovery Fund, including \$1,000,000 local assistance, for the three year continuation of the Earthquake Grants and Loans Program as provided by Chapter 796, Statutes of 1999.

Authority

Chapter 899, Statutes of 1995.
Chapter 967, Statutes of 1996.

50 ADMINISTRATION**Program Objectives Statement**

The Administration Program provides the overall policy direction for the Department from the Commissioner's Office as well as administrative support services. This program consists of Executive Programs, External Affairs and Policy, Strategic Planning, Press and Publications Office, and the Administration Branch. Executive Programs coordinates special projects and policy initiatives as directed by the Commissioner. External Affairs and Policy Office supports consumers through legislative advocacy and research of various initiatives. Strategic Planning oversees strategic planning and implementation of the Department's goals and objectives to maximize all areas of operation. The Press and Publications Office provides information to the public and the media about the Department's mission to protect California consumers. The Administration Branch provides budget management, accounting, human resources, information technology, and business management services for the Department.

Major Budget Adjustment Proposed for 2000–01

- An increase of 6.6 personnel years and \$556,000 Insurance Fund for support functions related to implementation of Chapters 884 and 885, Statutes of 1999.

Authority

Chapter 722, Statutes of 1982.
Chapter 796, Statutes of 1999.

0845 DEPARTMENT OF INSURANCE—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 REGULATION OF INSURANCE COMPANIES AND
INSURANCE PRODUCERS

State Operations:	1998-99*	1999-00*	2000-01*
10.30 Rate Regulation.....	\$19,551	\$19,345	\$20,487
10.40 Regulatory.....	13,447	16,525	16,879
10.50 Licensing/Compliance.....	10,350	—	—
10.51 Licensing.....	—	13,324	13,957
10.70 Special Programs	2,978	3,298	3,347
Totals, State Operations	\$46,326	\$52,492	\$54,670
State Operations:			
0217 Insurance Fund.....	45,891	51,514	53,802
0548 Title Insurance Fund.....	241	268	158
0995 Reimbursements.....	194	710	710

PROGRAM REQUIREMENTS

12 CONSUMER PROTECTION

State Operations:			
12.10 Legal Compliance	\$3,631	\$8,485	\$9,637
12.20 Investigations	6,612	8,756	10,865
12.30 Consumer Services and Market Conduct	15,864	16,842	19,476
Totals, State Operations	\$26,107	\$34,083	\$39,978
State Operations:			
0001 General Fund.....	3,333	3,334	—
0217 Insurance Fund.....	22,774	30,749	39,978

PROGRAM REQUIREMENTS

20 FRAUD CONTROL

0217 Insurance Fund			
State Operations:			
20.10 Fraud-Auto	\$7,174	\$8,356	\$14,461
20.20 Fraud-Workers' Compensation	12,484	12,512	12,724
20.30 Fraud-General Assessment.....	2,039	1,439	1,591
Totals, State Operations	\$21,697	\$22,307	\$28,776
Local Assistance:			
20.10 Fraud-Auto	10,324	12,727	15,130
20.20 Fraud-Workers' Compensation	15,935	16,773	16,773
Totals, Local Assistance	\$26,259	\$29,500	\$31,903
State Operations:			
0217 Insurance Fund.....	21,697	22,307	28,776
Local Assistance:			
0217 Insurance Fund.....	26,259	29,500	31,903

PROGRAM REQUIREMENTS

30 TAX COLLECTION AND AUDIT

State Operations:			
0001 General Fund.....	\$741	\$764	\$1,137
Totals, State Operations	\$741	\$764	\$1,137

PROGRAM REQUIREMENTS

40 EARTHQUAKE GRANTS AND LOANS

0285 California Residential Earthquake Recovery Fund:			
State Operations	\$147	\$265	\$265
Local Assistance.....	829	2,632	1,000

TOTAL EXPENDITURES

State Operations	95,018	109,911	124,826
Local Assistance.....	27,088	32,132	32,903
TOTALS, EXPENDITURES	\$122,106	\$142,043	\$157,729

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	949.9	1,243.1	1,217.3	\$48,242	\$61,742	\$61,364
Total Adjustments	—	—	159.5	—	2,669	12,557
Estimated Salary Savings	—	-62.4	-69.0	—	-3,223	-3,700
Net Totals, Salaries and Wages	949.9	1,180.7	1,307.8	\$48,242	\$61,188	\$70,221
Staff Benefits	—	—	—	11,514	8,927	9,775
Totals, Personal Services	949.9	1,180.7	1,307.8	\$59,756	\$70,115	\$79,996
OPERATING EXPENSES AND EQUIPMENT				\$35,100	\$39,796	\$44,830
SPECIAL ITEMS OF EXPENSE				162	—	—
TOTALS, EXPENDITURES				\$95,018	\$109,911	\$124,826

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation	\$750	\$735	\$1,137
011 Budget Act appropriation (Loan to Insurance Fund)	—	(4,668)	(3,778)
Allocation for employee compensation	6	55	—
Allocation for employer's share of health benefits	1	1	—
Adjustment per Section 3.60	-16	-27	—
Prior year balances available:			
Chapter 239, Statutes of 1997	6,667	3,334	—
Totals Available	\$7,408	\$4,098	\$1,137
Balance available in subsequent years	-3,334	—	—
TOTALS, EXPENDITURES	\$4,074	\$4,098	\$1,137

0217 Insurance Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$95,365	\$101,582	\$121,556
Allocation for employee compensation	1,000	5,771	—
Allocation for employer's share of health benefits	82	83	—
Allocation per Section 16.00	—	41	—
Adjustment per Section 3.60	-2,114	-3,936	—
Transfer to Legislative Claims (9670)	-407	—	—
Chapter 963, Statutes of 1998	4,000	—	—
Prior year balances available:			
Item 0845-001-0217, Budget Act of 1999 as reappropriated by Item			
0845-490, Budget Act of 2000	—	—	1,000
Chapter 239, Statutes of 1997	887	835	—
Annual transfer from General Fund	3,333	3,334	—
Chapter 963, Statutes of 1998 as reappropriated by Item 0845-490, Budget			
Act of 1999	—	1,696	—
Totals Available	\$102,146	\$109,406	\$122,556
Balance available in subsequent years	-2,531	-1,000	—
Unexpended balance, estimated savings	-5,920	-502	—
TOTALS, EXPENDITURES	\$93,695	\$107,904	\$122,556
Less funding provided by the General Fund	-3,333	-3,334	—
NET TOTALS, EXPENDITURES	\$90,362	\$104,570	\$122,556

0285 California Residential Earthquake Recovery Fund ^s

APPROPRIATIONS			
Transfer from Local Assistance per Chapter 899, Statutes of 1995	\$200	\$197	\$265
Transfer from Local Assistance per Chapter 796, Statutes of 1999	—	68	—
Allocation for employee compensation	3	13	—
Adjustment per Section 3.60	-4	-9	—

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

	1998-99*	1999-00*	2000-01*
Prior year balances available:			
Chapter 944, Statutes of 1995	\$47	—	—
Totals Available	\$246	\$269	\$265
Unexpended balance, estimated savings	—99	—4	—
TOTALS, EXPENDITURES	\$147	\$265	\$265
0548 Title Insurance Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$250	\$260	\$158
Allocation for employee compensation	5	16	—
Adjustment per Section 3.60	—6	—8	—
Totals Available	\$249	\$268	\$158
Unexpended balance, estimated savings	—8	—	—
TOTALS, EXPENDITURES	\$241	\$268	\$158
0995 Reimbursements			
Reimbursements	\$194	\$710	\$710
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$95,018	\$109,911	\$124,826

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS**

2 LOCAL ASSISTANCE

0217 Insurance Fund ^s

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
101 Budget Act appropriation	\$26,259	\$27,097	\$31,903
Pending legislation	—	2,403	—
TOTALS, EXPENDITURES	\$26,259	\$29,500	\$31,903
0285 California Residential Earthquake Recovery Fund ^s			
APPROPRIATIONS			
Prior year balances available:			
Chapter 899, Statutes of 1995 as amended by Chapter 796, Statutes of 1999 ..	\$3,862	\$2,833	\$3,336
Transfer to State Operations per Chapter 796, Statutes of 1999	—200	—265	—265
Increased expenditure authority per Chapter 796, Statutes of 1999	—	3,400	—
Totals Available	\$3,662	\$5,968	\$3,071
Balance available in subsequent years	—2,833	—3,336	—2,071
TOTALS, EXPENDITURES	\$829	\$2,632	\$1,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$27,088	\$32,132	\$32,903
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$122,106	\$142,043	\$157,729

FUND CONDITION STATEMENT

0217 Insurance Fund ^s

	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE	\$23,405	\$27,630	\$36,049
REVENUES AND TRANSFERS			
Revenues:			
123100 Insurance Company License Fees and Penalties	20,197	21,997	21,997
123200 Insurance Company Examination Fees	12,016	17,978	17,612
127100 Insurance Department Fees, Prop. 103	21,536	20,427	20,933
127200 Insurance Department Fees, General	12,304	13,504	13,504
127300 Insurance Fraud Assessment, Workers' Compensation	28,603	29,996	29,996
127400 Insurance Fraud Assessment, Auto	18,181	29,476	36,941

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

		<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
127500	Insurance Fraud Assessment, General.....	\$1,423	\$1,549	\$1,549
131600	Fingerprint Identification Card Fees.....	129	85	85
141200	Sales of Documents	2	1	1
142500	Miscellaneous Services to the Public.....	278	350	350
150300	Income From Surplus Money Investments	1,557	1,500	1,500
161000	Escheat of Unclaimed Checks and Warrants	105	—	—
161400	Miscellaneous Revenue	168	91	91
161900	Other Revenue-Cost Recoveries	1,109	1,369	1,369
	Totals, Revenues	\$117,608	\$138,323	\$145,928
	Transfers from Other Funds:			
F00001	General Fund loan per Item 0845-011-0001, Budget Act of 1999 and 2000	—	4,668	3,778
F00970	Unclaimed Property Fund per Civil Code Section 1523 (Chapter 963, Statutes of 1998)	4,000	—	—
	Totals, Transfers from Other Funds	\$4,000	\$4,668	\$3,778
	Totals, Revenues and Transfers	\$121,608	\$142,991	\$149,706
	Totals, Resources	\$145,013	\$170,621	\$185,755
	EXPENDITURES			
	Disbursements:			
0845	Department of Insurance:			
	State Operations.....	93,695	107,904	122,556
	Local Assistance	26,259	29,500	31,903
3540	California Department of Forestry (State Operations).....	355	—	—
9670	Legislative Claims (State Operations).....	407	502	—
	Totals, Disbursements	\$120,716	\$137,906	\$154,459
	Expenditure Reductions:			
0845	Department of Insurance:			
	State Operations:			
	Less funding provided by the General Fund	—3,333	—3,334	—
	Totals, Expenditure Reductions	—\$3,333	—\$3,334	—
	Totals, Expenditures	\$117,383	\$134,572	\$154,459
	FUND BALANCE.....	\$27,630	\$36,049	\$31,296
	Reserve for economic uncertainties	27,630	36,049	31,296

NOTE: Ending Fund Balance for 0217 Insurance Fund contains moneys restricted for the purpose of Proposition 103 and fraud activities.

0285 California Residential Earthquake Recovery Fund ^s

BEGINNING RESERVES	\$7,415	\$6,977	\$4,480
REVENUES AND TRANSFERS			
Revenues:			
150300 Income From Surplus Money Investments	538	400	400
Totals, Resources.....	\$7,953	\$7,377	\$4,880
EXPENDITURES			
Disbursements:			
0845 Department of Insurance:			
State Operations	147	265	265
Local Assistance	829	2,632	1,000
Totals, Disbursements.....	\$976	\$2,897	\$1,265
FUND BALANCE.....	\$6,977	\$4,480	\$3,615
Reserve for economic uncertainties	6,977	4,480	3,615

0548 Title Insurance Fund ^s

BEGINNING RESERVES	\$262	\$271	\$263
REVENUES AND TRANSFERS			
Revenues:			
127200 General Insurance Department Fees.....	250	260	—
Totals, Resources.....	<u>\$512</u>	<u>\$531</u>	<u>\$263</u>

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

EXPENDITURES

Disbursements:

	1998-99*	1999-00*	2000-01*
0845 Department of Insurance (State Operations).....	\$241	\$268	\$158
FUND BALANCE.....	\$271	\$263	\$105
Reserve for economic uncertainties	271	263	105

CHANGES IN

AUTHORIZED POSITIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	949.9	1,243.1	1,217.3	\$48,242	\$61,742	\$61,364
Salary adjustments.....	—	—	—	—	2,669	4,721
Totals, Adjusted Authorized Positions	949.9	1,243.1	1,217.3	\$48,242	\$64,411	\$66,085
Proposed New Positions:						
Antirebate Investigation and Enforcement Unit:				Salary Range		
Assoc Ins Investigator ¹	—	—	0.5	3,831-4,622	—	30
Staff Counsel ¹	—	—	0.5	3,370-3,706	—	22
Ins Investigator ¹	—	—	0.5	2,442-2,780	—	16
Licensing Bureau:						
Staff Svcs Analyst.....	—	—	1.0	2,318-2,755	—	30
Prog Techn II.....	—	—	1.0	2,150-2,613	—	28
Claims Services Bureau:						
Sr Ins Policy Ofcr ²	—	—	1.0	4,085-4,929	—	53
Assoc Ins Policy Ofcr ²	—	—	4.0	3,717-4,487	—	192
Consumer Services and Market Conduct:						
Sr Ins Policy Ofcr.....	—	—	1.0	3,985-4,809	—	51
Assoc Ins Policy Ofcr.....	—	—	10.0	3,717-4,487	—	479
Holocaust Information Technology:						
Key Data Oper ³	—	—	2.0	1,995-2,424	—	51
Investigations:						
Asst Chief Counsel.....	—	—	2.0	7,056-7,789	—	182
Sr Ins Investigator.....	—	—	3.0	4,207-5,076	—	163
Assoc Ins Investigator.....	—	—	8.0	3,831-4,622	—	395
Staff Counsel.....	—	—	19.0	3,376-3,706	—	827
Ins Investigator.....	—	—	12.0	2,442-2,780	—	378
Ofc Techn-Typing.....	—	—	2.0	2,150-2,613	—	55
Sr Legal Typist.....	—	—	7.0	2,109-2,564	—	190
Organized Automobile Fraud Activity Interdiction Program:						
Supvng Fraud Investigator II.....	—	—	1.0	4,747-5,731	—	74
Supvng Fraud Investigator I.....	—	—	6.0	4,207-5,076	—	393
Fraud Investigator.....	—	—	39.0	3,831-4,622	—	2,325
Investigator Asst.....	—	—	5.0	2,340-2,660	—	151
Fraud Auto-Special Investigations Unit:						
Fraud Investigator.....	—	—	15.0	3,831-4,622	—	894
Assoc Govtl Prog Analyst.....	—	—	2.0	3,619-4,367	—	113
Ofc Techn-Gen.....	—	—	1.0	2,150-2,613	—	34
Local Assistant Grant Funding Program:						
Research Prog Spec II.....	—	—	1.0	4,367-5,269	—	56
Ofc Techn-Typing.....	—	—	2.0	2,150-2,613	—	55
Earthquake Program:						
Staff Svcs Mgr I ⁴	—	—	1.0	4,179-5,076	—	65
Assoc Govtl Prog Analyst ⁴	—	—	2.0	3,619-4,367	—	105
Accounting Office:						
Acctg Ofcr-Spec.....	—	—	1.0	3,161-3,800	—	41
Accountant I-Spec.....	—	—	1.0	2,362-2,811	—	30
Budget Office:						
Assoc Adm Analyst (Acctg).....	—	—	2.0	3,800-4,585	—	98
Human Resources:						
Assoc Pers Analyst.....	—	—	2.0	3,619-4,367	—	94
Assoc Govtl Prog Analyst.....	—	—	1.0	3,619-4,367	—	47
Ofc Techn-Gen.....	—	—	1.0	2,150-2,613	—	28
Overtime Reduction.....	—	—	—	—	—	-7

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Internal Audits:				Salary Range		
Assoc Mgmt Auditor.....	—	—	2.0	\$3,801-4,586	—	\$98
Totals, Proposed New Positions.....	—	—	159.5	—	—	\$7,836
Total Adjustments.....	—	—	159.5	—	\$2,669	\$12,557
TOTALS, SALARIES AND WAGES	949.9	1,243.1	1,376.8	\$48,242	\$64,411	\$73,921

¹ Effective date 12/1/00.² Limited-term through 6/30/02.³ Limited-term through 6/30/01.⁴ Limited-term through 6/30/03.**0850 CALIFORNIA STATE LOTTERY COMMISSION**

The California Constitution authorizes the establishment of a statewide lottery. An initiative statute, the California State Lottery Act of 1984, created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The primary purpose of the Act is to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is administered by a five-person Commission appointed by the Governor with the concurrence of the State Senate.

The statute requires that not less than 84 percent of the total annual revenues from the sale of state lottery tickets shall be returned to the public in the form of prizes and net revenues to benefit public education. Fifty (50) percent of the total annual revenues shall be returned to the public in the form of prizes. At least 34 percent of those revenues shall be allocated to the benefit of public education, and no more than 16 percent of the revenues are to be used for administrative costs. The Commission may also use a portion of its administrative funds to pay for prizes in order to increase sales and revenues to education. Those revenues allocated to the benefit of public education are to be placed in a special fund, known as the California State Lottery Education Fund, which is appropriated for the benefit of public education and which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following four categories: K-12 education, Community Colleges, the California State University and the University of California. These funds, which augment, rather than replace, funds already allocated for public education, are to be spent exclusively for instructional purposes, and may not be spent for acquisition of real property, construction of facilities, financing of research, or other noninstructional purposes.

In the 14 years from the start of sales in October 1985 through June 30, 1999, the California State Lottery has raised over \$10.6 billion for public education.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 1999-00 and 2000-01 cannot be made with certainty.

Authority

California Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

STATEMENT OF OPERATIONS

	1998-99*	1999-00*	2000-01*
Lottery sales	\$2,498,299	\$2,550,000	\$2,550,000
Less prizes	1,307,443	1,338,750	1,338,750
Sales after prizes.....	\$1,190,856	\$1,211,250	\$1,211,250
Less Game Costs:			
Retailer costs	169,791	175,992	175,992
On-line game costs	48,061	40,491	40,491
Off-line game costs.....	27,569	34,305	34,305
Totals, Game Costs	\$245,421	\$250,788	\$250,788
Income before operating expenses	945,435	960,462	960,462
Operating Expenses:			
Salaries, wages and benefits.....	33,647	36,733	36,733
Advertising	26,638	23,794	23,794
Promotion, public relations and point of sale.....	8,871	7,206	7,206
Other professional services	6,812	6,691	6,691
Depreciation and amortization.....	7,177	7,540	7,540
Other general and administrative expenses	12,510	11,498	11,498
Total Operating Expenses	\$95,655	\$93,462	\$93,462
Operating income.....	849,780	867,000	867,000
Interest income	18,128	19,125	19,125
Other income	176	0	0
Net Income	\$868,084	\$886,125	\$886,125
Unclaimed on-line prizes	31,266	34,000	34,000
NET INCOME, DUE TO EDUCATION FUND	\$899,350	\$920,125	\$920,125

0562 State Lottery Fund**APPROPRIATIONS**

001 Budget Act appropriation (revised estimated expenditures).....	—	(\$344,250)	(\$344,250)
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For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0850 CALIFORNIA STATE LOTTERY COMMISSION—Continued**DISTRIBUTION OF STATE LOTTERY EDUCATION FUND REVENUES**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Department of Education	\$727,259	\$744,397	\$744,397
California Community Colleges	117,735	120,979	120,979
California State University/California Maritime Academy	32,861	33,324	33,324
University of California	19,253	19,635	19,635
Hastings College of Law	124	139	139
California Youth Authority	756	729	729
State Special Schools	144	144	144
Department of Developmental Services	721	389	389
Department of Mental Health	497	389	389
TOTAL	\$899,350	\$920,125	\$920,125

0855 CALIFORNIA GAMBLING CONTROL COMMISSION

Chapter 867, Statutes of 1997 (SB 8) created, effective January 1, 1999, the California Gambling Control Commission (Commission). Pursuant to statute, the five member Commission is appointed by the Governor, subject to Senate confirmation, and is vested with jurisdiction over gambling establishments in this state and over all persons or things having to do with the operations of gambling establishments in this state, including jurisdiction over operation concentration, and supervision. Specifically, the Commission's responsibilities include:

- Assuring that licenses, approval, and permits are not issued to, or held by, unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare, and
- Assuring that there is not material involvement directly, or indirectly, with a licensed gambling operation, or the ownership or management thereof, by unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare.

Authority

Business and Professions Code, Division 8, Chapter 5, Article 2, Section 19810A–19823A, Article 3 (commencing with Section 19830A).

**SUMMARY OF PROGRAM
REQUIREMENTS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
10 California Gambling Control Commission (Gambling Control Fund)	–	10.5	10.5	–	\$1,125	\$1,153

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	–	11.0	11.0	–	\$761	\$773
Total Adjustments	–	–	–	–	30	57
Estimated Salary Savings	–	–0.5	–0.5	–	–39	–42
Net Totals, Salaries and Wages	–	10.5	10.5	–	\$752	\$788
Staff Benefits	–	–	–	–	138	141
Totals, Personal Services	–	10.5	10.5	–	\$890	\$929
OPERATING EXPENSES AND EQUIPMENT				–	\$235	\$224
TOTALS, EXPENDITURES				–	\$1,125	\$1,153

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0567 Gambling Control Fund ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriations	\$615	\$1,128	\$1,153
Allocation for employee compensation	12	50	–
Allocation for employer's share of health benefits	2	1	–
Adjustment per Section 3.60	–15	–54	–
Totals Available	\$614	\$1,125	\$1,153
Unexpended balance, estimated savings	–614	–	–
TOTALS, EXPENDITURES (State Operations)	–	\$1,125	\$1,153

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	—	11.0	11.0	—	\$761	\$773
Salary adjustments	—	—	—	—	30	57
Totals, Adjusted Authorized Positions.....	—	11.0	11.0	—	\$791	\$830
Total Adjustments.....	—	—	—	—	\$30	\$57
TOTALS, SALARIES AND WAGES	—	11.0	11.0	—	\$791	\$830

0860 STATE BOARD OF EQUALIZATION

The State Board of Equalization administers numerous tax programs for support of state and local government activities, more tax programs than any other state department. These include: the Sales and Use Taxes (State, Local, and Transit District); Motor Vehicle Fuel License (Gasoline) Tax; Diesel and Use Fuel Tax; Alcoholic Beverage Tax; Cigarette Tax; Cigarette and Tobacco Products Surtax; Insurance Tax; Energy Resources Surcharge; Emergency Telephone Users Surcharge; Hazardous Substances Tax; Integrated Waste Management Fee; Underground Storage Tank Fee; Oil Spill Prevention Fee; Occupational Lead Poisoning Prevention Fee; Childhood Lead Poisoning Prevention Fee; Tire Recycling Fee; Private Railroad Car Tax; and Timber Yield Tax. The Board also assesses utility property for local property tax purposes, and provides guidance to local government in the administration of property tax.

The five-member Board was established by the State Constitution. Four of the five Board members are elected specifically to represent equalization districts, and the State Controller serves as an ex officio, voting member.

The Board operates in 27 locations throughout California as well as offices in New York, Chicago, and Houston, and administers programs generating tax revenues in excess of \$39.5 billion.

The Board adopts rules and regulations for the administration of the business taxes programs and for the guidance and direction of the Board's property tax program, county assessors, boards of supervisors, and local assessment appeals boards in valuing property.

As an appellate body, the Board hears appeals from taxpayers on business taxes audit findings, county officials on intra-county and inter-county property tax assessments, public utilities on Board assessments of utility properties, and assessees on privately owned railroad cars. The Board is also the appellate body for contested Franchise Tax Board decisions under the personal income tax laws, bank and corporation tax laws, senior citizens property tax relief laws, and appeals of rulings by the Insurance Commissioner.

SUMMARY OF PROGRAM

REQUIREMENTS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
15 County Assessment Standards						
Program	84.1	97.5	97.2	\$6,982	\$8,592	\$8,728
20 State-Assessed Property Program.....	80.7	92.2	91.7	6,182	8,506	8,584
25 Timber Tax Program	32.7	36.2	36.0	2,630	2,718	2,798
30 Sales and Use Tax Program	3,157.2	3,232.4	3,218.4	239,918	242,524	251,437
35 Hazardous Substances Tax Program....	49.4	51.4	51.2	3,028	3,418	3,442
40 Alcoholic Beverage Tax Program.....	26.8	33.6	33.5	1,869	2,357	2,399
41 Tire Recycling Fee Program	7.7	7.7	7.7	484	499	511
45 Cigarette and Tobacco Products Tax						
Program	40.3	42.4	44.1	3,999	4,652	4,846
50 Motor Vehicle Fuel License Tax						
Program	20.0	20.0	19.9	1,753	1,940	1,992
55 Diesel and Use Fuel Tax Program.....	168.5	169.1	165.4	13,879	13,883	14,147
56 Occupational Lead Poisoning						
Prevention Fee Program.....	8.3	7.1	7.1	504	545	580
57 Integrated Waste Management Program .	3.9	3.7	3.7	339	347	355
58 Underground Storage Tank Fee						
Program	17.7	22.5	22.4	1,300	1,623	1,671
59 Oil Spill Prevention Program	2.2	2.4	2.4	185	238	238
60 Energy Resources Surcharge						
Program	2.3	2.6	2.6	168	188	201
62 Childhood Lead Poisoning Prevention						
Fee Program	4.8	5.8	5.8	426	535	536
63 Ballast Water Management Fee						
Program	—	1.9	4.7	—	259	411
65 Emergency Telephone Users Surcharge						
Program	9.0	9.0	9.0	668	668	679
70 Insurance Tax Program	5.1	3.8	3.8	362	348	355
80 Appeals from Other Governmental						
Programs	16.9	18.8	18.7	1,286	1,405	1,440
85.01 Administration	306.7	350.3	350.3	25,151	27,769	27,769
85.02 Distributed Administration	—306.7	—350.3	—350.3	—24,959	—27,521	—27,521
TOTALS, PROGRAMS.....	3,737.6	3,860.1	3,845.3	\$286,154	\$295,493	\$305,598
0001 General Fund.....				180,557	187,004	194,286
0004 Breast Cancer Fund				100	108	108
0022 State Emergency Telephone Number Special Account, General Fund.....				668	668	679
0061 Motor Vehicle Fuel Account, Transportation Tax Fund.....				15,469	15,721	16,037
0070 Occupational Lead Poisoning Prevention Account, General Fund				504	545	580

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	1998-99*	1999-00*	2000-01*
0080 <i>Childhood Lead Poisoning Prevention Fund</i>	\$426	\$535	\$536
0230 <i>Cigarette and Tobacco Products Surtax Fund</i>	1,202	1,293	1,352
0320 <i>Oil Spill Prevention and Administration Fund</i>	185	238	238
0387 <i>Integrated Waste Management Account, Integrated Waste Management Fund</i>	339	347	355
0439 <i>Underground Storage Tank Cleanup Fund, General Fund</i>	1,300	1,623	1,671
0465 <i>Energy Resources Programs Account, General Fund</i>	168	188	201
0623 <i>California Children and Families First Trust Fund</i>	568	882	895
0890 <i>Federal Trust Fund</i>	163	102	102
0965 <i>Timber Tax Fund</i>	2,630	2,718	2,798
0995 <i>Reimbursements</i>	81,875	83,521	85,760

15 COUNTY ASSESSMENT STANDARDS PROGRAM**Program Objectives Statement**

This program carries out the Board's constitutional and statutory responsibility of ensuring that taxable properties are enrolled and that all properties are assessed by the 58 county assessors in full conformity with the law, assuring a complete and equitable tax base that is fair to taxpayers and supports both local agencies and the State's General Fund. The Board is also charged with providing consultation and services to county assessors, their staff, and others involved with the assessing function to aid them in distributing property tax assessments legally and equitably among property owners. The County Assessment Standards Program establishes standards, provides training for assessors and assessment appeals boards, and evaluates the effectiveness of each county assessor's administration of the assessment function and the degree to which the practices of the assessors' offices conform to existing law and policies.

Major Budget Adjustment Proposed for 2000-01

- Includes an augmentation of \$51,000 (General Fund) and 0.5 personnel year to develop and provide ongoing mandatory training for county assessment appeals board members as required by Chapter 942, Statutes of 1999 (SB 1234).

Authority

Constitution—Article XIII; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640-15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670-673, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code—Rules 101, 171, 202, 252, 282-283, 1045, and 1051.

Program Element Statements**15.10 County Surveys**

California taxpayers will pay an estimated \$22.4 billion in property taxes during 1999-00 to support various local governmental agencies. Approximately ninety-six percent of these taxes will result from valuations based on ad valorem assessments made by the 58 county assessors. Almost forty-eight percent of these revenues go to local agencies other than schools. Schools receive approximately one-half of the property tax revenues. Since the State is ultimately responsible for providing a specified level of funding for schools, any shortfalls in property tax revenue must be met by the State's General Fund. Because of the importance of this revenue source to the State, schools, and local government, it is necessary that a central agency provide direction, supervision, and review of local assessment practices (administrative oversight) to assure equitable treatment of all property taxpayers both within and between counties.

To accomplish these objectives, "assessment practices" and "special topics" surveys are conducted to ascertain the specific assessment practices of the 58 county assessors. The assessment practices surveys are performed over a five-year cycle and include a comprehensive audit of the assessor's operation, resulting in a report which includes recommendations and suggestions for improvement. For the 11 largest counties and 15 other counties selected either at random or for cause during the cycle, a survey also includes a random selection of a representative sampling of the local assessment roll, the appraisal by staff appraisers of the sampled assessments, and an in-depth analysis of the differences between the staff appraisals and the enrolled assessments. The survey also includes a comprehensive review of the assessment system emphasizing the principal causes for significant differences and contains recommendations for improving the systems and resulting assessments. The special topics surveys provide an in-depth evaluation of the problems associated with current assessment issues that have a statewide impact.

15.20 Technical Advisory Services

This program carries out the Board's constitutional and legislative duties to advise and assist the 58 county assessors in compiling and standardizing (statewide) the assessment rolls of all taxable properties within their counties. The Board relies on five primary functions to fulfill this responsibility; it: 1) provides technical advice on real, personal and specialty property appraisal problems; 2) publishes "Letters to Assessors" and prepares and revises "Assessors' Handbooks"; 3) annually prescribes the format of most forms used by the assessors, including all those requiring taxpayer entries; 4) formulates rules that are binding on assessors and have the full force and effect of law; and 5) certifies appraisers, monitors completion of their mandatory annual training, and provides training to appraisers and assessment appeals board members.

15.30 Technical Services

The Board has three primary areas of responsibility in providing assistance to counties in administering the property taxes. 1) The Board and the counties jointly administer the welfare exemption which removes millions of dollars from the tax base of local government and schools. The assessor receives and reviews the original claim, inspects the property, and forwards the claim to the Board together with a recommendation on the applicability of the exemption. Board staff reviews the case and recommends approval or disapproval. Disapprovals are subject to an appeal to the Board. The matter is returned to the county where the assessor can act only on applications found qualifying by the Board. 2) Current law prescribes that a "change in control" of a legal entity (i.e., a corporation or partnership) is equivalent to a "change in ownership" requiring a reappraisal of the real property. Changes in control of legal entities are difficult for the assessor to find, whereas "changes in ownership" are relatively easy to locate due to the recording of ownership documents. The Board, by researching financial publications and inserting questions on the state corporate and partnership tax returns, can detect most of these transactions and advise all counties where affected real property is located. 3) Numerous exclusions from the change in ownership reappraisals have been adopted by the voters for property replacing properties taken by governmental agencies, senior citizens and disabled persons selling their residence and purchasing a replacement residence, and certain transfers among family members. Each of these exclusions must be tracked to avoid duplicate applications and to conform with the law. The Board provides a "clearinghouse" for all such claims, assuring no duplication.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**20 STATE-ASSESSED PROPERTY PROGRAM****Program Objectives Statement**

The State Constitution mandates that the Board annually value and assess inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Once market values are derived for these state assessees, those values must be allocated on a county-by-county basis among the agencies of local government in which properties are located. After the values are allocated, taxes are levied and collected for use by the local agencies. The Board collects the private railroad car tax which is deposited in the State's General Fund.

Authority

Constitution—Article 13, Section 19; Revenue and Taxation Code—Division 1, Part 2; Division 2, Part 6.

Program Element Statements**20.10 Assessment of Public Utilities**

State assessees annually file property statements with the Board listing all properties by situs, together with associated costs, and provide fiscal and financial information on their operations. These and other economic data are used by staff to develop "indicators of value" which are used by the Board to determine the annual market value of the assessable property of each state assessee. Staff audits the property statements filed annually by state assessees.

All property contained on the assessment rolls must be identified to the combination of taxing jurisdictions serving that property. These taxing districts are overlapping and their boundaries are not coterminous with one another. Clusters of properties all served by the same taxing jurisdictions and having the same distribution of property tax revenues constitute a unique "tax rate area." The Board is charged with maintaining statewide maps delineating each taxing jurisdiction. The resulting 57,969 tax rate areas are used by the county assessors in preparing the local assessment roll and state assessees in reporting their nonunitary property holdings.

20.20 Private Railroad Car Tax

The taxable value of private railroad cars is determined by the Board from cost information required of private railroad car owners on property statements and car movement information required of railroads. Information is processed using a statutory valuation formula employing the number of cars in the state and taxable value for each class of car. Assessments are prepared, tax bills are issued, and petitions for reassessment are received and processed. The revenue is deposited into the General Fund.

25 TIMBER TAX PROGRAM**Program Objectives Statement**

The Timber Tax Section administers the Timber Yield Tax by collecting revenue for the Timber Tax Fund, establishing timber value areas and the immediate harvest values for species of timber within those areas used to determine tax liability, developing a standard method of timber measurement and conversion factors where the standard cannot be used, controlling and auditing the reporting and self-assessment of the yield tax liability, and providing the data base for allocating the Timber Tax Fund to the county of harvest.

Authority

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Article 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

Program Element Statements**25.10 Timber Valuation**

Forest property appraisers evaluate data from sales of private timber and timber on public lands and harvests on operator-owned land for purposes of establishing a data base to be used in developing immediate harvest value schedules and evaluating future harvest report filings of taxpayers. Value schedules adopted by the Board are used by taxpayers to determine their tax liability. Field inspections provide data to evaluate both the harvest reports and tax returns at the time of an audit.

25.20 Taxpayer Registration, Return Processing, and Collection

When a taxpayer files either a harvest plan with the California Department of Forestry and Fire Protection or acquires the right to harvest timber on government land, the taxpayer is required to register with the Board unless already registered. Once identified, the taxpayer is provided with instructions on how to file tax returns and harvest reports, and delinquency controls are established on anticipated tax returns. Tax returns and harvest reports are filed along with the tax due, permitting the identification of delinquent accounts and establishing a data base for future audits where necessary.

25.30 Auditing

Taxpayers are required to report and self-declare tax liability by species, old versus young growth, size, logging system, and location. Misreporting of the volume harvested or misclassification by species or old versus young growth significantly alters the tax liability. Further, rather than being reported, some harvests are disclosed by a reconciliation of log sales at the mills. The auditors, in conjunction with the forest property appraisers, evaluate the accuracy of the self-reporting and investigate any differences.

30 SALES AND USE TAX PROGRAM**Program Objectives Statement**

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through timely reporting of tax liability, detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable.

The Board administers the Sales and Use Tax Law, the Bradley-Burns Uniform Local Sales and Use Tax Law, and transactions (sales) and use tax ordinances for the Bay Area Rapid Transit District, the Santa Clara County Transit District, the Santa Cruz Metropolitan Transit District, the Los Angeles County Transportation Commission, the San Mateo County Transit District, the Alameda County Transportation Authority, the Fresno County Transportation Authority, the San Diego Regional Transportation Commission, the Inyo County Rural Counties Transaction Tax, the San Mateo County Transportation Authority, the Sacramento Transportation Authority, the Contra Costa Transportation Authority, the Riverside County Transportation Commission, the San Francisco County Transportation Authority, the Imperial County Local Transportation Authority, Calexico

0860 STATE BOARD OF EQUALIZATION—Continued

Heffernan Memorial Hospital District, the Santa Barbara County Local Transportation Authority, the San Bernardino County Transportation Authority, the Madera County Transportation Authority, the Orange County Local Transportation Authority, the San Joaquin County Transportation Authority, the Sonoma County Open Space Authority, the San Francisco County Public Finance Authority, the City of Clearlake Public Safety Transactions and Use Tax, the Stanislaus County Library Transactions and Use Tax, the Santa Clara County Transactions and Use Tax, the Santa Cruz County Public Library Transactions and Use Tax, the Napa County Flood Protection Authority, the Solano County Public Library Transaction and Use Tax, the Town of Truckee Road Maintenance District, the Nevada County Public Library Transaction and Use Tax, Fresno County Public Library Transactions and Use Tax, and City of Placerville Public Safety Transactions and Use Tax.

Major Budget Adjustment Included in 1999–00

- An increase of \$4,511,000 (\$3,408,000 General Fund, \$343,000 Special Funds, and \$760,000 Reimbursements) to accommodate increased mainframe processing costs and increased equipment lease costs.

Major Budget Adjustments Proposed for 2000–01

- \$5,130,000 (\$3,877,000 General Fund, \$389,000 Special Funds, and \$864,000 Reimbursements) to accommodate the ongoing mainframe processing costs and equipment lease costs.
- One-time augmentation of \$971,000 (\$758,000 General Fund, \$33,000 Special Funds, and \$180,000 Reimbursements) to replace obsolete personal computer hardware and software.
- An augmentation of \$498,000 (\$398,000 General Fund and \$100,000 Reimbursements) and 10.0 personnel years to permanently continue the efforts of the electronic funds transfer program as amended by Chapter 473, Statutes of 1991 (SB 467).
- An augmentation of \$43,000 (Reimbursements) and 0.9 personnel year to accommodate the ongoing workload associated with the provisions of Chapter 676, Statutes of 1995 (SB 602) related to local tax allocation on leased vehicles.
- \$393,000 (\$314,000 General Fund and \$79,000 Reimbursements) for the field automation project.

Authority

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

Program Element Statements**30.10 Registration of Taxpayers**

Registration enables the Board to furnish sellers and consumers with proper tax forms and instructions for the reporting and allocation of sales and use tax.

30.20 Processing Tax Returns

The returns filed are processed through the Cashier Unit, the Return Analysis Section, and the Local Revenue Allocation Section for deposit of revenue, accuracy, and fiscal accounting purposes. This accounting includes the proper distribution of local and district taxes and whether the taxpayer filed a return. Taxpayers who fail to file returns are sent a notice of delinquency. After a reasonable period of time, taxpayers whose accounts continue to be delinquent are cited to appear at the nearest Board office to show cause why their permit(s) should not be revoked.

Returns are reviewed for mathematical accuracy, proper preparation, and reporting of tax according to law. Billings and refunds are prepared to notify taxpayers of errors in self-declared tax. Additionally, taxpayers may be contacted to explain deductions.

30.30 Auditing Accounts

This activity is statistically designed to cover the tax base in a manner that will result in audits of accounts most likely to make substantial errors in reporting. A major objective of the audit selection system, which is based on the principle of marginal analysis, is to audit accounts that will produce tax deficiencies in excess of the cost of auditing. Tax deficiency is the difference between self-assessed taxes and the final determination of taxes due after auditing.

30.40 Collecting Taxes Receivable

Field offices are primarily responsible for the collection of delinquent amounts. The effort expended on each account is consistent with the amount due. Although efforts are made to accomplish collection through use of the telephone or by correspondence, field calls and the utilization of statutory remedies may be necessary to collect larger amounts when other efforts have not been productive.

In 1996–97, the Board implemented the Automated Compliance Management System (ACMS) as part of its collection program. The ACMS automates many tasks that would otherwise be done manually; expedites the issuance of liens, levies, and warrants; and provides for on-line tracking and management of collection cases.

35 HAZARDOUS SUBSTANCES TAX PROGRAM**Program Objectives Statement**

This program provides revenues for the Hazardous Waste Control Account and the Toxics Substances Control Account in the General Fund. The revenue is derived by collecting fees from generators of hazardous wastes, from hazardous waste facilities, and from certain corporations as identified by statute. Fees are collected by the Board for the Department of Toxic Substances Control (DTSC).

Hazardous waste “generators” and “facilities” are subject to either: 1) a generator fee paid annually from persons generating more than five tons of hazardous waste during the calendar year; or, 2) a facility fee paid annually from operators of certain hazardous waste facilities. Persons who generate hazardous wastes for shipment to an in-state facility for disposal, or who dispose of it on-site, are subject to a disposal fee. In addition to these fees, corporations with certain standard industrial codes (SIC) may owe an environmental fee based upon the number of employees. Fees are also collected from persons who receive certain services from DTSC (activity fee) or from persons operating under a permit-by-rule who owe an annual tiered permitting fee.

The objectives of the program are to ensure that appropriate returns and reports are filed, prescribed fees are paid, and taxes are assessed and collected in an equitable and effective manner.

Administration includes: registering persons required to pay fees; processing tax returns and reports; collecting fees and taxes due; auditing accounts; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Sections 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.8, 25205.14, 25205.21, 25205.22, 25221 and 25343 of the Health and Safety Code, Part 22 of Division 2 of the Revenue and Taxation Code.

0860 STATE BOARD OF EQUALIZATION—Continued**40 ALCOHOLIC BEVERAGE TAX PROGRAM****Program Objectives Statement**

This program ensures that all alcoholic beverage tax revenues are collected equitably and efficiently through timely reporting of tax liability, detecting and correcting errors in reporting, and promptly collecting amounts determined due and recoverable.

Self-assessed state excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages in this state are administered by the Board.

Authority

Revenue and Taxation Code—Part 14, Division 2.

Program Element Statements**40.10 Registration of Taxpayers**

Persons subject to alcoholic beverage taxes are identified through licenses issued by the Department of Alcoholic Beverage Control. The Board registers them as taxpayers and furnishes tax returns for the reporting of tax. Accounts are regularly reviewed to reassess security requirements. Nontaxpaying common carriers, customs brokers, and out-of-state beer vendors are also registered and supplied with forms necessary to report information concerning the shipments of alcoholic beverages into California.

40.20 Processing Tax Returns and Reports

Alcoholic beverage tax returns are filed directly with the Board's headquarters office. The returns are processed through the mail processing, cashier, and technology services units for deposit of funds and fiscal accounting purposes. The Excise Taxes Division then verifies reported tax computations, notifies taxpayers of apparent discrepancies on returns, and reviews the returns for completeness and proper application of the law.

40.30 Auditing Accounts

Informational reports are received from common carriers, customs brokers, out-of-state beer vendors, and other states. Specific procedures are performed using the data contained in these informational reports, which include data entry, analysis, matching, and verification. Based on the results of the procedures performed, the Excise Taxes Division is able to effectively select for field auditing those accounts which appear to have understated the tax. The audits deter tax evasion and promote accurate reporting of self-declared tax.

40.40 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien.

41 TIRE RECYCLING FEE PROGRAM**Program Objectives Statement**

This program is needed to provide revenues for the California Tire Recycling Account in the California Tire Recycling Management Fund. The program objective is to ensure that all tire recycling fee revenues are collected in an equitable and effective manner through timely reporting of liabilities, detecting and correcting errors in feepayer self-assessments and promptly collecting amounts determined to be due and economically recoverable.

The fee is collected by sellers of tires identified and registered by the Board. The fee provides funding for a tire recycling program which will reduce landfill disposal and stockpiling of used tires by recycling and reclaiming used tires and used tire components to the greatest extent possible. Administration of this fee is performed by the Excise Taxes Division and includes: identifying and registering sellers of tires required to pay the fees; maintaining current registration information for each feepayer; printing, mailing and receiving returns; depositing fees; examining returns; following up on problem or unfiled returns; storing returns; providing periodical reports to the California Integrated Waste Management Board; auditing accounts; collecting fees receivable; resolving petitions for redetermination; and advising interested persons about the law.

Chapter 1020 (Statutes of 1998), extended the sunset date for this program from June 30, 1999 to January 1, 2001.

Authority

Public Resources Code—Sections 42860 through 42895.

45 CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM**Program Objectives Statement**

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and through the passage of Proposition 10, the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by effecting timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

The cigarette tax is imposed upon distributors of cigarettes at the rate of 1.85 cents per cigarette (37 cents per standard package of 20) and upon consumers who acquire untaxed cigarettes which are not otherwise exempt from tax. For the most part, the tax is paid by distributors through the purchase of stamps from commercial banks which contract with the state for the issuance of stamps. Cigarette distributors are allowed a discount of .85% on purchases of cigarette tax stamps. The tobacco products tax is imposed upon distributors of tobacco products at a rate equivalent to the combined rate of tax imposed on cigarettes. The rate is determined annually by the Board.

Effective January 1, 1999, the California Children and Families First Initiative (Proposition 10) increased the excise tax on cigarettes by another 50 cents per pack, bringing the total tax to 87 cents per standard package of 20, or 4.35 cents per cigarette. Additionally, Proposition 10 increases the excise tax on other tobacco products in total by the equivalent of a \$1 per pack increase in the tax on cigarettes.

Major Budget Adjustment Included in 1999–00

- An increase of \$140,000 (California Children and Families First Trust Fund) to accommodate the increased cigarette tax indicia contract cost with Bank of America associated with the passage of the 1998 Proposition 10.

0860 STATE BOARD OF EQUALIZATION—Continued**Major Budget Adjustments Proposed for 2000–01**

- An augmentation of \$140,000 (California Children and Families First Trust Fund) for one additional year to accommodate the cigarette tax indicia contract cost with Bank of America.
- An augmentation of \$72,000 (General Fund) and 1.9 personnel years to administer the provisions of Chapter 780, Statutes of 1999 related to accounting for the Tobacco Products Settlement Agreement.

Authority

Revenue and Taxation Code—Part 13, Division 2.

Program Element Statements**45.10 Registration of Taxpayers**

Every person desiring to engage in the sale of cigarettes or tobacco products as a distributor or as a cigarette wholesaler must obtain a license from the Excise Taxes Division for each location at which he or she engages in such business. This enables the Board to furnish proper forms for the reporting, processing, allocation, and administration of taxes. Necessary security is obtained from licensed distributors to assure payment of the tax.

45.20 Processing Tax Returns and Reports

Forms and instructions are mailed to licensed distributors and wholesalers to enable them to file monthly reports to account for their purchases and distributions of tobacco products, cigarettes, and tax stamps; to enable cigarette manufacturers (who are all licensed distributors) to report tax on sample cigarettes distributed in California; and to enable tobacco products distributors to report tax on their distribution of tobacco products. Reports relating to the acquisition and sale of tax stamps are received from banks. Information reports are received from manufacturers and common carriers. These reports are processed by the Excise Taxes Division to account for tax payments, verify mathematical accuracy, assure conformity with the law, and select accounts for audit. When a timely report is not filed, the taxpayer is notified. If the delinquency is not cleared, revocation proceedings are instituted.

45.30 Auditing Accounts

Field auditing is essential to assure uniform application of the law, deter tax evasion and carelessness in self-reporting, and identify and correct errors in self-reporting. Reconciliations are made in the Excise Taxes Division using data reported by manufacturers, distributors, wholesalers, banks, and others. In most cases, immediate corrective action is taken when underreported tax is indicated. Field audit activities are designed to resolve more difficult discrepancies and to identify tobacco products and cigarettes received from illegal sources. These audits deter tax evasion and promote accurate reporting of self-declared tax.

45.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through tax stamp counterfeiting, bootlegging, tax underreporting, smuggling and illegal sales of cigarettes and tobacco products.

Field inspections are made of distributors' stamping machines to see that indicia is properly affixed, clear, and legible. Inspections also are made of cigarette stocks in retail stores and in vending machines to assure that all packages are properly stamped. Additionally, spot inspections of transit vehicles, vessels and aircraft are made to help deter illegal transportation of untaxed cigarettes and tobacco products for sale in the state.

45.50 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM**Program Objectives Statement**

This program provides revenue for the Transportation Tax Fund, Aeronautics Fund, Harbor and Watercraft Revolving Fund, Off-Highway Vehicle Fund, and Department of Agriculture Fund. The objective is to ensure that the Motor Vehicle Fuel License Tax is administered equitably and effectively through timely reporting of tax liability, and detecting and correcting errors in taxpayers' self-assessments.

The gasoline tax is imposed upon distributors and brokers based on their taxable distributions of motor vehicle fuel. The gasoline tax rate is eighteen cents per gallon. A two-cent per gallon tax is imposed on sales of aircraft jet fuel by dealers to certain users. The State Controller shares responsibility with the State Board of Equalization for the administration of this tax by collecting delinquent tax and making refunds to consumers who use tax paid gasoline in an exempt manner subject to refund.

Authority

Revenue and Taxation Code—Part 2, Division 2.

Program Element Statements**50.10 Registration of Taxpayers**

Persons subject to the motor vehicle fuel license tax are registered to enable the Board to furnish proper forms for the reporting, processing, and administration of the tax. Necessary security, within the limits allowed by law, is obtained to assure payment of the tax.

50.20 Processing Tax Returns

Returns are processed through the cashier and the Fuel Taxes Division for proper deposit of funds and fiscal accounting purposes. Taxpayers failing to file returns are identified and notices are sent to them.

0860 STATE BOARD OF EQUALIZATION—Continued**50.30 Auditing Accounts**

Accounts to be audited are selected through a process which assures optimum use of field audit time to detect misplaced tax, and deter tax evasion. Selection of accounts for audit places emphasis on those accounts which are expected to produce a deficiency tax change greater than the cost of auditing. These audits protect the tax base and result in a sustained high level of self-declared tax.

50.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where motor vehicle fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered.

55 DIESEL AND USE FUEL TAX PROGRAM**Program Objectives Statement**

This program provides revenue for the Transportation Tax Fund. The program objective is to ensure that all revenues are collected in an equitable and effective manner by effecting timely reporting of tax liability, detecting and correcting errors in taxpayer self-assessment, and promptly collecting amounts determined to be due and economically recoverable.

Effective July 1, 1995, the collection point of the excise tax on diesel fuel was transferred from the wholesaler of diesel fuel level to the terminal rack level. This was done to conform the state excise tax imposition to that of federal law, and to curb the increasing incidence of fuel taxes evasion. The imposition of the excise tax on the remaining use fuels remains upon the user or vendor of the fuel.

Major Budget Adjustment Proposed for 2000–01

- An augmentation of \$312,000 (Motor Vehicle Fuel Account) and 6.6 personnel years to permanently continue the tax enforcement and compliance activities at the California Highway Patrol truck inspection facilities.

Authority

Revenue and Taxation Code—Parts 3 and 31 of Division 2.

Program Element Statements**55.10 Registration of Taxpayers**

Registration of suppliers, distributors, vendors and users allows the Board to furnish them with proper tax forms and instructions for the purpose of reporting and processing of these taxes, and claiming allowable refunds. Necessary security, within the limits allowed by law, is obtained to assure payment of the taxes. The Board establishes an account for each new taxpayer, maintains the account on a current basis, and closes the account when the activity terminates.

55.20 Processing Tax Returns

Returns are processed through the mail, cashier, and technology services sections for deposit of revenue receipts and fiscal accounting purposes. The Board establishes delinquencies for taxpayers failing to file returns and sends notices to them. In addition, returns are reviewed for mathematical accuracy, proper preparation and application of the law. Returns claiming refunds of tax paid on fuel used in an exempt manner are reviewed for compliance with applicable laws and rules, prior to refunds being processed.

55.30 Auditing Accounts

This activity assures uniform application of the tax by detecting and correcting errors in reporting, and deterring tax evasion. In selecting accounts for audit, the Board places emphasis on those expected to produce a deficiency tax greater than the cost of auditing. These audits protect the tax base, and result in a high level of self-declared tax.

55.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where diesel fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered. Additionally, spot inspections at designated inspection sites along state highways to physically inspect, examine or otherwise search any tank or container used in the transportation of diesel fuel.

55.50 Collecting Taxes Receivable

The Fuel Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when it is necessary to locate assets which may be levied upon, or which are subject to a lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

56 OCCUPATIONAL LEAD POISONING PREVENTION FEE**Program Objectives Statement**

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. Fees are collected from all employers in specified standard industrial classification (SIC) codes who employ ten or more employees. The identified SIC codes are those in which the Department of Health Services has determined that a potential for lead poisoning exists. The fee provides funding for the Occupational Lead Poisoning Prevention Program conducted by the Department of Health Services.

Administration includes registering employers required to pay the fee; processing the annual returns; collecting amounts due; auditing accounts; resolving claims for refund and petitions for redetermination; and advising interested persons regarding the law.

Authority

Health and Safety Code Sections 105175, 105185, 105190 and Part 22 of Division 2 of the Revenue and Taxation Code.

0860 STATE BOARD OF EQUALIZATION—Continued**57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM****Program Objectives Statement**

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund by administering the collection of a fee on all solid waste disposed at each solid waste landfill required to have a solid waste facility permit. The fee provides funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, the fee supports state and local landfill permit enforcement programs and provides grants to local agencies to initiate and implement waste separation programs.

Administration includes registering facility operators required to pay the fees; processing annual and quarterly reports; computing the fee liability of each operator; mailing assessment notices; collecting amounts due; auditing accounts; providing statistical analysis of reported amounts; resolving petitions for redeterminations and claims for refunds; and advising interested persons regarding the law.

Authority

Division 30, Part 1—Public Resources Code, Division 2, Part 23—Revenue and Taxation Code.

58 UNDERGROUND STORAGE TANK FEE PROGRAM**Program Objectives Statement**

This program provides revenue for the Petroleum Underground Storage Tank Financing Account in the General Fund by collecting a fee on all underground petroleum tanks. The fee provides funding to monitor and regulate underground storage tanks containing petroleum, and to protect human health and the environment. Established by statute, the fee is collected quarterly from owners of underground storage tanks containing petroleum.

Administration of this program includes registering underground tank owners, processing tax returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising fee payers regarding the law.

Authority

Health and Safety Code—Sections 25299.41 and 25299.43.

59 OIL SPILL PREVENTION PROGRAM**Program Objectives Statement**

This program provides revenue for the Oil Spill Prevention and Administration Fund and the Oil Spill Response Trust Fund. The objective is to collect two fees on all crude oil and petroleum products received in this state via marine pipelines and terminals. The prevention and administration fee provides funding in order to implement oil spill prevention programs, and to reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install and maintain emergency programs, equipment, and facilities to respond to, contain and cleanup oil spills. The response fee provides funding in order to promptly cover costs of response, containment, and cleanups of oil spills into marine waters; including damage assessment costs and wildlife rehabilitation.

The Board's administration includes issuing monthly returns, receiving monthly returns, depositing payments, auditing amounts reported, resolving petitions and claims for refund, providing statistical analysis, and advising interested persons regarding the law.

Authority

Government Code—Sections 8670.40 and 8670.48.

60 ENERGY RESOURCES SURCHARGE PROGRAM**Program Objectives Statement**

This program provides revenue for the state Energy Resources Programs Account, General Fund, by administering a surcharge on the consumption of electricity.

Administration of this surcharge on consumers of electrical energy includes registering of electric utilities required to collect the surcharge from consumers; registering of consumers purchasing electrical energy from the United States; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Part 19 of Division 2 of the Revenue and Taxation Code.

62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM**Program Objectives Statement**

This program provides revenue for the Childhood Lead Poisoning Prevention Fund, by collecting fees to support the Childhood Lead Poisoning Prevention Program administered by the Department of Health Services. The fee, established by statute and implemented by regulation, is collected annually from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California.

Administration of this program includes registering fee payers, processing fee returns, collecting fees, auditing accounts, resolving petitions for redetermination and claims for refund, and advising fee payers regarding the law.

Authority

Health and Safety Code Article 4.6, Section 372, Chapter 2 of Part 1 of Division 1 and Revenue and Taxation Code Sections 43507, 43152.14, and 43554. Title 17, California Code of Regulations Sections 33001, 33010, 33020, and 33030.

0860 STATE BOARD OF EQUALIZATION—Continued**63 BALLAST WATER MANAGEMENT FEE PROGRAM****Program Objectives Statement**

This program provides revenue for the Exotic Species Control Fund. The program objective is to administer the collection of a fee from owners or operators of designated vessels that enter California ports with ballast water loaded from outside a designated economic enterprise zone. Under the statute's provisions, the fee, established in regulations adopted by the State Lands Commission, is assessed upon the owner or operator when their vessel arrives in California. The fee provides funding to carry out the ballast water management program as described in statute.

Administration of this program includes registering vessel owners or operators, processing assessments or returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising fee payers regarding the law.

Major Budget Adjustment Included in 1999–00

- An augmentation of \$259,000 (Reimbursements) and 1.9 personnel years, is proposed to implement the provisions of Chapter 849 of the Statutes of 1999. The Board of Equalization is required to collect a fee from the owner or operator of each vessel that enters a California port with ballast water loaded outside the exclusive economic zone. It is anticipated that revenues of \$2.7 million will be collected during 1999–00.

Major Budget Adjustment Proposed for 2000–01

- An augmentation of \$411,000 (Reimbursements) and 4.7 personnel years to continue the workload associated with collecting the ballast water fee. It is anticipated that revenues of \$5.4 million will be collected during 2000–01.

Authority

Public Resources Code—Division 36 (commencing with Section 71200).

65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM**Program Objectives Statement**

This program provides revenue to fund the “911” emergency telephone number system, by administering a surcharge on intra-state telephone communication services.

Administration of this surcharge includes: registering telephone service suppliers required to collect the surcharge from users; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Part 20 of Division 2 of the Revenue and Taxation Code.

70 INSURANCE TAX PROGRAM**Program Objectives Statement**

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against “foreign” insurers.

The program is administered jointly by the Board, the Controller and the Insurance Commissioner. The Board is responsible for assessing the tax and adjudicating petitions for redetermination and claims for refund.

The Board annually assesses each insurance company based on the net premiums on California business other than ocean marine insurance, and on the average annual underwriting profit on ocean marine business. It also imposes a retaliatory tax on any out-of-state company doing business in California whenever that company's home state subjects a California company doing business in that state to a greater burden than California imposes on insurers domiciled in that state. The Board also hears taxpayer appeals and makes decisions to grant or deny petitions for redetermination and claims for refund.

Authority

The State Constitution, Article XIII, Section 28 and Part 7 of Division 2 of the Revenue and Taxation Code.

80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS**Program Objectives Statement**

An independent administrative review is necessary when a taxpayer disagrees with actions taken by the Franchise Tax Board. Upon the filing of a taxpayer's written request, the Board of Equalization reviews assessments of franchise and income taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance program.

The Board also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Such property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

Authority

1) Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401–19802. Procedural regulations regarding appeals from the Franchise Tax Board are contained within the Rules of Practice of the State Board of Equalization, California Code of Regulations, Title 18, Division 2, Chapter 10 (effective January 1, 1996); 2) Senior Citizens Homewoners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501–20646; and, 3) Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations are found in Title 18, California Code of Regulations at Sections 5050 through 5063, 5070 through 5075, 5076, 5076.2, and 5077 through 5087.

0860 STATE BOARD OF EQUALIZATION—Continued**Program Element Statements****80.10 Franchise and Income Tax Appeals**

The Board initiates action after a taxpayer files a written appeal. The Board's legal staff frames the issues of law and fact by means of memoranda from, and stipulations by, the parties. If the taxpayer requests an oral hearing before the Board, the Board may decide the case at the conclusion of the hearing or refer the case to its legal staff for review, analysis, and preparation of a written opinion or decision which is later voted on by board members. If an oral hearing is not requested, the case is referred to the legal staff for review, analysis, and preparation of a written opinion or decision.

80.20 Senior Citizens Property Tax Assistance

A taxpayer files a written notice to initiate action with the Board of Equalization. Upon receipt of a re-review statement from the Franchise Tax Board, the Board's legal staff frames the issues of law and fact and submits them to the Board. The Board makes a determination and informs the claimant of this decision.

80.30 Equalization of Assessment of Publicly Owned Property

Action is initiated when the local public entity which owns the taxable property outside its boundaries files with the Board an application for review, equalization, and adjustment of the assessment imposed by the county in which the property is located. The assessor may file an answer. A prehearing conference is conducted by a member of the legal staff to define the issues and arrange for stipulations and exchange of exhibits. A formal hearing is conducted before the Board which renders a written decision.

85 ADMINISTRATION PROGRAM**Program Objectives Statement**

The objectives are to implement the policies and directives of the board members, and to provide direction, leadership, planning, and support services for all board programs.

These activities are performed by the Executive Director, deputy directors, the chief counsel and various administrative staff reporting to these positions.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****15 COUNTY ASSESSMENT STANDARDS PROGRAM**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
0001 General Fund.....	\$6,982	\$8,592	\$8,728
Totals, County Assessment Standards Program.....	\$6,982	\$8,592	\$8,728

ELEMENT REQUIREMENTS

15.10 County Surveys	3,441	3,706	3,797
0001 General Fund.....	3,441	3,706	3,797
15.20 Technical Advisory Services.....	2,408	3,372	3,381
0001 General Fund.....	2,408	3,372	3,381
15.30 Technical Services	1,133	1,514	1,550
0001 General Fund.....	1,133	1,514	1,550

PROGRAM REQUIREMENTS**20 STATE-ASSESSED PROPERTY PROGRAM**

0001 General Fund.....	\$5,990	\$8,314	\$8,392
0995 Reimbursements	192	192	192
Totals, State-Assessed Property Program.....	\$6,182	\$8,506	\$8,584

ELEMENT REQUIREMENTS

20.10 Assessment of Public Utilities.....	5,971	8,178	8,247
0001 General Fund.....	5,779	7,986	8,055
0995 Reimbursements	192	192	192
20.20 Private Railroad Car Tax.....	211	328	337
0001 General Fund.....	211	328	337

PROGRAM REQUIREMENTS**25 TIMBER TAX PROGRAM**

0965 Timber Tax Fund.....	\$2,630	\$2,718	\$2,798
Totals, Timber Tax Program.....	\$2,630	\$2,718	\$2,798

ELEMENT REQUIREMENTS

25.10 Timber Valuation.....	586	696	717
0965 Timber Tax Fund.....	586	696	717
25.20 Taxpayer Registration, Return Processing and Collection	1,480	1,522	1,567
0965 Timber Tax Fund.....	1,480	1,522	1,567
25.30 Auditing.....	564	500	514
0965 Timber Tax Fund.....	564	500	514

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

PROGRAM REQUIREMENTS

30 SALES AND USE TAX PROGRAM

	1998-99*	1999-00*	2000-01*
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0001 General Fund.....	\$161,939	\$163,619	\$170,481
0995 Reimbursements	77,979	78,905	80,956
Totals, Sales and Use Tax Program.....	\$239,918	\$242,524	\$251,437

ELEMENT REQUIREMENTS

30.10 Registration of Taxpayers	49,431	45,039	47,018
0001 General Fund.....	33,365	32,022	33,542
0995 Reimbursements	16,066	13,017	13,476
30.20 Processing Tax Returns	64,060	56,145	58,314
0001 General Fund.....	43,239	36,723	38,406
0995 Reimbursements	20,821	19,422	19,908
30.30 Auditing Accounts	97,997	107,741	111,298
0001 General Fund.....	66,145	71,595	74,340
0995 Reimbursements	31,852	36,146	36,958
30.40 Collecting Taxes Receivable	28,430	33,599	34,807
0001 General Fund.....	19,190	23,279	24,193
0995 Reimbursements	9,240	10,320	10,614

PROGRAM REQUIREMENTS

35 HAZARDOUS SUBSTANCES TAX PROGRAM

0995 Reimbursements	\$3,028	\$3,418	\$3,442
Totals, Hazardous Substances Tax Program.....	\$3,028	\$3,418	\$3,442

PROGRAM REQUIREMENTS

40 ALCOHOLIC BEVERAGE TAX PROGRAM

0001 General Fund.....	\$1,869	\$2,357	\$2,399
Totals, Alcoholic Beverage Tax Program.....	\$1,869	\$2,357	\$2,399

ELEMENT REQUIREMENTS

40.10 Registration of Taxpayers	408	428	440
0001 General Fund.....	408	428	440
40.20 Processing Tax Returns and Reports.....	639	913	921
0001 General Fund.....	639	913	921
40.30 Auditing Accounts	579	743	762
0001 General Fund.....	579	743	762
40.40 Collecting Taxes Receivable.....	243	273	276
0001 General Fund.....	243	273	276

PROGRAM REQUIREMENTS

41 TIRE RECYCLING FEE PROGRAM

0995 Reimbursements	\$484	\$499	\$511
Totals, Tire Recycling Fee Program	\$484	\$499	\$511

PROGRAM REQUIREMENTS

45 CIGARETTE AND TOBACCO TAX PROGRAM

0001 General Fund.....	\$2,129	\$2,369	\$2,491
0004 Breast Cancer Fund	100	108	108
0230 Cigarette and Tobacco Products Surtax Fund	1,202	1,293	1,352
0623 California Children and Families First Trust Fund	568	882	895
Totals, Cigarette and Tobacco Tax Program	\$3,999	\$4,652	\$4,846

ELEMENT REQUIREMENTS

45.10 Registration of Taxpayers	457	484	504
0001 General Fund.....	308	272	286
0004 Breast Cancer Fund	12	6	6
0230 Cigarette and Tobacco Products Surtax Fund	137	200	206
0623 California Children and Families First Trust Fund	—	6	6
45.20 Processing Tax Returns	1,580	1,892	2,001
0001 General Fund.....	807	972	1,054
0004 Breast Cancer Fund	39	51	51
0230 Cigarette and Tobacco Products Surtax Fund	475	459	482
0623 California Children and Families First Trust Fund	259	410	414

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

		<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
45.30	Auditing Accounts	\$754	\$901	\$929
0001	General Fund	468	447	459
0004	Breast Cancer Fund	19	20	20
0230	Cigarette and Tobacco Products Surtax Fund	227	245	257
0623	California Children and Families First Trust Fund	40	189	193
45.40	Enforcement Activities	974	1,130	1,162
0001	General Fund	411	548	561
0004	Breast Cancer Fund	24	27	27
0230	Cigarette and Tobacco Products Surtax Fund	293	303	318
0623	California Children and Families First Trust Fund	246	252	256
45.50	Collecting Taxes Receivable	234	245	250
0001	General Fund	135	130	131
0004	Breast Cancer Fund	6	4	4
0230	Cigarette and Tobacco Products Surtax Fund	70	86	89
0623	California Children and Families First Trust Fund	23	25	26

PROGRAM REQUIREMENTS**50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM**

0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$1,740	\$1,890	\$1,942
0890	Federal Trust Fund	13	50	50
Totals, Motor Vehicle Fuel License Tax Program		\$1,753	\$1,940	\$1,992

ELEMENT REQUIREMENTS

50.10	Registration of Taxpayers	288	299	309
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	275	249	259
0890	Federal Trust Fund	13	50	50
50.20	Processing Tax Returns	287	226	224
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	287	226	224
50.30	Auditing Accounts	770	893	923
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	770	893	923
50.40	Enforcement	408	522	536
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	408	522	536

PROGRAM REQUIREMENTS**55 DIESEL AND USE FUEL TAX PROGRAM**

0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$13,729	\$13,831	\$14,095
0890	Federal Trust Fund	150	52	52
Totals, Diesel and Use Fuel Tax Program		\$13,879	\$13,883	\$14,147

ELEMENT REQUIREMENTS

55.10	Registration of Taxpayers	3,642	3,232	3,332
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	3,492	3,180	3,280
0890	Federal Trust Fund	150	52	52
55.20	Processing Tax Returns	4,218	4,172	4,213
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,218	4,172	4,213
55.30	Auditing Accounts	2,988	3,026	3,136
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,988	3,026	3,136
55.40	Enforcement	2,385	2,420	2,812
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,385	2,420	2,812
55.50	Collecting Taxes Receivable	646	1,033	654
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	646	1,033	654

PROGRAM REQUIREMENTS**56 OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM**

0070	Occupational Lead Poisoning Prevention Fund	\$504	\$545	\$580
Totals, Occupational Lead Poisoning Prevention Fee Program		\$504	\$545	\$580

PROGRAM REQUIREMENTS**57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM**

0387	Integrated Waste Management Account, Integrated Waste Management Fund	\$339	\$347	\$355
Totals, Integrated Waste Management Fee Program		\$339	\$347	\$355

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

PROGRAM REQUIREMENTS

58 UNDERGROUND STORAGE TANK FEE PROGRAM

1998-99*	1999-00*	2000-01*
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0439 Underground Storage Tank Cleanup Fund	\$1,300	\$1,623	\$1,671
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Totals, Underground Storage Tank Fee Program	\$1,300	\$1,623	\$1,671
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PROGRAM REQUIREMENTS

59 OIL SPILL PREVENTION PROGRAM

0320 Oil Spill Prevention and Administration Fund	\$185	\$238	\$238
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Totals, Oil Spill Prevention Program	\$185	\$238	\$238
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PROGRAM REQUIREMENTS

60 ENERGY RESOURCES SURCHARGE PROGRAM

0465 Energy Resources Programs Account, General Fund	\$168	\$188	\$201
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Totals, Energy Resources Surcharge Program	\$168	\$188	\$201
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PROGRAM REQUIREMENTS

62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

0080 Childhood Lead Poisoning Prevention Fund	\$426	\$535	\$536
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Totals, Childhood Lead Poisoning Prevention Fee Program	\$426	\$535	\$536
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PROGRAM REQUIREMENTS

63 BALLAST WATER MANAGEMENT FEE PROGRAM

0995 Reimbursements	—	\$259	\$411
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Totals, Ballast Water Management Fee Program	—	\$259	\$411
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PROGRAM REQUIREMENTS

65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

0022 State Emergency Telephone Number Special Account, General Fund	\$668	\$668	\$679
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Totals, Emergency Telephone Users Surcharge Program	\$668	\$668	\$679
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PROGRAM REQUIREMENTS

70 INSURANCE TAX PROGRAM

0001 General Fund	\$362	\$348	\$355
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Totals, Insurance Tax Program	\$362	\$348	\$355
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PROGRAM REQUIREMENTS

80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

0001 General Fund	\$1,286	\$1,405	\$1,440
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Totals, Appeals from Other Governmental Programs	\$1,286	\$1,405	\$1,440
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ELEMENT REQUIREMENTS

80.10 Franchise and Income Tax Appeals	1,284	1,383	1,418
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0001 General Fund	1,284	1,383	1,418
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80.20 Senior Citizens Property Tax Assistance	1	18	18
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0001 General Fund	1	18	18
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80.30 Equalization of Assessment of Publicly Owned Property	1	4	4
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0001 General Fund	1	4	4
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PROGRAM REQUIREMENTS

85 ADMINISTRATION

Undistributed Administration:

0995 Reimbursements	\$192	\$248	\$248
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Totals, Administration	\$192	\$248	\$248
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TOTALS, EXPENDITURES (State Operations)	\$286,154	\$295,493	\$305,598
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* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	3,737.6	4,071.2	4,049.7	\$163,849	\$181,993	\$184,374
Total Adjustments	—	3.4	27.0	—	8,487	16,117
Estimated Salary Savings	—	-214.5	-231.4	—	-9,501	-10,636
Net Totals, Salaries and Wages	3,737.6	3,860.1	3,845.3	\$163,849	\$180,979	\$189,855
Staff Benefits	—	—	—	43,017	36,088	36,144
Totals, Personal Services	3,737.6	3,860.1	3,845.3	\$206,866	\$217,067	\$225,999
OPERATING EXPENSES AND EQUIPMENT				\$79,288	\$78,426	\$79,599
TOTALS, EXPENDITURES				\$286,154	\$295,493	\$305,598

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
001 Budget Act appropriation	\$184,673	\$179,762	\$194,286
Allocation for employee compensation	2,335	10,626	—
Allocation for employer's share of health benefits	58	176	—
Allocation for contingencies or emergencies	—	3,408	—
Allocation for Year 2000 per Item 9904-001-0001	2,847	—	—
Adjustment per Section 3.60	-3,964	-7,059	—
Adjustment per Section 16.00	—	91	—
Transfer to Legislative Claims (9670)	-59	—	—
Totals Available	\$185,890	\$187,004	\$194,286
Unexpended balance, estimated savings	-5,333	—	—
TOTALS, EXPENDITURES	\$180,557	\$187,004	\$194,286

0004 Breast Cancer Fund^s

APPROPRIATIONS			
001 Budget Act appropriation	\$101	\$101	\$108
Allocation for employee compensation	1	9	—
Allocation for contingencies or emergencies	—	2	—
Adjustment per Section 3.60	-2	-4	—
TOTALS, EXPENDITURES	\$100	\$108	\$108

0022 State Emergency Telephone Number Special Account^s

APPROPRIATIONS			
001 Budget Act appropriation	\$676	\$674	\$679
Allocation for employee compensation	7	20	—
Allocation for contingencies or emergencies	—	11	—
Adjustment per Section 3.60	-15	-37	—
TOTALS, EXPENDITURES	\$668	\$668	\$679

0061 Motor Vehicle Fuel Account, Transportation Tax Fund^s

APPROPRIATIONS			
001 Budget Act appropriation	\$16,210	\$15,114	\$16,037
Allocation for employee compensation	180	929	—
Allocation for contingencies or emergencies	—	245	—
Allocation for Year 2000 per Item 9904-001-0494	287	—	—
Adjustment per Section 3.60	-328	-573	—
Adjustment per Section 16.00	—	6	—
Totals Available	\$16,349	\$15,721	\$16,037
Unexpended balance, estimated savings	-880	—	—
TOTALS, EXPENDITURES	\$15,469	\$15,721	\$16,037

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

0070 Occupational Lead Poisoning Prevention Account ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$492	\$490	\$580
Allocation for employee compensation	5	63	—
Allocation for contingencies or emergencies	—	8	—
Allocation for Year 2000 per Item 9904-001-0494	7	—	—
Adjustment per Section 3.60	—	-17	—
Adjustment per Section 16.00	—	1	—
TOTALS, EXPENDITURES	\$504	\$545	\$580

0080 Childhood Lead Poisoning Prevention Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$577	\$533	\$536
Allocation for employee compensation	—	22	—
Allocation for contingencies or emergencies	—	9	—
Allocation for Year 2000 per Item 9904-001-0494	12	—	—
Adjustment per Section 3.60	-51	29	—
Totals Available	\$538	\$535	\$536
Unexpended balance, estimated savings	-112	—	—
TOTALS, EXPENDITURES	\$426	\$535	\$536

0230 Cigarette and Tobacco Products Surtax Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,214	\$1,211	\$1,352
Allocation for employee compensation	14	110	—
Adjustment per Section 3.60	-26	-49	—
Allocation for contingencies or emergencies	—	20	—
Adjustment per Section 16.00	—	1	—
TOTALS, EXPENDITURES	\$1,202	\$1,293	\$1,352

0320 Oil Spill Prevention and Administration Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$227	\$228	\$238
Allocation for employee compensation	3	15	—
Allocation for contingencies or emergencies	—	4	—
Allocation for Year 2000 per Item 9904-001-0494	5	—	—
Adjustment per Section 3.60	-5	-9	—
Totals Available	\$230	\$238	\$238
Unexpended balance, estimated savings	-45	—	—
TOTALS, EXPENDITURES	\$185	\$238	\$238

0387 Integrated Waste Management Account,
Integrated Waste Management Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$351	\$332	\$355
Allocation for employee compensation	—	23	—
Allocation for contingencies or emergencies	—	5	—
Allocation for Year 2000 per Item 9904-001-0494	6	—	—
Adjustment per Section 3.60	-18	-13	—
TOTALS, EXPENDITURES	\$339	\$347	\$355

0439 Underground Storage Tank Cleanup Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,264	\$1,506	\$1,671
Allocation for employee compensation	13	141	—
Allocation for contingencies or emergencies	—	24	—
Allocation for Year 2000 per Item 9904-001-0494	23	—	—
Adjustment per Section 3.60	—	-49	—
Adjustment per Section 16.00	—	1	—
TOTALS, EXPENDITURES	\$1,300	\$1,623	\$1,671

0465 Energy Resources Programs Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$165	\$167	\$201
Allocation for employee compensation	1	23	—
Allocation for contingencies or emergencies	—	3	—
Allocation for Year 2000 per Item 9904-001-0494	2	—	—
Adjustment per Section 3.60	—	-5	—
TOTALS, EXPENDITURES	\$168	\$188	\$201

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**0623 California Children and Families First Trust Fund ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation.....	\$568	\$712	\$895
Allocation for employee compensation	—	46	—
Allocation for contingencies or emergencies	—	152	—
Adjustment per Section 3.60	—	-28	—
TOTALS, EXPENDITURES	\$568	\$882	\$895

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation.....	\$194	\$102	\$102
Budget adjustment.....	-31	—	—
TOTALS, EXPENDITURES	\$163	\$102	\$102

0965 Timber Tax Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation.....	\$2,787	\$2,738	\$2,798
Allocation for employee compensation	—	84	—
Adjustment per Section 3.60	-60	-104	—
Totals Available	\$2,727	\$2,718	\$2,798
Unexpended balance, estimated savings	-97	—	—
TOTALS, EXPENDITURES	\$2,630	\$2,718	\$2,798

0995 Reimbursements

Reimbursements	\$81,875	\$83,521	\$85,760
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$286,154	\$295,493	\$305,598

FUND CONDITION STATEMENT**0965 Timber Tax Fund ⁿ**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
BEGINNING BALANCE.....	\$6,063	\$5,323	\$5,290
Prior year adjustments	249	—	—
Balance, Adjusted.....	\$6,312	\$5,323	\$5,290
REVENUES AND TRANSFERS			
Operating Revenues:			
213000 Property and Natural Resources (Timber Yield Tax)	\$22,482	\$22,400	\$22,400
215000 Income from investments.....	193	200	200
Totals, Operating Revenues	\$22,675	\$22,600	\$22,600
Totals, Resources	\$28,987	\$27,923	\$27,890
EXPENDITURES			
Disbursements:			
0860 State Board of Equalization (State Operations)	2,630	2,718	2,798
3540 Department of Forestry (State Operations)	26	27	26
Totals, Disbursements	\$2,656	\$2,745	\$2,824
Other Disbursements:			
Allocation to counties (Local Assistance expenditure not reflected in departmental budget).....	21,008	19,888	19,888
Totals, Expenditures	\$23,664	\$22,633	\$22,712
FUND BALANCE.....	\$5,323	\$5,290	\$5,178

**CHANGES IN
AUTHORIZED POSITIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	3,737.6	4,071.2	4,049.7	\$163,849	\$181,993	\$184,374
Salary adjustments.....	—	—	—	—	8,271	15,101
Totals, Adjusted Authorized Positions	3,737.6	4,071.2	4,049.7	\$163,849	\$190,264	\$199,475

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

Workload and Administrative Adjustments:						
Positions Established:	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Administration:						
Personnel Management Division:				Salary Range		
Staff Services Manager I ^a	—	0.9	—	\$4,179-5,041	\$55	—
Administrative Support Division:						
Processing and Facilities Management						
Section:						
Bus Svcs Asst (FT eff. 1/1/00) ^b	—	0.5	1.0	2,135-3,173	13	\$26
Blanket funds—Overtime	—	(-0.5)	(-1.0)	—	-13	-26
Totals Workload and Administrative						
Adjustments	—	1.3	1.0	—	\$55	—
Proposed New Positions: ^c						
Administration:						
Accounting Section:						
Assoc Acctg Analyst	—	—	1.0	3,952-4,768	—	49
Return Analysis and Allocation Division:						
Return Analysis Section:						
BT Compliance Supvr II	—	—	1.0	4,346-5,283	—	54
BT Representative	—	—	8.0	2,658-3,952	—	264
Local Revenue Allocation Section:						
Staff Tax Auditor	—	—	0.5	4,343-4,989	—	25
Tax Auditor	—	—	1.0	2,658-3,952	—	33
Blanket Funds:						
Overtime ^d	—	(1.5)	(1.4)	—	80	62
Special Taxes:						
Excise Tax Division:						
Tax Techn II	—	—	2.0	2,258-2,744	—	56
Environmental Fees Division:						
BT Spec I ^e	—	0.5	1.5	4,343-4,989	26	81
Assoc Tax Auditor ^b	—	0.5	1.0	3,952-4,768	24	49
Tax Techn III ^b	—	0.5	1.0	2,524-3,069	15	31
BT Representative ^b	—	0.5	1.0	2,658-2,952	16	33
Tax Techn II ^f	—	—	0.5	2,258-2,744	—	14
Fuel Tax Section:						
BT Compliance Supvr II	—	—	1.0	4,346-5,283	—	54
BT Representative	—	—	5.0	2,658-2,952	—	164
Ofc Asst-Typing	—	—	1.0	1,834-2,369	—	23
Property Taxes:						
Policy, Planning and Standards:						
Assoc Property Appraiser	—	—	0.5	3,952-4,768	—	24
Totals, Proposed New Positions	—	2.0	26.0	—	\$161	\$1,016
Total Adjustments	—	3.4	27.0	—	\$8,487	\$16,117
TOTALS, SALARIES AND WAGES	3,737.6	4,074.6	4,076.7	\$163,849	\$190,480	\$200,491

^a One (1.0) full-time position limited-term 8/1/99 through 6/30/00.^b One (1.0) full-time position permanent effective 1/1/00.^c All proposed new positions are permanent effective 7/1/00.^d \$12,000 overtime funds, one-time during the 2000-01 fiscal year.^e One full-time position permanent effective 1/1/00 and one half-time position permanent effective 7/1/00.^f One half-time position permanent effective 7/1/00.**0890 SECRETARY OF STATE**

The Secretary of State, a constitutionally established office, is the chief election officer of the state and is responsible for the administration and enforcement of election laws. The office is also responsible for the administration and enforcement of laws pertaining to filing documents associated with corporations, limited partnerships, and the perfection of security agreements. In addition, the office is responsible for the appointment of notaries public, enforcement of notary laws and preservation of documents and records having historical significance. All documents filed are a matter of public record and of historical importance. They are available through prescribed procedures for public review and certification as to authenticity. In addition, the office is responsible for the newly created International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

The executive staff determines policy associated with the administration of the office through the programs of Elections, Political Reform, Business Programs, Archives, Information Technology and Management Services Divisions.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

SUMMARY OF PROGRAM

REQUIREMENTS

		98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
05	Business Programs	238.6	258.4	277.5	\$26,160	\$27,554	\$37,804
10	Elections.....	28.1	29.2	28.8	16,048	14,462	14,741
15	Political Reform	23.1	26.6	24.4	3,679	3,029	2,891
30	Archives	19.9	25.0	27.4	13,643	13,638	14,017
32	Executive.....	33.7	21.9	21.4	3,485	3,159	3,003
	Executive Distributed	-	-	-	-2,665	-2,724	-2,776
35	Management Services	45.1	48.8	47.2	5,258	6,412	6,527
35	Management Services Distributed	-	-	-	-5,055	-6,075	-6,153
38	Information Technology	33.2	36.0	35.4	11,851	8,534	8,680
	Information Technology Distributed	-	-	-	-11,482	-8,228	-8,362
98	State-mandated local programs	-	-	-	8,600	11,320	7,853
	TOTALS, PROGRAMS.....	421.7	445.9	462.1	\$69,522	\$71,081	\$78,225
	Less amount funded in the Political Reform Act of 1974	-	-	-	(689)	(714)	-733
	Less reimbursements authorized in the Political Reform Act of 1974.....	-	-	-	(8)	(8)	-8
	NET TOTALS, PROGRAMS	421.7	445.9	462.1	\$69,522	\$71,081	\$77,484
0001	General Fund.....				32,838	36,785	33,078
0228	Secretary of State's Business Fees Fund.....				17,159	20,327	28,429
0274	Business Reinvestment Fund				342	375	2,309
0995	Reimbursements				19,183	13,594	13,668

Major Budget Adjustment Included in 1999-00

- \$170,000 General Fund for changes to the Candidate and Vote Reporting System (CALVOTER II).

Major Budget Adjustments Proposed for 2000-01

- \$6,594,000 Business Fees Fund and \$1,961,000 Business Reinvestment Fund and 10.0 personnel years to develop and support the Business Programs Automation project.
- \$200,000 General Fund for reapportionment mapping.
- \$345,000 General Fund and \$109,000 Business Fees Fund to cover various property management cost increases.
- \$147,000 General Fund and 0.5 personnel year to administer the Domestic Partnership Program pursuant to AB 26 (Chapter 588, Stats. 1999)
- \$923,000 Business Fees Fund and 12.5 personnel years to provide expedited preclearance and filing of corporate documents pursuant to AB 408 (Chapter 999, Stats. 1999)

05 BUSINESS PROGRAMS DIVISION

Program Objectives Statement

The Business Programs Division was created effective July 1, 1997 by combining the Corporate Filings, Limited Partnership, Uniform Commercial Code and the Notary Public Divisions. The consolidation of these programs will streamline activities and enhance customer service provided to companies and organizations doing business within the State of California.

The Corporate Filings section files articles of incorporation, foreign qualifications, and related documents to ensure that corporations are properly formed, merged, amended, and dissolved in compliance with California law. The section provides proprietary control over the proposed corporate name, the formation and changes in the structure of the corporation, and the cancellation and suspension of delinquent corporate entities. The section also administers the filings of statement of officers, directors and agents for all corporations of record.

The section files newly formed limited partnerships, limited liability partnerships, and limited liability companies, related amendments, and documents concerning the dissolution and cancellations of these business entities. Effective January 1, 1997, the section began filing general partnerships, a permissive filing.

In addition, the section also files a number of miscellaneous documents provided for under various California statutes including service marks, trademarks, court orders for individual name changes, international wills, city and county charters and amendments, bonds for a variety of occupational programs, and many others.

The Uniform Commercial Code section provides for the filing of financing statements and related documents so that a secured creditor may perfect a security interest in personal property covered by a security agreement as against other creditors. Not only does this program afford a secured creditor some protection against debtor bankruptcy, insolvency or default, but it also provides a prospective lender or seller with the means to determine if there are any previously perfected security interests involving certain personal property. The division further files notices of federal tax liens against certain business entities, state tax liens and attachment liens against personal property, judgment liens, various agricultural liens, and related documents. The Secretary of State's staff examine all documents presented for filing for statutory compliance. The documents are then filed and open to public inspection. Certificates of filing and copies of filed records are available upon request.

The Limited Partnerships Section was authorized by Chapter 807, Statutes of 1981, amended by Chapter 997, Statutes of 1982 as well as by Chapter 1223, Statutes of 1983. The Limited Liability Company Program (LLC) was authorized by Chapter 1200, Statutes of 1994. The Secretary of State's staff examines and files certificates of newly formed limited partnerships and limited liability companies to ensure that pertinent information concerning partnerships and limited liability companies is a matter of public record. Related amendments and documents concerning the dissolution and cancellation of partnerships and limited liability companies are also filed.

The Notary Public section appoints qualified persons authorized by Government Code, Chapter 3, Division 1, Title 2 to the office of Notary Public in sufficient number to perform a variety of official transactions necessary to fulfill personal and business needs throughout the State. Applicants seeking an appointment to act as a notary public are investigated by the Secretary of State to insure that the applicant has the required honesty and integrity to hold a commission. Investigations are conducted to determine if any administrative, civil or criminal law has been violated by either individuals holding current notary public commissions or by people holding themselves out as such. If so, the Secretary of State's Office takes appropriate action through the use of injunctions, restraining orders, civil penalties, administrative adjudication and criminal prosecution.

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued**Authority**

Corporation Code Sections 110, 1502, 9304.3, 15047, 15611, 16100, 16951, 17000, and Government Code Section 12201 et seq. Uniform Commercial Code, Chapter 4, Divisions 9, 10 and 11; Uniform Federal Tax Lien Registration Act; Chapter 14, Division 7 of Title 1 of the Government Code relating to the registration of State tax liens; Section 488.340 of the Code of Civil Procedure relating to attachment liens; Division 2, Chapter 1, Article 3, Section 697.510 of the Code of Civil Procedure relating to judgement liens; Section 22900 of the Business and Professions Code; and Sections 55702, 57402, 57510 and 57561 of the Food and Agricultural Code.

10 ELECTIONS**Program Objectives Statement**

The Secretary of State, as California's chief election officer, ensures that the state's elections laws are administered and complied with in a uniform manner. The Secretary of State issues technical information and legal opinions to the public, legislators and staff, and local elections officers regarding elections laws and procedures. The complexity of the state's elections systems requires constant vigilance by the Secretary of State, as well as promulgation of appropriate rules and regulations to ensure adequate and uniform enforcement of state elections laws. The investigations unit investigates allegations of illegal activity in voting, registration, petition circulation and elections administration. The Secretary of State certifies to the nomination and election of candidates; passage or failure of statewide ballot measures; produces and distributes the state ballot pamphlet; and is the central repository for data concerning voter registration and official election results.

Authority

Constitution, Elections Code, Government Code, Federal Voting Rights Act and the Federal Overseas Voting Act.

15 POLITICAL REFORM**Program Objectives Statement**

The Secretary of State shares the responsibility for administering California campaign and lobbying disclosure laws enacted as the Political Reform Act of 1974. Under this law, the Secretary of State registers all campaign recipient committees and assures compliance with statutory reporting requirements through the review of campaign receipts and expenditure statements filed. The Secretary of State also registers lobbying firms and employers, reviews the periodic reports filed by lobbying entities, and prepares and publishes a Directory of Lobbyists, Lobbying Firms and Lobbyist Employers.

Authority

Government Code (Title 9, Political Reform).

30 ARCHIVES**Program Objectives Statement**

The California State Archives acquires, catalogs, indexes, preserves and provides reference access to historic and irreplaceable record material from a wide range of origins within the state, supplemented by an oral history program to fill gaps in the documentary materials. The Archives serves the general public directly and assists state agencies and other institutions by providing an organized and select body of California history from all three branches of government. Without this program for assembling historic information, many essential original materials would be lost or destroyed—leaving the state with a reference vacuum that would jeopardize vital state interests. The State Archives assisted in developing a museum and education program to extend archival resources to wider public audiences, under a partnership agreement with the California Archives Foundation. The Golden State Museum opened in the fall of 1998. Support for the California Heritage Preservation Commission is included in the budget for the State Archives.

Authority

Government Code Sections 6268, 12153, 12173, 12220–12233, 14755, 14901; Civil Code Section 1798.24(j).

32 EXECUTIVE OFFICE**Program Objectives Statement**

The Executive Office develops and manages overall departmental policy, coordinates and disseminates public information, handles correspondence and the scheduling of functions and appointments for the Secretary of State. In addition, the office is responsible for the newly-created International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

Authority

California Business and Professions Code commencing with Section 14233.

35 MANAGEMENT SERVICES**Program Objectives Statement**

The Management Services Division provides the agency with the necessary personnel, general administrative, budgeting and fiscal services necessary to ensure the smooth and efficient operation of the line functions within the agency. Through analysis and research personnel, fiscal, budgetary and other administrative options are developed by Management Services to support the Secretary of State in policy, planning and directing the various programs administered within the agency. It also manages the California Confidential Address Program (CalCAP) created by Chapter 1005, Statutes of 1998.

0890 SECRETARY OF STATE—Continued

38 INFORMATION TECHNOLOGY

Program Objectives Statement

The Information Technology Division provides expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions. These systems support the various program areas. The Division also provides oversight and sets policy for all information technology projects within the Secretary of State's Office.

98 LOCAL ASSISTANCE

Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs. Funding for four ongoing mandates is proposed for inclusion in the Budget Act: Voter registration procedures (Ch. 704/75); Absentee ballots (Ch. 77/78); Permanent absentee voters (Ch. 1422/82); and Brendon Maguire Act (Ch. 391/88). In addition, this budget proposes to continue the suspension of five mandates: Voter registration roll purge (Ch. 1401/76); Handicapped voter access (Ch. 494/79); Local elections (Ch. 1013/81); Democratic presidential delegates (Ch. 1603/82); and Election materials (Ch. 1042/85).

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

05 BUSINESS PROGRAMS DIVISION

	1998-99*	1999-00*	2000-01*
TOTALS, BUSINESS PROGRAMS DIVISION	\$26,160	\$27,554	\$37,804
State Operations:			
05.10 Business Programs	16,604	17,230	27,241
05.15 Executive Distribution	1,717	1,752	1,788
05.20 Management Services	3,298	4,099	4,154
05.30 Information Technology	4,541	4,473	4,621
0001 General Fund	—	—	147
0228 SOS Business Fees Fund	16,544	19,521	27,620
0274 Business Reinvestment Fund	342	375	2,309
0995 Reimbursements	9,274	7,658	7,728

PROGRAM REQUIREMENTS

10 ELECTIONS

TOTALS, ELECTIONS	\$16,048	\$14,462	\$14,741
State Operations:			
10.10 Election—General	2,966	2,837	3,091
10.20 Ballot Pamphlet Printing	2,715	4,300	4,300
10.30 Registration By Mail—Printing	4,749	2,719	2,719
10.40 Ballot Pamphlet Mailing	380	404	404
10.50 Registration by Mail—Postage	1,176	1,800	1,800
10.51 Election Night Reporting	203	—	—
10.55 Executive Distribution	229	234	238
10.60 Management Services	439	540	547
10.70 Information Technology	3,191	1,628	1,642
0001 General Fund	14,912	13,354	13,633
0228 SOS Business Fees Fund	538	538	538
0995 Reimbursements	598	570	570

PROGRAM REQUIREMENTS

15 POLITICAL REFORM

TOTALS, POLITICAL REFORM	\$3,679	\$3,029	\$2,891
State Operations:			
15.10 Political Reform	1,729	1,830	1,701
15.15 Executive Distribution	120	122	125
15.20 Management Services	229	278	282
15.30 Information Technology	1,601	799	783
0001 General Fund	3,291	2,641	1,770
0995 Reimbursements	388	388	380
Less Amount Funded in Political Reform Act	(689)	(714)	733
Less Reimbursement in PRA	(8)	(8)	8

PROGRAM REQUIREMENTS

30 ARCHIVES

TOTALS, ARCHIVES	\$13,643	\$13,638	\$14,017
State Operations:			
30.10 Archives	9,762	10,536	10,906
30.15 Services to Business Fees Programs	44	—	—
30.18 Executive Distribution	599	616	625
30.20 Management Services	1,089	1,158	1,170

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	1998-99*	1999-00*	2000-01*
30.30 Information Technology.....	\$2,149	\$1,328	\$1,316
0001 General Fund	5,045	8,922	9,301
0228 SOS Business Fees Fund.....	44	44	44
0995 Reimbursements.....	8,554	4,672	4,672
PROGRAM REQUIREMENTS			
32 EXECUTIVE			
TOTALS, EXECUTIVE.....	\$820	\$435	\$227
0001 General Fund.....	787	211	—
0228 SOS Business Fees Fund.....	33	224	227
PROGRAM REQUIREMENTS			
35 MANAGEMENT SERVICES			
TOTALS, MANAGEMENT SERVICES	\$203	\$337	\$374
0001 General Fund.....	203	337	374
PROGRAM REQUIREMENTS			
38 INFORMATION TECHNOLOGY			
TOTALS, INFORMATION TECHNOLOGY.....	\$369	\$306	\$318
Reimbursements	369	306	318
TOTALS, EXPENDITURES (State Operations).....	\$60,922	\$59,761	\$70,372
PROGRAM REQUIREMENTS			
98 STATE-MANDATED LOCAL PROGRAMS			
Ch. 704/75—Voter Registration Procedures:			
Budget Act	\$961	\$1,416	\$1,416
Claims Bill (Ch. 574/99).....	—	55	—
Totals.....	\$961	\$1,471	\$1,416
Ch. 77/78—Absentee Ballots:			
Budget Act	5,968	6,111	6,111
Claims bill (Ch. 306/97).....	5	—	—
Claims bill (Ch. 780/98).....	1,185	—	—
Claims bill (Ch. 574/99).....	—	3,274	—
Totals.....	\$7,158	\$9,385	\$6,111
Ch. 1422/82—Permanent absentee voters:			
Budget Act	303	325	325
Claims bill (Ch. 780/98).....	177	—	—
Claims bill (Ch. 574/99).....	—	138	—
Ch. 1042/85—Election Materials:			
Budget Act	0 ¹	0 ¹	0 ¹
Claims bill (Ch. 780/98).....	1	—	—
Ch. 391/88—Brendon Maguire Act:			
Budget Act	—	1	1
Totals.....	\$8,600	\$11,320	\$7,853
Budget Acts	7,232	7,853	7,853
Claims bills.....	1,368	3,467	—

¹ Mandate suspended pursuant to Government Code Section 17581.

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	421.7	500.0	494.0	\$16,170	\$18,819	\$18,999
Total Adjustments	—	1.0	31.5	—	956	2,776
Estimated Salary Savings	—	-55.1	-63.4	—	-2,222	-2,655
Net Totals, Salaries and Wages	421.7	445.9	462.1	\$16,170	\$17,553	\$19,120
Staff Benefits	—	—	—	4,123	3,816	4,200
Totals, Personal Services	421.7	445.9	462.1	\$20,293	\$21,369	\$23,320
OPERATING EXPENSES AND EQUIPMENT				\$18,905	\$16,635	\$25,310
SPECIAL ITEMS OF EXPENSE						
Printing ballot pamphlets				2,715	4,300	4,300

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	1998-99*	1999-00*	2000-01*
Mailing ballot pamphlets	\$4,749	\$2,719	\$2,719
Printing registration cards	380	404	404
Mailing registration cards	1,176	1,800	1,800
Election night reporting.....	203	—	—
Totals, Special Items	\$9,223	\$9,223	\$9,223
Lease Revenue Bond.....	12,501	12,534	12,519
Base Rental Fee.....	12,475	12,481	12,466
Structural Insurance	26	53	53
TOTALS, EXPENDITURES	\$60,922	\$59,761	\$70,372
Less amount funded in the Political Reform Act	(689)	(714)	-733
Less reimbursements in the Political Reform Act.....	(8)	(8)	-8
NET TOTALS, EXPENDITURES	\$60,922	\$59,761	\$69,631

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$22,826	\$20,258	\$21,281
003 Budget Act appropriation (Rental payments on Lease Revenue Bonds; structural insurance shifted from 001 Budget Act appropriation to 003 Budget Act appropriation effective 1997-98)	89	85	3,944
Allocation for employee compensation	123	746	—
Allocation for employer's share of health benefits	11	14	—
Allocation for contingencies and emergencies	—	181	—
Adjustment per Section 3.60	-133	-456	—
Adjustment per Section 4.50	—	3,859	—
Adjustment per Section 16.00.....	—	24	—
Transfer to Legislative Claims (9670).....	-19	—	—
Transfer from Item 8640-001-0001 (Political Reform Act).....	689	714	—
Chapter 1005, Statutes of 1998	227	—	—
Chapter 360, Statutes of 1999.....	—	40	—
Prior year balance available: Chapter 866, Statutes of 1997.....	1,081	—	—
Totals Available	\$24,894	\$25,465	\$25,225
Unexpended balance, estimated savings	-656	—	—
TOTALS, EXPENDITURES	\$24,238	\$25,465	\$25,225

0228 Secretary of State's Business Fees Fund ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$18,873	\$18,766	\$27,185
003 Budget Act appropriation (Rental payments on Lease Revenue Bonds; structural insurance shifted from 001 Budget Act appropriation to 003 Budget Act appropriation effective 1997-98)	27	27	1,244
Allocation for employee compensation	188	740	—
Allocation for employer's share of health benefits	22	13	—
Allocation for contingencies or emergencies	—	4	—
Adjustment per Section 3.60	-443	-448	—
Adjustment per Section 4.50	—	1,217	—
Adjustment per Section 16.00.....	—	8	—
Totals Available	\$18,667	\$20,327	\$28,429
Unexpended balance, estimated savings	-1,508	—	—
TOTALS, EXPENDITURES	\$17,159	\$20,327	\$28,429

0274 Business Reinvestment Fund ^s

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation.....	\$371	\$375	\$2,309
Unexpended balance, estimated savings	-29	—	—
TOTALS, EXPENDITURES	\$342	\$375	\$2,309

0995 Reimbursements

Reimbursements	\$19,183	\$13,594	\$13,668
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$60,922	\$59,761	\$69,631

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund**

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
295 Budget Act appropriation (State Mandates)	\$7,669	\$7,853	\$7,853
Adjustment per Government Code Section 17613	-436	-	-
Chapter 780, Statutes of 1998 (State Mandates)	1,558	-	-
Adjustment per Government Code Section 17613	820	-	-
Chapter 574, Statutes of 1999 (State Mandates)	-	3,467	-
Prior year balances available:			
Chapter 306, Statutes of 1997 (State Mandates)	283	-	-
Chapter 780, Statutes of 1998 (State Mandates)	-	1,016	-
Adjustment per Government Code Section 17613	-278	-1,016	-
Totals Available	\$9,616	\$11,320	\$7,853
Balance available in subsequent years	-1,016	-	-
TOTALS, EXPENDITURES, (Local Assistance)	\$8,600	\$11,320	\$7,853
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$69,522	\$71,081	\$77,484

FUND CONDITION STATEMENT

0228 Secretary of State's Business Fees Fund ^s

	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE	-	-	\$1,000
REVENUES AND TRANSFERS			
Revenues:			
124100 Domestic Corporation Fees	\$5,923	\$6,169	6,169
124200 Foreign Corporation Fees	1,007	1,083	1,083
124300 Notary Public License Fees	856	908	908
124400 Filing Financing Statements	8,139	7,279	5,356
125600 Other Regulatory Fees	2,582	3,046	3,046
142000 General Fees—Secretary of State	11,989	12,026	13,246
142500 Miscellaneous Services	1	-	-
150300 Interest from Surplus Money Investments	498	353	353
161400 Miscellaneous Revenue	29	29	29
Totals, Revenues	\$31,024	\$30,893	\$30,190
Transfers to Other Funds:			
T00001 General Fund per Government Code Section 12181	-11,865	-9,566	-
T00274 Business Reinvestment Fund per Chapter 656, Statutes of 1995	-2,000	-	-
Totals, Transfers	-\$13,865	-\$9,566	-
Totals, Revenues and Transfers	\$17,159	\$21,327	\$30,190
Totals, Resources	\$17,159	\$21,327	\$31,190
EXPENDITURES			
0890 Secretary of State's Office (State Operations)	17,159	20,327	28,429
9610 Lease Revenue Notes and Bonds (Debt Service Adjustment) (State Operations)	-	-	1,761
Totals, Expenditures	\$17,159	\$20,327	\$30,190
FUND BALANCE	-	\$1,000	\$1,000

0274 Business Reinvestment Fund ^s

BEGINNING BALANCE	\$4,481	\$6,139	\$5,764
REVENUES AND TRANSFERS			
Transfers from Other Funds:			
F00228 Secretary of State's Business Fees Fund, per Government Code Section 12181	2,000	-	-
Totals, Resources	\$6,481	\$6,139	\$5,764

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

EXPENDITURES	1998-99*	1999-00*	2000-01*
0890 Secretary of State's Office (State Operations)	\$342	\$375	\$2,309
FUND BALANCE	\$6,139	\$5,764	\$3,455
Reserve for unencumbered balance of continuing appropriations	6,139	5,764	3,455

CHANGES IN**AUTHORIZED POSITIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	421.7	500.0	494.0	\$16,170	\$18,819	\$18,999
Salary adjustments	—	—	—	—	913	1,565
Totals, Adjusted Authorized Positions	421.7	500.0	494.0	\$16,170	\$19,732	\$20,564
Workload and Administrative Adjustments:						
Positions Established:				Salary Range		
Archivist II	—	1.0	—	—	20	—
Overtime	—	—	—	—	23	—
Totals, Workload and Administrative Adjustments	—	1.0	—	—	\$43	—
Proposed New Positions:						
Staff Counsel III	—	—	1.0	6,531-8,059	—	78
Staff Counsel (Range C)	—	—	3.0	5,026-6,077	—	181
Sr Programmer Analyst-Spec	—	—	1.0	4,926-5,943	—	59
Sys Software Spec II-Techn	—	—	1.0	4,918-5,943	—	59
Staff Programmer Analyst-Spec	—	—	1.0	4,478-5,402	—	54
Assoc Programmer Analyst-Spec	—	—	1.0	4,804-4,927	—	49
Archivist II	—	—	3.0	3,921-4,764	—	141
Corp Documents Examiner	—	—	6.0	3,286-3,606	—	237
Info Sys Techn	—	—	1.0	2,193-3,090	—	26
Prog Techn III	—	—	1.0	2,525-3,070	—	—
Staff Svcs Analyst	—	—	4.0	2,491-2,960	—	90
Prog Techn II	—	—	7.5	2,333-2,836	—	206
Ofc Techn-Typing	—	—	1.0	2,333-2,836	—	28
Temporary Help	—	—	—	—	—	3
Totals, Proposed New Positions	—	—	31.5	—	—	\$1,211
Total Adjustments	—	1.0	31.5	—	\$956	\$2,776
Totals, Authorized Positions	421.7	501.0	525.5	\$16,170	\$19,775	\$21,775

0950 STATE TREASURER

The State Treasurer provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the State; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants drawn by the State Controller and other state agencies.

SUMMARY OF PROGRAM

REQUIREMENTS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Investment Services	14.7	14.2	14.2	\$1,927	\$2,145	\$2,147
20 Cash Management	62.1	65.5	50.6	6,751	7,181	6,825
30 Public Finance	68.2	36.0	38.5	7,305	3,767	4,694
35 Securities Management	—	43.3	43.3	—	4,383	4,257
50 Administration and Information Services	83.3	85.7	85.8	11,326	8,404	8,336
Distributed Administration	—	—	—	-8,335	-7,173	-7,106
95 State-mandated local programs	—	—	—	—	14,300	3,342
TOTALS, PROGRAMS	228.3	244.7	232.4	\$18,974	\$33,007	\$22,495
0001 General Fund	—	—	—	4,672	19,529	9,903
0240 Local Agency Deposit Security Fund	—	—	—	260	318	339
0995 Reimbursements	—	—	—	14,042	13,160	12,253

Authority

Government Code Sections 12300-12333, 16300-16600, 53661.

10 INVESTMENT SERVICES

The Investment Services Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 1998-99 fiscal year, this Division handled 7,502 security investment transactions totaling \$237.6 billion. The Pooled Money Investment Board program accounted for 5,022 of these transactions totaling \$225.1 billion; time deposits accounted for 782 transactions totaling \$12.1 billion. The

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

remaining \$400 million is invested on behalf of the State's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the State's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies the opportunity to invest their idle moneys and to gain the greater access to the financial markets through the Pooled Money Investment Board program. In the 1998–99 fiscal year, 2,730 local agencies participated in LAIF, with deposits totaling over \$14.1 billion.

20 CASH MANAGEMENT

The Cash Management Division is responsible for managing the State's cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division analyzes the State's cashflow and completes a daily forecast of available cash which allows others to make informed investment and business decisions. The Division is also responsible for administering the Centralized Banking Services Program. An integral part of managing the State's cash is the Centralized Treasury System which is responsible for processing and reconciling state warrants and agency checks presented by banks for payment, processing stop payment and forgery items, and reconciling all the State's deposits within the Treasury System. The Division is also responsible for maintaining the State's vault used for the safekeeping of moneys and securities pursuant to Government Code Section 12320 and for providing security in the handling and processing of billions of dollars in negotiable securities.

Major Budget Adjustment Proposed for 2000–01

- An overall decrease of \$339,000 from the State Treasurer's Office's Cash Management's Item Processing operation. Proposal realigns funding and reduces funding and personnel years (PYs) as a result of program efficiencies. Reimbursements decrease by \$957,000. General Fund increases by \$618,000 and PYs are reduced by 14.7.

30 PUBLIC FINANCE

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes and many revenue bonds. Interim financing from the Pooled Money Investment Account (PMIA) is also arranged to meet the immediate cash needs of the various bond programs. Interest costs are minimized through a planned bond marketing program. This program includes disseminating information to bondholders through the investor relations program.

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrage profit or other payments to the federal government.

Major Budget Adjustment Proposed for 2000–01

- An increase of \$941,000 (\$800,000 General Fund and \$141,000 Reimbursements) and 2.4 PYs to fund the developmental stage of a new Debt Management System.

35 SECURITIES MANAGEMENT

The Securities Management Division was created in 1999 and consists of the Bondholder Services Section and the Securities Clearance Section, which were formerly part of the Public Finance Division.

The Securities Management Division is responsible for servicing and redeeming all general obligation bonds, certain revenue bonds and revenue anticipation notes for the State of California. The Division maintains certain ownership information for the bonds and ensures the timely payment of principal and interest to the bondholders.

The Division is responsible for the clearing, settling and accounting for all securities purchased or sold for investment purposes for the Pooled Money Investment Account and other state agencies through its custodian.

The Division is also responsible for the safekeeping of all securities and other personal property owned by or pledged to the State. These securities are held in the Treasurer's vault or in approved depositories such as the Federal Reserve Bank in San Francisco.

The Division also administers the Local Agency Security Program. This Program monitors the collateral of over 300 financial institutions with a total of \$3.8 billion in local agency deposits and \$4.0 billion in collateral. This Program protects approximately 4,000 local agencies' deposits.

50 ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Administration includes budgeting, personnel, accounting, information systems, business services, technical support, and production operations services.

Major Budget Adjustment Proposed for 2000–01

- An increase of \$186,000 (\$62,000 General Fund, \$5,000 LASP and \$119,000 Reimbursements) to cover increased facilities operations costs.

95 STATE-MANDATED LOCAL PROGRAMS

This program provides funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs incurred in complying with state mandates.

PROGRAM BUDGET DETAIL**10 INVESTMENT SERVICES**

State Operations:	1998–99*	1999–00*	2000–01*
0001 General Fund.....	\$436	\$495	\$496
0995 Reimbursements.....	1,491	1,650	1,651
Totals, State Operations	\$1,927	\$2,145	\$2,147

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

20 CASH MANAGEMENT

State Operations:	1998-99*	1999-00*	2000-01*
0001 General Fund	\$196	\$2,114	\$2,690
0995 Reimbursements	6,555	5,067	4,135
Totals, State Operations	\$6,751	\$7,181	\$6,825

30 PUBLIC FINANCE

State Operations:			
0001 General Fund	\$2,286	\$917	\$1,709
0240 Local Agency Deposit Security Fund	260	—	—
0995 Reimbursements	4,759	2,850	2,985
Totals, State Operations	\$7,305	\$3,767	\$4,694

35 SECURITIES MANAGEMENT DIVISION

State Operations:			
0001 General Fund	—	\$1,703	\$1,666
0240 Local Agency Deposit Security Fund	—	318	339
0995 Reimbursements	—	2,362	2,252
Totals, State Operations	—	\$4,383	\$4,257

50 ADMINISTRATION AND INFORMATION SERVICES—
UNDISTRIBUTED

State Operations:			
0001 General Fund	\$1,754	—	—
0995 Reimbursements	1,237	\$1,231	\$1,230
Totals, State Operations	\$2,991	\$1,231	\$1,230

95 STATE-MANDATED LOCAL PROGRAMS

Local Assistance:			
Ch. 783/95-Investment Reports	—	\$14,300	\$3,342
Totals, Local Assistance	—	\$14,300	\$3,342

TOTAL EXPENDITURES

State Operations	\$18,974	\$18,707	\$19,153
Local Assistance	—	14,300	3,342
TOTALS, EXPENDITURES	\$18,974	\$33,007	\$22,495

SUMMARY BY OBJECT

1 STATE OPERATIONS

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	228.3	258.3	258.3	\$10,188	\$11,772	\$11,939
Total Adjustments	—	—	-12.0	—	533	175
Estimated Salary Savings	—	-13.6	-13.9	—	-639	-660
Net Totals, Salaries and Wages	228.3	244.7	232.4	\$10,188	\$11,666	\$11,454
Staff Benefits	—	—	—	2,511	2,284	2,224
Totals, Personal Services	228.3	244.7	232.4	\$12,699	\$13,950	\$13,678
OPERATING EXPENSES AND EQUIPMENT				\$6,275	\$4,757	\$5,475
TOTALS, EXPENDITURES				\$18,974	\$18,707	\$19,153

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1998-99*	1999-00*	2000-01*
001 Budget Act appropriation	\$6,722	\$5,079	\$6,561
Allocation for employee compensation	88	324	—

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

	1998-99*	1999-00*	2000-01*
Allocation for employer's share of health benefits	\$9	\$5	—
Adjustment per Section 3.60	-110	-179	—
Totals Available	\$6,709	\$5,229	\$6,561
Unexpended balance, estimated savings	-2,037	—	—
TOTALS, EXPENDITURES	\$4,672	\$5,229	\$6,561
0240 Local Agency Deposit Security Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$341	\$302	\$339
Allocation for employee compensation	5	21	—
Allocation for employer's share of health benefits	2	—	—
Adjustment per Section 3.60	-8	-5	—
Totals Available	\$340	\$318	\$339
Unexpended balance, estimated savings	-80	—	—
TOTALS, EXPENDITURES	\$260	\$318	\$339
0995 Reimbursements			
Reimbursements	\$14,042	\$13,160	\$12,253
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$18,974	\$18,707	\$19,153

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	1998-99*	1999-00*	2000-01*
State Mandates.....	—	\$14,300	\$3,342

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE

0001 General Fund

	1998-99*	1999-00*	2000-01*
APPROPRIATIONS			
295 Budget Act appropriation (State Mandates)	—	—	\$3,342
Chapter 574, Statutes of 1999 (State Mandates: Investment Reports)	—	\$14,300	—
TOTALS, EXPENDITURES (Local Assistance)	—	\$14,300	\$3,342
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$18,974	\$33,007	\$22,495

FUND CONDITION STATEMENT**0240 Local Agency Deposit Security Fund ^s**

	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....	\$197	\$217	\$160
Prior year adjustments	4	—	—
Balance, Adjusted.....	\$201	\$217	\$160
REVENUES AND TRANSFERS			
Revenues:			
121200 Other regulatory taxes	239	255	311
164300 Penalty assessments	37	10	10
Totals, Revenues	\$276	\$265	\$321
Totals, Resources	\$477	\$482	\$481
EXPENDITURES			
Disbursements:			
0950 State Treasurer (State Operations).....	260	318	339
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations).....	—	4	—
Totals, Disbursements	\$260	\$322	\$339
FUND BALANCE.....	\$217	\$160	\$142
Reserve for economic uncertainties	217	160	142

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	228.3	258.3	258.3	\$10,188	\$11,772	\$11,939
Salary adjustments	—	—	—	—	533	403
Totals, Adjusted Authorized Positions	228.3	258.3	258.3	\$10,188	\$12,305	\$12,342
Workload and Administrative Adjustments:						
Reduction in Authorized Positions ¹				Salary Range		
Prog Techn II	—	—	-5.0	2,258-2,745	—	-144
Prog Techn	—	—	-4.0	1,951-2,546	—	-106
Temporary Help	—	—	-6.0	—	—	-141
Overtime	—	—	—	—	—	9
Totals, Reduction in Authorized Positions	—	—	15.0	—	—	-\$382
Positions Reclassified:						
Prog Techn III to Asst Treasury Prog Ofcr	—	—	(1.0)	3,130-3,805	—	10
Prog Techn III to Jr Staff Analyst	—	—	(2.0)	2,411-3,173	—	6
Totals, Positions Reclassified	—	—	—	—	—	\$16
Totals, Workload and Administrative Adjustments	—	—	-15.0	—	—	-\$366
Proposed New Positions:						
Assoc Programmer Analyst	—	—	1.0	3,952-4,805	—	48
Assoc Treasurer Prog Ofcr	—	—	2.0	3,764-4,576	—	90
Totals, Proposed New Positions	—	—	3.0	—	—	\$138
Total Adjustments	—	—	-12.0	—	\$533	\$175
TOTALS, SALARIES AND WAGES	228.3	258.3	246.3	\$10,188	\$12,305	\$12,114

¹ Reflects position changes for the Item Processing funding realignment proposal.

0954 SCHOLARSHARE INVESTMENT BOARD

The ScholarShare Investment Board (SIB) currently administers the Golden State Scholarshare Trust Program. Beginning in 2000-01, the Governor is proposing to establish the new Governor's Scholars Programs which would also be administered by the SIB.

SUMMARY OF PROGRAM REQUIREMENTS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 Golden State ScholarShare Trust Program (ScholarShare Administrative Fund)	—	1.5	3.0	—	\$729	\$934
20 Governor's Scholars Programs (General Fund)	—	—	3.0	—	—	117,500
TOTALS, PROGRAMS	—	1.5	6.0	—	\$729	\$118,434
0001 General Fund	—	—	—	—	—	117,500
0564 Scholarshare Administrative Fund	—	—	—	—	729	934

10 Golden State ScholarShare Trust Program

Chapter 851, Statutes of 1997, authorized the California Student Aid Commission (SAC) to establish the Golden State ScholarShare Trust Program, which is a state-sponsored, college savings program. ScholarShare was created to allow Californians the opportunity to invest on a tax-deferred basis to meet the costs of higher education, as allowed by federal law. Participants may invest for their children's college costs at modest levels over a number of years. The money that participants contribute is not taxed until the time of disbursement to the beneficiary's school of choice; it is then taxed at the beneficiary's rate. SAC's administrative costs for the program are to be paid from the ScholarShare Administrative Fund, which will be supported by a portion of the investment returns. Initial expenses of this program are supported by a loan from the General Fund. Program implementation started in 1999.

Chapter 664, Statutes of 1999, moves the ScholarShare Investment Board from the SAC to under the umbrella of the State Treasurer's Office, effective January 1, 2000, and makes technical changes to the Golden State ScholarShare Trust Act to enhance the program to interested participants.

Authority

Chapter 664, Statutes of 1999, amended Education Code Section 69980.

20 Governor's Scholars Programs

Beginning in 2000-01, the Governor's Scholars Programs reward public school students who demonstrate high academic achievement, as evidenced by their scores in the 9th, 10th, or 11th grades on certain examinations. Under the guidelines of the Governor's Merit Scholarship Program, students receive a \$1,000 higher education scholarship award if they either: 1) attain a score on the California standards-aligned portion of the Standardized Testing and Reporting (STAR) examination that places them in the top 10 percent of students statewide, or 2) attain a score on

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0954 SCHOLARSHARE INVESTMENT BOARD—Continued

the nationally norm-referenced portion of the STAR examination that places them in the top 5 percent of students within their school. Under the guidelines of the Governor's Distinguished Math and Science Scholars Program, a supplemental award of \$2,500 is available to students who also attain the top score on an Advanced Placement Calculus examination and on one of the Advanced Placement science examinations. These higher education scholarship awards are invested in a Golden State ScholarShare Trust Account until used by the student for eligible educational expenses.

Major Budget Adjustments Proposed for 2000-01**GOVERNOR'S INITIATIVES**

- \$111 million General Fund for the Governor's Merit Scholarship Program to reward high achieving public school students in grades 9 through 11, inclusive.
- \$6 million General Fund for the Governor's Math and Science Scholars Program to reward students achieving the highest score on both an Advanced Placement (AP) Calculus and an AP science exam.
- \$500,000 General Fund for the SIB to administer these programs.

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	—	—	—	—	—	—
Total Adjustments	—	1.5	6.0	—	\$106	\$313
Net Totals, Salaries and Wages	—	1.5	6.0	—	\$106	\$313
Staff Benefits	—	—	—	—	15	46
Totals, Personal Services	—	1.5	6.0	—	\$121	\$359
OPERATING EXPENSES AND EQUIPMENT				—	\$608	\$1,075
TOTALS, EXPENDITURES				—	\$729	\$1,434

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	—	—	\$500
0564 ScholarShare Administrative Fund ^a			
APPROPRIATIONS			
001 Budget Act appropriation	—	—	\$934
Transfer from Student Aid Commission (7980-001-0564) per Chapter 664, Statutes of 1999	—	\$732	—
Allocation for employee compensation	—	8	—
Adjustment per Section 3.60	—	-11	—
TOTALS, EXPENDITURES	—	\$729	\$934
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	—	\$729	\$1,434

SUMMARY BY OBJECT**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0001 General Fund**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
Pending Legislation (Governor's Scholars Programs)	—	—	\$117,000
TOTALS, EXPENDITURES (Local Assistance)	—	—	\$117,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	—	\$729	\$118,434

CHANGES IN**AUTHORIZED POSITIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	—	—	—	—	—	—
Salary adjustments	—	—	—	—	\$18	\$24
Totals, Adjusted Authorized Positions	—	—	—	—	\$18	\$24

* Dollars in thousands, except in Salary Range.

0954 SCHOLARSHARE INVESTMENT BOARD—Continued

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Workload and Administrative Adjustments:						
Positions transferred from Student Aid Commission (7980) pursuant to Chapter 664, Statutes of 1999, for Golden State ScholarShare Trust Program:				Salary Range		
C.E.A. III.....	—	0.5	1.0	\$7,354–8,108	\$47	\$94
Staff Svcs Mgr I.....	—	0.5	—	4,179–5,041	28	—
Assoc Govtl Prog Analyst.....	—	—	1.0	3,619–4,367	—	46
Office Techn-Typing	—	0.5	1.0	2,150–2,613	13	27
Totals, Workload and Administrative Adjustments.....	—	1.5	3.0	—	\$88	\$167
Proposed New Positions:						
Governor's Scholars Programs:						
Staff Svcs Mgr I.....	—	—	1.0	4,179–5,041	—	50
Assoc Govtl Prog Analyst.....	—	—	1.0	3,619–4,367	—	43
Staff Svcs Analyst	—	—	1.0	2,411–2,865	—	29
Totals, Proposed New Positions	—	—	3.0	—	—	\$122
Total Adjustments.....	—	1.5	6.0	—	\$106	\$313
TOTALS, SALARIES AND WAGES	—	1.5	6.0	—	\$106	\$313

0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The California Debt Advisory Commission (CDAC), was created by Chapter 1088, Statutes of 1981, to assist state and local governments to effectively and efficiently issue, monitor and manage public debt. Under Chapter 833, Statutes of 1996, the CDAC's responsibilities were expanded to include a municipal education and oversight program to help local governments safely and effectively invest public funds. Under this law, the Commission was renamed the California Debt and Investment Advisory Commission (CDIAC) in recognition of its responsibilities related to the investment of public funds. Chapter 470, Statutes of 1998, requires the CDIAC to prepare an annual report of outstanding public debt in California.

To carry out its responsibilities, the Commission maintains a database of all public debt issued in California, conducts continuing education programs in the public debt and public investment areas, publishes a monthly newsletter with debt issuance data and informative articles, and conducts research to develop reports, guidelines and briefs on topical issues in public finance.

State and local issuers of public debt in California are required to give written notice of proposed sales to the Commission 30 days prior to the sale date. This must be followed up by a report of final sale submitted no later than 45 days after the specific sale date. Nonprofit student loan corporations, organized for the purpose of acquiring student loans, also must report proposed debt issues to the Commission. The Commission is authorized to charge fees not to exceed one-fortieth of one percent of the principal amount of the issue, up to a maximum of \$5,000 for any one issue. The fees collected are deposited into the California Debt and Investment Advisory Commission Fund (CDIACF) to cover the administrative costs of the Commission.

The Commission consists of nine members including the State Treasurer, who is chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; and two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly and, two members of the Senate appointed by the Senate Committee on Rules.

SUMMARY OF PROGRAM**REQUIREMENTS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 California Debt and Investment Advisory Commission	12.4	16.0	16.0	\$1,360	\$1,763	\$1,759
0171 California Debt and Investment Advisory Commission Fund.....				1,290	1,663	1,659
0995 Reimbursements				70	100	100

Authority

Government Code Sections 8855–8859.

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	12.4	16.0	16.0	\$603	\$789	\$803
Total Adjustments	—	—	—	—	35	29
Net Totals, Salaries and Wages	12.4	16.0	16.0	\$603	\$824	\$832
Staff Benefits	—	—	—	196	144	144
Totals, Personal Services	12.4	16.0	16.0	\$799	\$968	\$976
OPERATING EXPENSES AND EQUIPMENT				\$561	\$795	\$783
TOTALS, EXPENDITURES				\$1,360	\$1,763	\$1,759

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION—Continued**RECONCILIATION WITH APPROPRIATIONS****1 STATE OPERATIONS****0171 California Debt and Investment Advisory
Commission Fund ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,588	\$1,641	\$1,659
Allocation for employee compensation	16	71	—
Allocation for employer's share health benefits.....	2	1	—
Increase expenditure authority per Provision 1.....	42	—	—
Adjustment per Section 3.60	-24	-50	—
Totals Available	\$1,624	\$1,663	\$1,659
Unexpended balance, estimated savings	-334	—	—
TOTALS, EXPENDITURES	\$1,290	\$1,663	\$1,659
0995 Reimbursements			
Reimbursements	\$70	\$100	\$100
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$1,360	\$1,763	\$1,759

FUND CONDITION STATEMENT**0171 California Debt and Investment Advisory
Commission Fund ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
BEGINNING BALANCE.....	\$3,182	\$3,779	\$3,616
Prior year adjustments	-26	—	—
Balance, Adjusted.....	\$3,156	\$3,779	\$3,616
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	1,735	1,300	1,300
150300 Income from surplus money investments	178	200	180
Totals, Revenues and Transfers.....	\$1,913	\$1,500	\$1,480
Totals, Resources	\$5,069	\$5,279	\$5,096
EXPENDITURES			
Disbursements:			
0956 California Debt and Investment Advisory Commission (State Operations).....	1,290	1,663	1,659
FUND BALANCE.....	\$3,779	\$3,616	\$3,437
Reserve for economic uncertainties	3,779	3,616	3,437

**CHANGES IN
AUTHORIZED POSITIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	12.4	16.0	16.0	\$603	\$789	\$803
Salary adjustments.....	—	—	—	—	35	29
Totals, Adjusted Authorized Positions	12.4	16.0	16.0	\$603	\$824	\$832
Total Adjustments	—	—	—	—	\$35	\$29
TOTALS, SALARIES AND WAGES	12.4	16.0	16.0	\$603	\$824	\$832

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

The California Debt Limit Allocation Committee was created through a proclamation signed by the Governor on July 19, 1984, in response to the enactment of the Federal Tax Reform Act of 1984. Another proclamation in response to the Federal Tax Reform Act of 1986 was signed by the Governor on September 30, 1986. Chapter 943, Statutes of 1987, supersedes the prior proclamations as the continuing authority for the Committee's operation. The Tax Reform Acts of 1984 and 1986 limit the dollar volume of federally tax-exempt "private activity" bonds which may be sold in any one state during a calendar year. The term "private activity", as applied to tax-exempt bonds, generally includes industrial development bonds,

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE—Continued

housing bonds and exempt facilities bonds for solid waste disposal. The Tax Reform Act of 1986, in addition to further limiting the purposes for which federally tax-exempt "private activity" bonds may be issued, reduces the dollar volume limit of such bonds to approximately \$1.6 billion (\$50 per capita) for California after 1987. As a result of the 1998 Omnibus Budget Act, the per capita dollar amount will increase in increments beginning in 2003 to \$75 per capita in 2007.

The Committee oversees the State's allocation system for the issuance of "private activity" bonds under the provisions of Chapter 943, Statutes of 1987. The Committee is comprised of the State Treasurer, as chairperson; the Governor or, upon his designation, the Director of Finance; and the State Controller.

The Committee is funded on a fee-supported basis.

Major Budget Adjustment Included in 1999–00

- An increase of \$148,000 to support one-time costs and to augment funding for operating expenses.

Major Budget Adjustments Proposed for 2000–01

- An increase of \$68,000 to augment funding for operating expenses.
- An increase of \$73,000 and one position to address the increased workload associated with increases in the number of applications and information requests.

Authority

Chapter 943, Statutes of 1987.

SUMMARY OF PROGRAM**REQUIREMENTS**

	<i>98–99</i>	<i>99–00</i>	<i>00–01</i>	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
10 California Debt Limit Allocation Committee (California Debt Limit Allocation Committee Fund).....	5.3	6.0	7.0	\$541	\$832	\$793

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98–99</i>	<i>99–00</i>	<i>00–01</i>	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	5.3	6.0	6.0	\$237	\$304	\$309
Total Adjustments	–	–	1.0	–	13	56
Net Totals, Salaries and Wages	5.3	6.0	7.0	\$237	\$317	\$365
Staff Benefits	–	–	–	66	43	51
Totals, Personal Services	5.3	6.0	7.0	\$303	\$360	\$416
OPERATING EXPENSES AND EQUIPMENT				\$238	\$472	\$377
TOTALS, EXPENDITURES				\$541	\$832	\$793

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0169 California Debt Limit Allocation Committee Fund ^s**

	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$555	\$676	\$793
Allocation for employee compensation	7	26	–
Allocation for employer's share of health benefits	–	1	–
Increase expenditure authority per Provision 1.....	–	148	–
Adjustment per Section 3.60	–5	–19	–
Totals Available	\$557	\$832	\$793
Unexpended balance, estimated savings	–16	–	–
TOTALS, EXPENDITURES (State Operations).....	\$541	\$832	\$793

FUND CONDITION STATEMENT**0169 California Debt Limit Allocation Committee Fund ^s**

	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
BEGINNING BALANCE.....	\$2,887	\$3,092	\$3,048
Prior year adjustments	5	–	–
Balance, Adjusted.....	\$2,892	\$3,092	\$3,048

* Dollars in thousands, except in Salary Range.

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE—Continued**REVENUES AND TRANSFERS**

Revenues:

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
125600 Other regulatory fees.....	\$588	\$624	\$630
150300 Income from surplus money investments.....	153	164	160
Totals, Revenues and Transfers.....	\$741	\$788	\$790
Totals, Resources.....	\$3,633	\$3,880	\$3,838

EXPENDITURES

Disbursements:

0959 California Debt Limit Allocation Committee (State Operations).....	541	832	793
FUND BALANCE.....	\$3,092	\$3,048	\$3,045
Reserve for economic uncertainties	3,092	3,048	3,045

**CHANGES IN
AUTHORIZED POSITIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Totals, Authorized Positions	5.3	6.0	6.0	\$237	\$304	\$309
Salary adjustments.....	—	—	—	—	13	11
Totals, Adjusted Authorized Positions	5.3	6.0	6.0	\$237	\$317	\$320
Proposed New Positions:				Salary Range		
Assoc Govtl Prog Analyst.....	—	—	1.0	3,764-4,542	—	45
Totals, Proposed New Positions	—	—	1.0	—	—	—
Total Adjustments.....	—	—	1.0	—	\$13	\$56
TOTALS, SALARIES AND WAGES	5.3	6.0	7.0	\$237	\$317	\$365

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION**

The California Industrial Development Financing Advisory Commission (CIDFAC) was created by Chapter 1358, Statutes of 1980. The State Treasurer serves as chairperson of the Commission. The other members are the Director of Finance, the State Controller, the Secretary of the Trade and Commerce Agency, and the Commissioner of Corporations.

Chapter 1358 allows cities and counties to establish industrial development authorities which are empowered to issue industrial development revenue bonds. Bonds issued under this program are not a debt, liability or a pledge of the faith and credit nor the taxing power of the State of California. The Bonds are subject to the State's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986, and allocated by the California Debt Limit Allocation Committee.

The program is intended to benefit economically distressed areas within the State. The proceeds of the bonds provide industry with an alternative method of financing capital outlay to acquire, construct or rehabilitate facilities which will increase employment or otherwise contribute to economic development.

Expenses of local industrial development authorities are funded by fees collected from applicants for financing under the program. Direct expenses of the Commission and those of the Office of the State Treasurer are also funded by fees collected from applicants and from bond proceeds.

Chapter 1605, Statutes of 1982, as amended by Chapter 1109, Statutes of 1987, provides that the aggregate amount of bonds issued shall not exceed \$350 million per calendar year for federally tax-exempt issues and a like amount for federally taxable issues.

Chapter 913, Statutes of 1994, provides financing incentives under the State Enterprise Zone Act.

Chapter 1035, Statutes of 1998, extended the authority for the Commission to issue tax-exempt Industrial Development Bonds until January 1, 2004.

Chapter 863, Statutes of 1999, created the Revolving Loan Fund Securitization Program within the Commission. The new program allows the Commission to issue bonds to securitize local economic development revolving loan funds.

Major Budget Adjustment Proposed for 2000-01

- An increase of \$73,000 and one position (1.0 personnel year) to address workload due to the passage of Chapter 863, Statutes of 1999.

Authority

Government Code Sections 91500 to 91564, Financial Code Section 1364, Insurance Code Section 1192.

**SUMMARY OF PROGRAM
REQUIREMENTS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
10 California Industrial Development Financing Advisory Commission..	2.6	3.0	4.0	\$357	\$450	\$511
0215 Industrial Development Fund.....				357	450	438
0297 Community and Economic Development Fund.....				—	—	73

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION—Continued**

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98-99</i>	<i>99-00</i>	<i>00-01</i>	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	2.6	3.0	3.0	\$181	\$218	\$218
Total Adjustments	—	—	1.0	—	9	52
Net Totals, Salaries and Wages	2.6	3.0	4.0	\$181	\$227	\$270
Staff Benefits	—	—	—	61	28	38
Totals, Personal Services	2.6	3.0	4.0	\$242	\$255	\$308
OPERATING EXPENSES AND EQUIPMENT				\$115	\$195	\$203
TOTALS, EXPENDITURES				\$357	\$450	\$511

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0215 Industrial Development Fund ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$447	\$447	\$438
Allocation for employee compensation	6	16	—
Adjustment per Section 3.60	—5	—13	—
Totals Available	\$448	\$450	\$438
Unexpended balance, estimated savings	—91	—	—
TOTALS, EXPENDITURES	\$357	\$450	\$438
0297 Community and Economic Development Fund ^b			
APPROPRIATIONS			
001 Budget Act appropriations (expenditures)	—	—	\$73
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$357	\$450	\$511

FUND CONDITION STATEMENT**0215 Industrial Development Fund ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
BEGINNING BALANCE	\$1,251	\$1,142	\$907
Prior year adjustment	3	—	—
Balance, Adjusted	\$1,254	\$1,142	\$907
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees (application fees)	180	170	170
150300 Income from surplus money investments	65	45	47
Totals, Revenues	\$245	\$215	\$217
Transfers to Other Funds:			
T00297 Loan to Community and Economic Development Fund per Government Code Section 9155	—	—	—16
Totals, Transfers to Other Funds	—	—	—16
Totals, Revenues and Transfers	\$245	\$215	\$201
Totals, Resources	\$1,499	\$1,357	\$1,108
EXPENDITURES			
Disbursements:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	357	450	438
FUND BALANCE	\$1,142	\$907	\$670
Reserve for economic uncertainties	1,142	907	670

* Dollars in thousands, except in Salary Range.

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION—Continued**

CHANGES IN AUTHORIZED POSITIONS						
	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	2.6	3.0	3.0	\$181	\$218	\$218
Salary adjustments	—	—	—	—	9	7
Totals, Adjusted Authorized Positions	2.6	3.0	3.0	\$181	\$227	\$225
Proposed New Positions:				Salary Range		
Assoc Treasury Prog Off	—	—	1.0	3,764-4,542	—	45
Totals, Proposed New Positions	—	—	1.0	—	—	\$45
Total Adjustments	—	—	1.0	—	\$9	\$52
TOTALS, SALARIES AND WAGES	2.6	3.0	4.0	\$181	\$227	\$270

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

Chapter 1097, Statutes of 1981, established the California Mortgage Bond Allocation Committee for the purpose of approving mortgage revenue bond allocations. In response to the 1986 Federal Tax Reform Act, the Committee was authorized by Chapter 688, Statutes of 1987, to allocate federal Low-Income Housing Tax Credits (LIHTC) to foster development of affordable rental housing. The program provides tax credits of \$1.25 per capita per calendar year. Chapter 943, Statutes of 1987, transferred authorization for approving mortgage revenue bond allocations to the California Debt Limit Allocation Committee. Chapter 1138, Statutes of 1987, established a state tax credit program, similar to the LIHTC, making available up to \$35 million per year. Chapter 9, Statutes of 1998, increased the state tax credit ceiling for building low-income rental housing from \$35 million to \$50 million per year for calendar years 1998 and 1999. The Governor is proposing to make permanent the increase in state tax credit ceiling as provided in Chapter 9. As of December 31, 1998, a total of \$513,197,195 federal credit, and \$483,881,546 of state credit, has been allocated.

Chapter 166, Statutes of 1990, renamed the California Mortgage Bond Allocation Committee to the "California Tax Credit Allocation Committee" (CTCAC). The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency and two local government representatives.

Chapter 1164, Statutes of 1994, established a subaccount within the Tax Credit Allocation Fee Account named the Occupancy Compliance Monitoring Account. One-time monitoring fees are collected and deposited into this subaccount for the purpose of paying the costs of monitoring projects receiving allocations of tax credits for compliance with federal and state laws for a 55-year compliance period. The fees and interest earnings will be used to fund the compliance activities during the 55-year period. This monitoring program applies to all projects awarded credits since 1987 including some 1,479 projects (86,773 units) as of December 31, 1998.

Chapter 954, Statutes of 1996, established the Farmworker Housing Assistance Program making available up to \$500,000 per year of state tax credits for construction of farmworker housing. As of December 31, 1998, a total of \$770,000 in tax credit has been awarded under the Farmworker Housing Assistance Program.

The CTCAC's activities are funded from fees paid by applicants for tax credits and involve no General Fund revenues or expenditures.

SUMMARY OF PROGRAM

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
10 California Tax Credit Allocation Committee	15.3	21.1	21.1	\$1,788	\$2,109	\$2,101
0448 Occupancy Compliance Monitoring Account				494	662	652
0457 Tax Credit Allocation Fee Account				1,247	1,417	1,419
0995 Reimbursements				47	30	30

Authority

Chapter 1097, Statutes of 1981; Chapters 688, 943, and 1138, Statutes of 1987; Chapter 166, Statutes of 1990; Chapter 1164, Statutes of 1994; Chapter 954, Statutes of 1996; and Chapter 9, Statutes of 1998.

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	15.3	21.1	21.1	\$708	\$934	\$963
Total Adjustments	—	—	—	—	42	32
Net Totals, Salaries and Wages	15.3	21.1	21.1	\$708	\$976	\$995
Staff Benefits	—	—	—	180	155	148
Totals, Personal Services	15.3	21.1	21.1	\$888	\$1,131	\$1,143
OPERATING EXPENSES AND EQUIPMENT				\$673	\$836	\$816
SPECIAL ITEMS OF EXPENSE				9	6	6
TOTALS, EXPENDITURES				\$1,570	\$1,973	\$1,965

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued**RECONCILIATION WITH APPROPRIATIONS****1 STATE OPERATIONS****0448 Occupancy Compliance Monitoring Account ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$563	\$648	\$652
Allocation for employee compensation	5	31	—
Allocation for employer's share of health benefits	1	—	—
Adjustment per Section 3.60	-9	-17	—
Totals Available	\$560	\$662	\$652
Unexpended balance, estimated savings	-66	—	—
TOTALS, EXPENDITURES	\$494	\$662	\$652

0457 Tax Credit Allocation Fee Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,158	\$1,257	\$1,277
Health and Safety Code Section 50199.9(b)	9	6	6
Allocation for employee compensation	12	59	—
Allocation for employer's share of health benefits	1	2	—
Adjustment per Section 3.60	-19	-43	—
Totals Available	\$1,161	\$1,281	\$1,283
Unexpended balance, estimated savings	-132	—	—
TOTALS, EXPENDITURES	\$1,029	\$1,281	\$1,283

0995 Reimbursements

Reimbursements	\$47	\$30	\$30
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,570	\$1,973	\$1,965

SUMMARY BY OBJECT**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0457 Tax Credit Allocation Fee Account ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
Health and Safety Code Section 50199.9(b) (expenditures)	\$218	\$136	\$136
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,788	\$2,109	\$2,101

FUND CONDITION STATEMENT**0448 Occupancy Compliance Monitoring Account ^s**

	<i>1998-99*</i>	<i>1999-00*</i>	<i>2000-01*</i>
BEGINNING BALANCE	\$10,027	\$13,017	\$15,536
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees	2,891	2,400	2,400
150300 Income from surplus money investments	593	781	932
Totals, Revenues	\$3,484	\$3,181	\$3,332
Totals, Resources	\$13,511	\$16,198	\$18,868
EXPENDITURES			
0968 California Tax Credit Allocation Committee (State Operations)	494	662	652
FUND BALANCE	\$13,017	\$15,536	\$18,216
Reserve for economic uncertainties	13,017	15,536	18,216

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued

0457 Tax Credit Allocation Fee Account^{s 1}	1998-99*	1999-00*	2000-01*
BEGINNING BALANCE.....	\$14,457	\$16,386	\$18,199
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	2,592	2,600	2,600
150300 Income from surplus money investments.....	580	630	694
161000 Escheat of Unclaimed Checks and Warrants	4	—	—
Totals, Revenues	\$3,176	\$3,230	\$3,294
Totals, Resources	\$17,633	\$19,616	\$21,493
EXPENDITURES			
Disbursements:			
0968 California Tax Credit Allocation Committee:			
State Operations.....	1,029	1,281	1,283
Local Assistance	218	136	136
Totals, Disbursements.....	\$1,247	\$1,417	\$1,419
FUND BALANCE.....	\$16,386	\$18,199	\$20,074
Reserve for economic uncertainties	16,386	18,199	20,074

¹ Fund 0457-Mortgage Bond and Tax Credit Allocation Fee Account, Renamed the Tax Credit Allocation Fee Account pursuant to Chapter 1164, Statutes of 1994.

CHANGES IN AUTHORIZED POSITIONS	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	15.3	21.1	21.1	\$708	\$934	\$963
Salary adjustments.....	—	—	—	—	42	32
Totals, Adjusted Authorized Positions	15.3	21.1	21.1	\$708	\$976	\$995
Total Adjustments	—	—	—	—	\$42	\$32
TOTALS, SALARIES AND WAGES	15.3	21.1	21.1	\$708	\$976	\$995

0971 CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

The California Alternative Energy Source Financing Authority was established by Chapter 908, Statutes of 1980, for the purpose of providing California industry an alternative method of financing the construction and installation of facilities using alternative methods and sources of energy. Such construction can help meet the energy needs of the State in a manner which minimizes degradation of the environment and conserves scarce energy resources.

Chapter 1218, Statutes of 1994, renamed the Authority to the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) and thereby expanded the purpose of the Authority to include the financing and development of advanced transportation technologies. The Authority consists of five members: the State Treasurer (Chairperson); the State Controller; the Director of Finance; the Chairperson of the Energy Resources Conservation and Development Commission; and the President of the Public Utilities Commission.

The Authority is empowered to establish criteria for projects selected for financing, issue revenue bonds, enter into loan agreements for the sale, construction, installation, or acquisition of projects, and assist small business entities in locating a funding source for projects not financed by the Authority.

With the exception of the projects specified in the transition rules of the Federal Tax Reform Act of 1986, projects over \$10 million generally are no longer eligible for financing with federally tax-exempt bonds; however, such projects could be financed by bonds which are exempt from state taxation. Other federally tax-exempt bonds of the Authority, in general, are subject to the State's "private activity" bond ceiling as specified in the Tax Reform Act and allocated by the California Debt Limit Allocation Committee.

The Authority is authorized to issue up to \$350 million in revenue bonds to finance alternative energy projects pursuant to Chapter 328, Statutes of 1994. As of June 30, 1999, \$181.6 million in bonds had been sold.

Major Budget Adjustment Included in 1999-00

- An increase of \$26,000 to support full personal services costs for the new Executive Director to reactivate the CAEATFA program.

Major Budget Adjustment Proposed for 2000-01

- An increase of \$32,000 to support full costs necessary for CAEATFA to reestablish itself as a self-sustaining agency.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

**0971 CALIFORNIA ALTERNATIVE ENERGY AND
ADVANCED TRANSPORTATION FINANCING AUTHORITY—Continued**

Authority

Public Resources Code Sections 26004–26017.

SUMMARY OF PROGRAM**REQUIREMENTS**

	<i>98–99</i>	<i>99–00</i>	<i>00–01</i>	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
10 Alternative Energy and Advanced Transportation Financing Authority (California Alternative Energy Authority Fund)	0.3	1.0	1.0	\$38	\$154	\$160

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>98–99</i>	<i>99–00</i>	<i>00–01</i>	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	0.3	1.0	1.0	\$24	\$75	\$75
Total Adjustments	–	–	–	–	3	3
Net Totals, Salaries and Wages	0.3	1.0	1.0	\$24	\$78	\$78
Staff Benefits	–	–	–	6	16	16
Totals, Personal Services	0.3	1.0	1.0	\$30	\$94	\$94
OPERATING EXPENSES AND EQUIPMENT				\$8	\$60	\$66
TOTALS, EXPENDITURES				\$38	\$154	\$160

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0528 California Alternative Energy Authority Fund ⁿ**

	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$125	\$125	\$160
Allocation for employee compensation	–	7	–
Adjustment per Section 3.60	–	–4	–
Increase expenditure authority per Provision 1	–	26	–
Totals Available	\$125	\$154	\$160
Unexpended balance, estimated savings	–87	–	–
TOTALS, EXPENDITURES (State Operations)	\$38	\$154	\$160

FUND CONDITION STATEMENT**0528 California Alternative Energy Authority Fund ⁿ**

	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
BEGINNING BALANCE	\$185	\$158	\$64
Prior year adjustment	1	–	–
Balance, Adjusted	\$186	\$158	\$64
REVENUES AND TRANSFERS			
Operating Revenues:			
216000 Fees and Licenses	–	57	105
250300 Income from Surplus Money Investment Fund	10	3	23
Totals, Operating Revenues	\$10	\$60	\$128
Transfers from Other Funds:			
F00930 Loan from Pollution Control Financing Authority Fund per Health and Safety Code Section 44522(d)	–	–	300
Totals, Revenues and Transfers	\$10	\$60	\$428
Totals, Resources	\$196	\$218	\$492

* Dollars in thousands, except in Salary Range.

**0971 CALIFORNIA ALTERNATIVE ENERGY AND
ADVANCED TRANSPORTATION FINANCING AUTHORITY—Continued**

EXPENDITURES

Disbursements:	1998-99*	1999-00*	2000-01*
0971 California Alternative Energy and Advanced Transportation Financing Authority (State Operations).....	\$38	\$154	\$160
FUND BALANCE	\$158	\$64	\$332
Reserve for economic uncertainties	158	64	332

CHANGES IN**AUTHORIZED POSITIONS**

	98-99	99-00	00-01	1998-99*	1999-00*	2000-01*
Totals, Authorized Positions	0.3	1.0	1.0	\$24	\$75	\$75
Salary adjustments	—	—	—	—	3	3
Totals, Adjusted Authorized Positions	0.3	1.0	1.0	\$24	\$78	\$78
Total Adjustments	—	—	—	—	\$3	\$3
TOTALS, SALARIES AND WAGES	0.3	1.0	1.0	\$24	\$78	\$78

0974 CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY

The California Pollution Control Financing Authority (CPCFA) was established by Chapter 1257, Statutes of 1972, to provide California businesses with a reasonable method of financing pollution control facilities and to foster compliance with government imposed environmental standards and requirements. The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of Finance.

Industrial firms and agricultural producers receive funds from the sale of CPCFA revenue bonds for the acquisition, construction, or installation of pollution control facilities to meet environmental requirements mandated by public agencies. Companies that have received assistance through the program include food processors, cooperatives, manufacturers, waste disposal and resource recovery firms, metal platers, public utilities, refiners and marketers. As of June 30, 1999, bonds totaling \$9.760 billion have been sold by the CPCFA for pollution control projects. Projects ranging from \$10,000 to approximately \$500 million have been financed through the CPCFA.

Chapter 342, Statutes of 1985, authorized the CPCFA to establish a Small Business Assistance Fund. Small businesses usually do not have access to financial markets in the same way that larger businesses do. The Small Business Assistance Tax-Exempt Bond Program gives small businesses "access" to the financial markets by issuing bonds on behalf of the borrower and providing other financial and technical assistance to applicants. As of June 30, 1999, bonds totaling \$265.71 million have been issued by the CPCFA for small business projects. The CPCFA also administers the California Capital Access Program (CalCAP). CalCAP encourages banks and other financial institutions to make loans to small businesses. From its inception in 1994 through June 30, 1999, CalCAP has enabled \$332 million in loans to small businesses.

The Federal Reform Act of 1986 constrained the ability of the CPCFA to issue tax-exempt bonds for private uses (i.e., air and water pollution control projects). In addition, the federal tax legislation imposed a ceiling on the total amount of tax exempt bonds which can be sold in each state. Thus, the CPCFA's projects must now compete with those from other California authorities and financing agencies for debt allocation. This limitation has resulted in some of the CPCFA's projects being only partially funded by tax exempt bonds and taxable bonds.

Recently, the CPCFA's bond sales have been (1) for refinancing previous bond issues and (2) for the financing of resource recovery projects and solid waste disposal projects required by the California Integrated Waste Management Act of 1989. This Act (Chapter 1095, Statutes of 1989) mandated cities and counties to divert 25 percent of solid waste from landfills through source reduction, recycling, and composting by January 1, 1995. A 50 percent diversion is mandated by January 1, 2000. Integrated Waste Management programs are expected to be a large component of the CPCFA's workload until the requirements of Chapter 1095 have been met.

Authority

Health and Safety Code Sections 44500-44520 and 44526-44548.

0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY

The California Health Facilities Financing Authority (CHFFA) was established by Chapter 1033, Statutes of 1979. The CHFFA consists of nine members: the State Treasurer, the Director of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

The CHFFA issues revenue bonds to assist qualified private nonprofit corporations or associations, counties and hospital districts in financing or refinancing the construction, equipping or acquiring of health facilities. The funding or refinancing is accomplished by making loans to health institutions, by direct purchase and leaseback of the health facility by the CHFFA, or by a health institution acting as an agent for the CHFFA. The CHFFA can determine the location and character of any proposed project and can solicit state and federal mortgage insurance for any funded project. To qualify for funding under the Act, the project must be a health facility, as defined in the Act, operated by a private nonprofit corporation or association, city, city and county, county or hospital district. Numerous statutes enacted between 1983 and 1989 broadened the types of facilities that may be financed by the CHFFA.

Prior to September 30, 1998, the CHFFA was authorized to have outstanding at any one point in time up to \$5.999 billion in revenue bonds. Chapter 1035, Statutes of 1998, eliminated the limitation on bonds outstanding in its entirety.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY—Continued

The Federal Tax Reform Act of 1986 does not restrict health facility bonds in terms of the State's "private activity" bond limit. Bonds issued under this program are not a debt or liability or a pledge of faith and credit of the taxing power of the State or any of its political subdivisions. The full faith and credit of the participating institutions are pledged to the payment of bonds. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to the CHFFA.

In 1999–00, the Legislature provided a one-year \$5 million General Fund augmentation to the CHFFA to continue the HELP II Loan Program. The HELP II Loan Program provides low cost loans to small and/or rural public or non-profit health facilities to expand services to clients, purchase equipment, renovate facilities, and refinance higher interest rate loans to stabilize cash flow.

Authority

Government Code Sections 15430–15463.

SUMMARY OF PROGRAM**REQUIREMENTS**

	<i>98–99</i>	<i>99–00</i>	<i>00–01</i>	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
10 California Health Facilities Financing Authority	–	–	–	–	\$5,000	–
0001 General Fund				–	5,000	–

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE

0001 General Fund

	<i>1998–99*</i>	<i>1999–00*</i>	<i>2000–01*</i>
101 Budget Act appropriation	–	\$5,000	–
TOTALS, EXPENDITURES (Local Assistance)	–	\$5,000	–

0983 CALIFORNIA URBAN WATERFRONT AREA RESTORATION FINANCING AUTHORITY

The California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was created by Chapter 1264, Statutes of 1983. It consists of five members: the State Treasurer (Chairperson); the Director of Finance; the State Controller; the Secretary for Resources; and the Executive Officer of the State Coastal Conservancy.

The Authority was established to issue up to \$650 million in revenue bonds to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the State's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. To date, the Authority has sold \$3.33 million in revenue bonds for the Santa Monica International American Youth Hostel.

Authority

Public Resources Code Sections 32000–32003.

0985 CALIFORNIA SCHOOL FINANCE AUTHORITY

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established the California School Finance Authority and authorized the issuance of \$400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds are available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, acquisition of portable/relocatable buildings, and to provide working capital. The proceeds of loan repayments provide the resources necessary for payment of bond debt service. All expenditures of the Authority for debt service and other expenses must be paid from the revenues available to the Authority. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional \$400 million in revenue bonds per fiscal year for school districts and county offices of education that agree to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed \$4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the \$400 million annual issuance cap is eliminated.

As of July 1, 1999, \$205 thousand in bonds was outstanding for projects at one school district.

Authority

Education Code Sections 17170–17199.5.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0989 CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY—Continued**0989 CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY**

The California Educational Facilities Authority (CEFA) is a public instrumentality of the State comprised of five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor. All members serve four-year terms.

The Authority issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of nonsectarian educational facilities. Facilities used for sectarian instruction or as a place of religious worship are not eligible for financing from the Authority. Through its ability to issue tax-exempt bonds, the Authority provides lower cost financing to these institutions than they would be able to secure on the open market. Bonds issued by the Authority are not a debt or liability or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions. Instead, the full faith and credit of the participating institutions are pledged to the payment of bonds issued by the Authority. Bonds issued for this purpose are not subject to the State's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986. The bonds are issued for institutions on a stand-alone basis and also on a pooled or combined basis.

Prior to January 1, 1999, the Authority was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the limitation of \$2.6 billion in bonds outstanding has been eliminated entirely. As of June 30, 1999, bonds and notes in the amount of \$4.101 billion had been issued and \$2.171 billion were outstanding.

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations and functions were transferred to the CEFA.

The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by the Authority. The Authority was authorized to have outstanding at any one time \$300 million in bonds for student loans. This cap has been eliminated by Chapter 741, Statutes of 1998. As of June 30, 1999, \$55.26 million in bonds were outstanding, from a total \$220.373 million issued. Bonds for this purpose are subject to the State's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee. Bonds issued for student loans are not a debt, or liability, or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions.

This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

Authority

Education Code Sections 94100–94213.